



**Note:** This GEF project (GEF ID: 10235) is supported by UNDP and WWF as GEF Agencies.

This template shows the full GEF project in a combined way in a base UNDP Project Document for the purposes of CEO Endorsement only. Following CEO Endorsement, the government will sign separate project documents with UNDP (Components 1 and 4) and WWF (Components 2 and 3) covering the respective components of the project that will fall under each GEF Agency. The respective documents will be completed in adherence with the requirements and templates of each GEF Agency, although there will be no substantive changes to the project detail presented in this joint document for CEO Endorsement.

|   |  |   |
|---|--|---|
| <b>Project title: Strengthening conservation and resilience of globally-significant wild cat landscapes through a focus on small cat and leopard conservation</b>   |  |   |
| <b>A child project under the GEF-7 Global Wildlife Program</b>  |  |   |
| <b>Country: India</b>   | <b>Implementing Partner (GEF Executing Entity): Ministry of Environment Forests and Climate Change</b> | <b>Execution Modality: NIM (UNDP) Government-executed (WWF)</b> |
| <b>Contributing Outcome (UNDAF/CPD, RPD, GPD): UNDP CPD Outcome: Energy, environment and resilience; Output 3.2: Effective solutions developed at national and subnational levels for sustainable management of natural resources and ecosystems, ozone depleting substances, chemicals and wastes.</b> |  |   |
| <b>UNDP Social and Environmental Screening Category: Moderate</b>   | <b>UNDP Gender Marker: GEN-2</b>   |   |
| <b>WWF equivalent: Categorization B</b>   |  |   |
| <b>UNDP Atlas Award ID: 00111018</b>  | <b>UNDP Atlas Project/Output ID: 00110188</b>  |   |
| <b>UNDP-GEF PIMS ID number: 6355</b><br><b>WWF Agency ID: G0025</b>   | <b>GEF Project ID number: 10235</b>  |   |
| <b>LPAC meeting date: 25 June 2021 (tentative)</b>  |  |   |
| <b>Latest possible date to submit to GEF: 13 Dec 2020</b>   |  |   |
| <b>Latest possible CEO endorsement date: 13 June 2021</b>   |  |   |
| <b>Planned start date: 8 July 2021 (25 days after CEO Endorsement)</b>  | <b>Planned end date: 8 July 2027</b>   |   |
| <b>Expected date of Mid-Term Review: 8 January 2024 (30 months after project starts)</b>  | <b>Expected date of Terminal Evaluation: 8 April 2027</b>  |   |
| <b>Brief project description:</b>   |  |   |

This project will secure the conservation of globally-significant wild cat landscapes in northern, north-eastern and western India through a landscape conservation approach for wild cats that brings together species conservation programs, connects stakeholders and empowers communities, and operates across PAs, tiger corridors and buffer zones. It will aim to integrate small cat needs into existing large cat conservation initiatives so that all wild cats are considered together. The project will focus on the Dudhwa landscape in Uttar Pradesh and Pakke-Eaglenest landscape in Arunachal Pradesh, with further limited intervention in Ranthambhore landscape in Rajasthan. Barriers to achieving wild cat conservation at the landscape level include: 1) gaps in the policy, planning and procedural framework, 2) limited capacity at State, landscape and site levels, 3) lack of understanding and incentives to support community engagement in wild cat conservation, and 4) insufficient partnerships, regional coordination and outreach to engage the corporate sector, strengthen transboundary collaboration and support knowledge exchange.

To address the above-mentioned barriers, the proposed project will support the Government of India to put in place an integrated model for wild cat conservation at landscape scale that can be replicated nationally and in other range states. The project objective is to secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India. This will be achieved through four complementary components that aim to build the required enabling policy framework and institutional capacity (Component 1); strengthen government management of wild cats and habitats (Component 2) and build community stewardship (Component 3) at landscape level; and enhance corporate sector partnerships, regional collaboration, and knowledge transfer and learning (Component 4). The project is a child project of the GEF-7 Global Wildlife Program.

| <b>(1) FINANCING PLAN</b>   |   |
|---|---|
| GEF Trust Fund  | USD 4,500,000   |
| UNDP TRAC resources   | USD 0   |
| Confirmed cash co-financing to be administered by UNDP  | USD 0   |
| <b>(1) Total Budget administered by UNDP and WWF</b>  | <b>USD 4,500,000</b><br><i>UNDP: USD 1,975,000</i><br><i>WWF: USD 2,525,000</i> |
| <b>(2) CONFIRMED CO-FINANCING</b>   |   |
| Ministry of Environment, Forest and Climate Change, State Governments of Uttar Pradesh and Arunachal Pradesh (relevant depts) | USD 23,308,327 In-kind<br>USD 30,624,406 Investment Mobilized                   |
| Global Tiger Forum  | USD 120,250 In-kind<br>USD 310,750 Investment Mobilized                         |
| WWF India   | USD 90,000 In-kind<br>USD 270,000 Investment Mobilized                          |
| UNDP  | USD 250,000 In-kind<br>USD 550,000 Investment Mobilized                         |
| WWF-US  | USD 303,000 In-kind   |
| <b>(3) Total confirmed co-financing</b>   | <b>USD 55,826,733</b>   |
| <b>(4) Grand-Total Project Financing (1)+(2)</b>  | <b>USD 60,326,733</b>   |

**SIGNATURES**

**Note:** Separate Project Documents will be signed between Government of India and UNDP (in UNDP Project Document template covering Components 1 and 4) and Government of India and WWF (in WWF Grant Agreement template covering Components 2 and 3), with respective signature blocks.

**Signature:** print name below

**Agreed by  
Government  
Development  
Coordination  
Authority**

**Date/Month/Year:**

**Signature:** print name below

**Agreed by  
Implementing  
Partner**

**Date/Month/Year:**

**Signature:** print name below

**Agreed by GEF  
Agency**

**Date/Month/Year:**

**Key GEF Project Cycle Milestones:**

**Project document signature:** within 25 days of GEF CEO endorsement

**First disbursement date:** within 40 days of GEF CEO endorsement

**Inception workshop date:** within 60 days of GEF CEO endorsement

**Operational closure:** within 3 months of posting of TE to UNDP ERC

**Financial closure:** within 6 months of operational closure

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## TABLE OF CONTENTS

|  |     |
|--|-----|
| Table of Contents .....  | iv  |
| Table of Figures and Tables.....   | v   |
| Complete List of Annexes .....   | vi  |
| Acronyms and Abbreviations .....   | vii |
| I. Development Challenge .....   | 1   |
| Problem Statement .....  | 1   |
| Threats and Root Causes .....  | 3   |
| Barriers .....   | 10  |
| Consistency with National Priorities and Relevant Conventions .....                      | 12  |
| II. Strategy .....   | 14  |
| Project Theory of Change .....   | 14  |
| Alignment with GEF-7 Focal Area Strategy .....   | 30  |
| III. Results and Partnerships .....  | 32  |
| Expected Results .....   | 32  |
| Partnerships.....  | 68  |
| Risks .....  | 74  |
| Social and Environmental Safeguard Risks .....   | 76  |
| Stakeholder Engagement and South – South Cooperation .....                               | 79  |
| South – South Cooperation.....   | 83  |
| Gender Equality and Women’s Empowerment .....  | 84  |
| Innovation, Sustainability and Potential for Scaling Up.....                             | 86  |
| IV. Project Results Framework.....   | 88  |
| V. Monitoring and Evaluation (M&E) Plan.....   | 93  |
| VI. Governance and Management Arrangements .....   | 96  |
| VII. Financial Planning and Management .....   | 103 |
| VIII. Total Budget and Work Plan .....   | 107 |
| IX. Legal Context .....  | 120 |
| X. Risk Management .....   | 120 |
| XI. Mandatory Annexes.....   | 124 |
| Annex 1: Project Map and Geospatial Coordinates of Project Sites.....                    | 124 |
| Annex 2: Multi-Year Work Plan.....   | 131 |
| Annex 3: Monitoring Plan:.....   | 137 |
| Annex 4: Social and Environmental Screening Procedures (SESP) .....                      | 138 |
| Annex 5: UNDP ATLAS Risk Register .....  | 139 |
| Annex 6: Overview of Technical Consultancies/Subcontracts .....                          | 150 |
| Annex 7A: PPG Stakeholder Engagement Plan .....  | 173 |
| Annex 7B: Stakeholder Engagement Plan for Project Implementation.....                    | 173 |
| Annex 8: Gender Analysis and Gender Action Plan.....                                     | 173 |
| Annex 9: Procurement Plan – for first year of implementation especially.....             | 173 |
| Annex 10: GEF-7 Biodiversity Tracking Tool – METT for pilot sites (Excel Workbook) ..... | 173 |
| Annex 11: Additional Agreements .....  | 173 |
| Annex 12: GEF Core indicators.....   | 174 |
| Annex 13: GEF 7 Taxonomy .....   | 180 |
| Annex 27: GEF Budget template .....  | 186 |

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## TABLE OF FIGURES AND TABLES

### FIGURES AND TABLES

|  |     |
|--|-----|
| Table 1. Conservation status of wild cat species occurring in India .....  | 1   |
| Figure 1. Distribution of cat diversity in India, location of project landscapes and presence of small cats in each landscape..... | 2   |
| Table 2. Estimated threat impact ratings for the project landscapes.....   | 3   |
| Threat impact matrix.....  | 4   |
| Figure 2. Conceptual diagram for the project .....   | 9   |
| Box 1. Relevant National Biodiversity Targets (NBAP 2008/2014) .....   | 12  |
| Table 3. Summary of Characteristics for the Project Demonstration Landscapes.....  | 21  |
| Figure 3. Theory of change diagram for the project.....  | 23  |
| Table 4. Assumptions and Evidence for the Project Theory of Change .....   | 24  |
| Table 5. Alignment of the project with the GEF-7 Global Wildlife Program framework.....  | 30  |
| Table 6. List of Key Biodiversity Areas included in the project landscapes .....   | 40  |
| Table 7. Intersection of related initiatives with project outputs.....   | 73  |
| Table 8. Monitoring and Evaluation Plan and Budget .....   | 95  |
| Figure 4. Organogram of the project governance and management structure .....  | 100 |
| Figure 5. Suggested diagram for fund flow .....  | 103 |
| Figure A1-1: Map showing the locations of the three project landscapes.....  | 124 |
| Figure A1-2: Map of Dudhwa Landscape, Uttar Pradesh.....   | 125 |
| Figure A1-3: Map of Pakke – Eaglenest Landscape, Arunachal Pradesh.....  | 127 |
| Figure A1-4: Map of Ranthambhore Landscape, Rajasthan.....   | 129 |

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## COMPLETE LIST OF ANNEXES

### Mandatory Annexes

**A. The following Annexes are included within this Project Document that is signed by the relevant parties:**

1. Project Map and geospatial coordinates of the project area
2. Multiyear Workplan
3. Monitoring Plan
4. Social and Environmental Screening Procedure (SESP)
5. UNDP Atlas Risk Register
6. Overview of technical consultancies/subcontracts
27. GEF Budget Template

**B. The following Annexes are included in the project document that is signed by the relevant parties, some of which are annexed as separate documents:**

7. 7A – PPG Stakeholder Engagement Plan  
7B – Stakeholder Engagement Plan for project implementation
8. Gender Analysis and Gender Action Plan
9. Procurement Plan – for first year of implementation especially
10. GEF-7 Biodiversity Tracking Tool – METT for pilot sites (Excel Workbook)
11. Additional agreements: a) Co-financing letters; b) Letters of intent to collaborate from private sector.

**C. The following Annexes have been prepared as separate documents for submission by entry line-by-line into the GEF Portal. These annexes do not need to be part of the project document that is signed by the relevant parties:**

12. GEF Core indicators
13. GEF Taxonomy

**D. The following Annexes have been prepared as separate documents and made available to the LPAC members. They do not need to be submitted to the GEF and do not need to be part of the project document that is signed by the relevant parties.**

14. [Partners Capacity Assessment Tool and HACT assessment](#)
15. UNDP Project Quality Assurance Report (to be completed in UNDP online corporate planning system)

### Optional Annexes

16. Demonstration Landscape and Protected Area Profiles:
  - A. Dudhwa Landscape (Uttar Pradesh)
  - B. Ranthambhore Landscape (Rajasthan)
  - C. Pakke-Eaglenest (Kameng) Landscape (Arunachal Pradesh)
17. Lists of people consulted during project development - include gender and ethnicity
18. Baseline Analysis Report on Small Wild Cats incl. Capacity Devt Scorecards for frontline staff
19. Capacity Development Scorecards for Targeted State and District Agencies
20. Baseline Analysis Report on Sustainable Land Management for the Project Landscapes
21. Knowledge Attitudes and Practices Assessment Framework
22. GIS Mapping of Demonstration Landscapes and Sites, Data Tables
23. Landscape level Master Plan design considerations
24. Additional information on related research of the Sálim Ali Centre for Ornithology and Natural History
- 25A. Indigenous Peoples Planning Framework / Process Framework (IPPF/PF) for Components 2 and 3 (WWF)
- 25B. WWF Environmental and Social Safeguards Categorization Memorandum
26. Project climate risk screening

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## ACRONYMS AND ABBREVIATIONS

|         |   |
|---------|---|
| AP      | Arunachal Pradesh State   |
| APR     | Annual Project Report   |
| AWP     | Annual Work Plan  |
| BD      | Biodiversity  |
| BFP     | Biodiversity Finance Plan   |
| BIOFIN  | The Biodiversity Finance Initiative   |
| BPL     | Below Poverty Level   |
| CBD     | Convention on Biological Diversity  |
| CMS     | Convention on the Conservation of Migratory Species of Wild Animals           |
| CPW     | Collaborative Partnerships on Sustainable Wildlife Management                 |
| CSR     | Corporate Social Responsibility   |
| CTA     | Chief Technical Advisor   |
| DG      | Director General  |
| EA      | Executing Agency  |
| EDC     | Eco-Development Committee   |
| ESSF    | Environmental and Social Safeguards Framework (WWF)                           |
| FAO     | Food and Agriculture Organization of United Nations                           |
| FD      | Forest Division   |
| FPIC    | Free Prior Informed Consent   |
| GAP     | Gender Action Plan  |
| GDP     | Gross Domestic Product  |
| GEF     | Global Environment Facility   |
| GIS     | Geographic Information System   |
| GTF     | Global Tiger Forum  |
| GTI     | Global Tiger Initiative   |
| Ha      | Hectare   |
| IAS     | Invasive alien species  |
| IG      | Inspector General   |
| INR     | Indian Rupee (exchange rate of 1 USD = 71.2 INR used here)                    |
| IPPF/PF | Indigenous Peoples Planning Framework / Process Framework (WWF)               |
| IUCN    | International Union for the Conservation of Nature (World Conservation Union) |
| KAP     | Knowledge, Attitudes, and Practices   |
| LPG     | Liquified petroleum gas   |
| M&E     | Monitoring and evaluation   |
| MoEFCC  | Ministry of Environment Forests and Climate Change                            |
| METT    | Management Effectiveness Tracking Tool  |
| NBSAP   | National Biodiversity Strategy and Action Plan                                |
| NGO     | Non-Governmental Organization   |
| NP      | National Park   |
| NTCA    | National Tiger Conservation Authority   |
| NPD     | National Project Director   |
| NWAP    | National Wildlife Action Plan 2017-31   |
| PA      | Protected Area  |
| PIF     | Project Identification Form   |
| PIR     | Project Implementation Review   |
| PIU     | Project Implementation Unit   |
| PM      | Project Manager   |
| PPG     | Project Preparation Grant (for GEF)   |
| PPP     | Public Private Partnership  |
| PSC     | Project Steering Committee  |

|         |   |
|---------|---|
| RF      | Reserved forest as defined in the Indian Forest Act, 1927   |
| RTA     | Regional Technical Advisor  |
| SBVCR   | Singchung Bugun Village Community Reserve   |
| SEP     | Stakeholder Engagement Plan   |
| SESP    | Social and Environmental Screening Procedure (UNDP)   |
| SESA    | Strategic Environmental and Social Assessment (UNDP)  |
| SHG     | Self help group   |
| SIPP    | Environmental and Social Safeguards Integrated Policies and Procedures (WWF)                        |
| SMART   | Specific, Measurable, Achievable, Relevant and Time-bound   |
| STPF    | Special Tiger Protection Force  |
| SRF     | Strategic Results Framework   |
| SOP     | Standard Operating Procedure  |
| SSB     | Sashastra Seema Bal - one of India's Central Armed Police Forces under the Ministry of Home Affairs |
| STAR    | System for Transparent Allocation of Resources (GEF)  |
| TBD     | To Be Determined  |
| TCP     | Tiger Conservation Plan   |
| TE      | Terminal Evaluation   |
| TNA     | Training Needs Analysis   |
| TOR     | Terms of Reference  |
| TR      | Tiger Reserve   |
| UNDP    | United Nations Development Programme  |
| UNDP CO | UNDP Country Office   |
| UNFCCC  | United Nations Framework Convention on Climate Change   |
| UNCBD   | United Nations Convention on Biological Diversity   |
| UNEP    | United Nations Environment Programme  |
| UP      | Uttar Pradesh State   |
| USD     | United States Dollar  |
| WCT     | Wildlife Conservation Trust   |
| WII     | Wildlife Institute of India   |
| WLS     | Wildlife Sanctuary  |
| WPSI    | Wildlife Protection Society of India  |
| WTI     | Wildlife Trust of India   |
| WWF     | World Wide Fund for Nature  |



## I. DEVELOPMENT CHALLENGE

### Problem Statement

1. Of the 41 wild cat species occurring globally, fifteen are native to India. These include the large cat species tiger, Asiatic lion, snow leopard and leopard, and eleven small cat species. Hotspots for cat diversity are the scrub and open forest of the semi-arid and arid regions in western India, the humid tropical forests, grasslands and wetlands of the north, and the vast elevational gradient and associated diverse forest types of the north-east. These hotspots are situated in the three biotic provinces in India<sup>1</sup> that are the richest in cat species - the semi-arid Gujarat Rajputana region, the Upper Gangetic Plains and the Eastern Himalayas which together represent nine small cats, of the eleven that occur in India. Some of these species are unique to the regions they occur in, like the caracal and Asian wildcat from the semi-arid province and the marbled cat, golden cat and clouded leopard from the Eastern Himalayas. Furthermore, many of their morphologies are suggestive of specialisations to their habitats e.g. short tails and long legs of the caracal and jungle cat specialised on open/scrub habitats and long tails of the rainforest species like the marbled cat and clouded leopard. Of the nine small cat species covered by this project, six are on CITES Appendix I and three are on Appendix II. Illegal wildlife trade (IWT) is a concern for certain species, especially near border areas. The project will seek to strengthen CITES implementation in relation to the identification of small wild cats and their parts in trade, and transboundary collaboration on combatting IWT. Overall, the project will aim to integrate small cat needs into existing large cat conservation so that all wild cats are considered together.

**Table 1. Conservation status of wild cat species occurring in India**

| No. | Species   | Project Target | IUCN Red List | CITES Appendix | National Protection |
|-----|---|----------------|---------------|----------------|---------------------|
| 1   | Tiger <i>Panthera tigris</i>                      |                | EN            | I              | I                   |
| 2   | Asiatic lion <i>Panthera leo ssp. persica</i>     |                | EN            | I              | I                   |
| 3   | Snow leopard <i>Panthera uncia</i>                |                | VU            | I              | I                   |
| 4   | Leopard <i>Panthera pardus</i>                    | ✓*             | VU            | I              | I                   |
| 5   | Clouded leopard <i>Neofelis nebulosa</i>          | ✓              | VU            | I              | I                   |
| 6   | Rusty-spotted Cat <i>Prionailurus rubiginosus</i> | ✓              | NT            | I              | I                   |
| 7   | Leopard Cat <i>Prionailurus bengalensis</i>       | ✓              | LC            | I              | I                   |
| 8   | Marbled Cat <i>Pardofelis marmorata</i>           | ✓              | NT            | I              | I                   |
| 9   | Asian Wildcat <i>Felis silvestris ornata</i>      | ✓              | LC            | II             | I                   |
| 10  | Jungle Cat <i>Felis chaus</i>                     | ✓              | LC            | II             | II                  |
| 11  | Caracal <i>Caracal caracal</i>                    | ✓              | LC            | I              | I                   |
| 12  | Fishing Cat <i>Prionailurus viverrinus</i>        | ✓              | VU            | II             | I                   |
| 13  | Asiatic Golden Cat <i>Catopuma temmincki</i>      | ✓              | NT            | I              | I                   |
| 14  | Eurasian Lynx** <i>Lynx lynx</i>                  |                | LC            | II             | I                   |
| 15  | Pallas's Cat** <i>Otocolobus manul</i>            |                | NT            | II             | I                   |

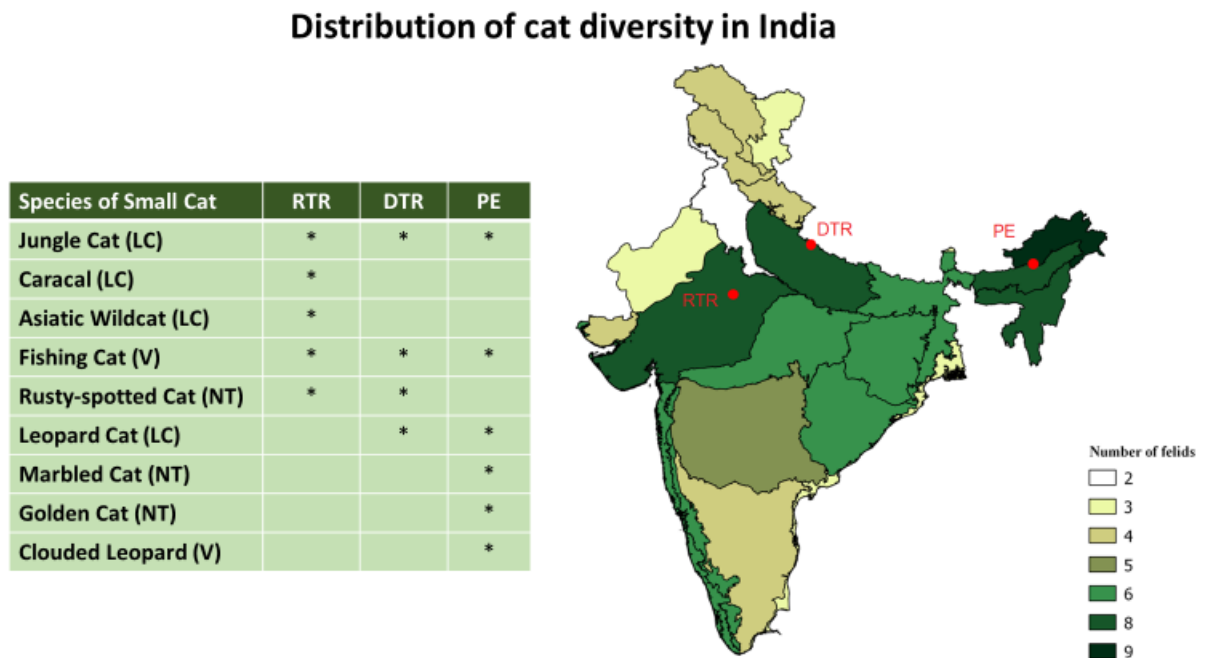
\*Leopard is not a main focus of the project, but is present in the project landscapes and targeted where conflict with local communities is an issue

\*\* Covered by the ongoing UNDP/GEF-6 GWP Snow leopard project – SECURE Himalaya; so not a focus of this project

<sup>1</sup> Rodgers W.A. and Panwar H.S. (1988). Biogeographic classification of India. Dehradun: New Forest.

- While much attention has been given to the iconic large cats such as tiger (IUCN Red-list: EN), leopard (VU) and Asiatic lion (EN), the small cats are little known and their conservation needs poorly understood. Most of India's wild cats do not yet feature as a conservation priority attracting the resourcing of flagship species such as tiger, yet almost all small cats plus leopards have decreasing populations and many are approaching vulnerable status globally. A higher level of threat is considered to apply to many at national level (e.g. caracal is thought to be endangered within India).
- Small cats are keystone species, providing crucial economic and ecosystem services such as pest and disease control, and their conservation is essential to underpin the integrity of big cat conservation efforts and maintain large natural ecosystems across India. While India's network of tiger reserves provides a safe refuge to many cat species, a substantial part of the distribution of small cats occurs outside the Protected Area (PA) network, making protection, restoration and connectivity of habitats at a landscape scale essential for their long-term conservation. These areas are subject to varying degrees of habitat degradation and fragmentation, and cats are threatened by poaching and human-wildlife conflict (HWC) – threats that are increasing in extent and frequency as ongoing development and land use changes extend the human-wild cat interface and increase the potential for local-level conflicts. Ongoing development impacts reduce and fragment habitat, increasing the interface between humans and wild cats. Increasing human populations and economic development that does not consider environmental needs are drivers of the threats to wild cats.

**Figure 1. Distribution of cat diversity in India, location of project landscapes and presence of small cats in each landscape**



*Key: RTR - Ranthambhore Tiger Reserve (Rajasthan); DTR - Dudhwa Tiger Reserve (Uttar Pradesh); PE - Pakke Tiger Reserve – Eaglenest Wildlife Sanctuary (Arunachal Pradesh). IUCN Red List status: LC – Least Concern; NT – Near-threatened; V – Vulnerable. See **Annex 1** for further details and maps of the individual landscapes.*

*Source: Shomita Mukherjee, Senior Principal Scientist, Salim Ali Centre for Ornithology and Natural History*

- The project will focus on three landscapes in wild cat hotspots in northern, north-eastern and western India that each contain multiple species of small cats as well as tiger and leopard. These are critical sites for the maintenance of wild cat diversity in India, representative of their respective biotic provinces. Each demonstration landscape is built around a key PA for wild cat conservation and surrounding buffer zones that contain important habitats for small cats. Activities will be conducted within PAs, buffer zones and identified tiger corridors within these landscapes. The project landscapes are: Dudhwa landscape in Uttar Pradesh and Pakke-Eaglenest landscape in Arunachal Pradesh, with further limited intervention in Ranthambhore landscape in Rajasthan. The location and significance of each landscape for small cat conservation is shown in **Figure 1**. These landscapes face habitat loss, degradation and fragmentation, and the wild cats they host are also directly impacted by HWC, poaching for illegal markets, retaliatory killing, free-ranging<sup>2</sup> dog populations and roadkill. The nature and distribution of threats across the three landscapes is described in the section below.

### Threats and Root Causes

- At the outset of the project preparation process, it was clear that there exist major information gaps concerning the distribution and abundance of small cats across the project landscapes, their life histories, ecological needs, and the nature of conservation issues and threats that may impact their populations (see **Annex 18**). Consequently, a wide range of stakeholders were consulted in each of the landscapes during visits by the PPG team to obtain a qualitative indication of the presence and key issues and threats facing small cats and their habitats. The threat analysis is largely based upon these consultations, supported where possible by literature review. Further information on threats will be collected during implementation through systematic data collection in order to inform conservation responses.
- The three project landscapes are widely dispersed and have very different geographical, ecological and socio-economic characteristics. These are summarized in the landscape and PA profiles in **Annex 16** and discussed in the baseline reports in **Annexes 18 & 19**. The nature of the threats facing small cats and their habitats is therefore specific to each landscape. These threats vary in their intensity and extent, which have been combined according to a guiding threat impact matrix in order to determine those threats that are likely to have the greatest impact, summarized for the three landscapes in **Table 2**. The main threats are described below.

**Table 2. Estimated threat impact ratings for the project landscapes**

| Threats  | Dudhwa   | Ranthambhore | Pakke - Eaglenest |
|--|----------|--------------|-------------------|
| Poaching / illegal wildlife trade                      | Medium** | Low*         | Critical          |
| Human-wildlife conflict (various species)              | Medium** | Low*         | Medium**          |
| Free-ranging dog presence                              | Medium** | Medium**     | Low               |
| Unsustainable grazing                                  | Medium** | High         |                   |
| Unsustainable use of fuelwood and NTFPs                |          | High         | High              |
| Disturbance from excessive tourism                     |          | Medium**     |                   |
| Illegal logging  |          |              | Medium**          |
| Forest encroachment for agriculture, settlements       |          |              | Medium**          |
| Ravine in-filling (encroachment)                       |          | Medium**     |                   |
| Sand, gravel and stone mining                          |          | High         |                   |
| Encroachment / degradation of riverbank habitats       |          | Medium**     | Low*              |
| Changing hydrology and siltation of water-bodies       | Medium   |              |                   |
| Hydro-electric power plant / dam on river              | Medium** |              | Medium**          |
| Invasive alien species impacting natural habitats      | Medium   | Medium       |                   |
| Risks from pesticides, rodenticides etc in agriculture |          | Low          |                   |
| Habitat fragmentation by roads                         | Medium   |              | Medium**          |
| Roadkills  | Low      | Medium       | Medium            |
| Forest and grassland fires                             |          |              | Medium            |

<sup>2</sup> Sometimes referred to as feral dogs

See Landscape Profiles (**Annex 16**) and baseline reports (**Annexes 18 and 19**) for further information  
 \*Localized/episodic, \*\*Locally high/severe

**Threat impact matrix**

| Extent     | Intensity  |            |            |            |
|------------|------------|------------|------------|------------|
|            |            | High       | Moderate   | Low        |
| Pervasive  | Critical   | High       | Medium     | Negligible |
| Widespread | High       | Medium     | Low        | Negligible |
| Localized  | Medium     | Low        | Low        | Negligible |
| Negligible | Negligible | Negligible | Negligible | Negligible |

- Hunting, poaching and illegal wildlife trade (IWT):** Subsistence hunting and poaching (illegal hunting) of wildlife takes place in all three of the project landscapes, however their prevalence and the wildlife species affected vary markedly, and the situation in NE India in particular is complex. PPG baseline studies obtained information on local and traditional practices, and largely anecdotal information on poaching and IWT (see **Annexes 8, 16, 18, 20**). **In Dudhwa landscape**, the Tharu communities have a taboo against harming cats, while the Kanjars, Mahuts and Kuchbandhia communities reportedly hunt jackals, turtles and small cats with the aid of hunting dogs. From the number of official cases (see **Annex 20**) it can be surmised that the pressure of poaching on wildlife is quite substantial. All the PAs are thin and elongated and interspersed with villages, and the proximity of the Nepal border makes law enforcement difficult. **In Ranthambhore landscape**, the Moghiya community was actively involved in tiger poaching in the past, but many have now been trained and employed by Tiger Watch as volunteers to monitor wildlife outside the PA as a source of livelihood. Despite cultural taboos regarding hunting and harming cats, poaching of Jungle Cat as food by the Moghiya and Bagarias communities reportedly takes place. **In Pakke-Eaglenest landscape**, hunting is a way of life and is fairly common in the reserved forests. However, it is reported to be well under control in Pakke TR, where hunting was quite common but it has been controlled due to the formation of the Special Tiger Protection Force (STPF). Poaching is more severe in Tippee Range to the western side of the TR, and cats may be affected – for example, two members of the Nyishi community admitted to hunting and eating Fishing Cat just outside Pakke TR on two occasions. In Eaglenest area, encounters with Black Bears are common, and people often hunt them for meat and bear gall bladder which has a market outside India. According to interviews conducted during the PPG baseline assessment in 2019, hunting by government officials is recognized to occur in Arunachal Pradesh, although this hunting is not focused on small cats. The forest of Shergaon Division outside Eaglenest WS is subject to poaching, since it is relatively unprotected and it also gives access to Eaglenest WS. There is fairly good wildlife in Semipam Unclassed State Forest (USF), but there is lot of hunting. Road construction workers have also been reported to hunt animals<sup>3</sup>. There is also hunting and cross-border trade with Bhutan and China in forest produce such as bear gall bladders, musk deer pods, leopard skins, etc<sup>4</sup>. See **Annex 16** (landscape profiles) and **Annex 18** (baseline report on small wild cats) for further information.
- Human-wildlife conflict (HWC):** HWC is prevalent in all three project landscapes and is locally severe, with a large impact on local communities. Small cats are not a major source of conflict, with only the occasional case of raiding chicken coops reported. However, other forms of HWC negatively affect local attitudes to PAs and conservation. **In Dudhwa landscape**, conflict with elephant, tiger and leopards is a serious problem in Katarniaghat Wildlife Sanctuary and Dudhwa NP. At present there are nearly 100 elephants in and around Dudhwa Tiger Reserve, with serious damage to agricultural crops by elephants. Tiger conflict was more serious in the past than now, while currently leopard conflict is a more serious problem - the high number of people injured by leopard attacks is a cause for concern and local people are very agitated; despite this HWC not involving small cats, it is affecting local attitudes towards wildlife conservation in general and kittens of other

<sup>3</sup> See: [http://www.aoc.nrao.edu/~sbhatnag/Nature/warunachal/Docs/cerc\\_tr8.pdf](http://www.aoc.nrao.edu/~sbhatnag/Nature/warunachal/Docs/cerc_tr8.pdf) - page 14

<sup>4</sup> For example, see: [http://www.aoc.nrao.edu/~sbhatnag/Nature/warunachal/Docs/cerc\\_tr8.pdf](http://www.aoc.nrao.edu/~sbhatnag/Nature/warunachal/Docs/cerc_tr8.pdf) - page 11, Table 2

cats are sometimes mistaken as leopard cubs and killed. See Tables 2 & 3 of **Annex 20** for HWC statistics. Wild boar, nilgai and porcupine also cause considerable crop damage. **In Ranthambhore landscape**, crop damage by wild boar and nilgai is the main problem. Human or animal kills by carnivores are not reported to be a serious problem. However there is no policy for compensation of farmers for crop damage by wild herbivores and existing compensation schemes are inadequate and difficult to use<sup>5</sup>. **In Pakke-Eaglenest landscape**, about 244 elephants range between Arunachal Pradesh and Assam and conflict with elephants was reported to be a serious problem, forcing many farmers to abandon rice cultivation. There is occasional cattle lifting by tiger, leopard and Dhole, while other causes of damage to agriculture and horticulture are wild boar, porcupine, birds and monkeys.

9. *Free-ranging dog presence*: this is a particular issue for small cats and other wildlife where packs of free-ranging dogs hunt along the edges and inside PAs and may transmit diseases to wildlife, recognized as a significant issue in the NWAP. Restriction of free-ranging dog population size (by neutering, translocation) and/or movements (by fencing) are required to reduce these impacts. Free-ranging dog packs have been identified as a serious problem in localities in **Dudhwa** (Kishanpur) and **Ranthambhore** landscapes. Disease transmission can be exacerbated through the presence of carcasses of abandoned cattle, which may be visited by free-ranging dogs by day and by a variety of wild predators at night<sup>6</sup>.
10. *Unsustainable grazing and use of fuelwood and NTFPs*: **In Dudhwa landscape**, unproductive or unwanted cattle are being left near the forest by people from outside. These cattle have become free-ranging and are causing a lot of problems, especially by entering agricultural fields and damaging crops. Culturally, cattle are considered sacred, therefore the management of abandoned cattle requires sensitive approaches such as collecting and caring for them in *gaushalas*<sup>7</sup>. Tree-cutting is a problem in Dudhwa NP, especially by the Tharu community. They store large quantities of firewood till summer, for use in the rainy season. **In Ranthambhore landscape**, stray cattle invade the forests and also revenue lands for grazing. A wall was built around the tiger reserve to keep out cattle which been quite effective, but it may pose a barrier to genetic exchange in wildlife populations. Cattle invade agriculture fields and cause crop damage. Overall, free livestock grazing in forested areas is, like the rest of India, a serious problem in the landscape. In addition, there is traditional grazing by migratory sheep graziers on the Mandrail plateau who visit from another district, quite far away. This practice is reported to have political and government patronage, with special arrangements to provide supplies to graziers at convenient places. This type of grazing has a degrading effect on the vegetation of the common lands.
11. *Unsustainable logging and forest encroachment*: **In Pakke-Eaglenest landscape**, the main forest categories are Reserved Forest, Community Conserved Areas and Open Access (Unclassified State Forest). However, there is confusion on the status of some lands. For example, in the past, some areas were declared as reserved forest, including existing villages. So, the pre-existing villages became illegal occupants of those lands, at least on record. The boundaries of many forests are not clearly demarcated. Most forests in Arunachal Pradesh (about 80%) are controlled by the local community. The community forests are USF and there are few restrictions on their use by the community and a lack of awareness of conservation needs. Tree cutting is banned without proper working plans, but working schemes have not been approved for most community forests and it is difficult to limit the quantum of tree cutting because of pressure from the community and politicians<sup>8</sup>. Secondly, there is intensive farming of tomato and cabbage on the slopes, on extensive tracts of land between Shergaon and Rupa on community land under the USF category. Unfortunately, this is happening on steep slopes, causing

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<sup>5</sup> WWF Discussions with the local communities revealed that compensation for livestock depredation is a lengthy process. Veterinarians often take close to half the compensations received and the remaining amount gets used in travel costs from far off villages to offices for registration and fees for paperwork. Because of this, some do not take the effort to register their cases.

<sup>6</sup> WWF India pers. Comm.

<sup>7</sup> The Indian government started the Rashtriya Gokul Mission in mid-2014, a national program that involves constructing havens for retired cows, known as goshalas or gaushalas.

<sup>8</sup> While the Forest Dept does not currently make management plans for Unclassified State Forests, there is scope for introducing a Joint Forest Management approach that would involve both the government and communities working together to achieve sustainable forest management.

forest fragmentation and degradation, soil erosion and depletion of soil fertility. Heavy doses of pesticide and fertilizer are used, which are an environmental and health hazard.

12. *Degradation of wetland and riparian habitats: In Dudhwa landscape*, water bodies both inside and outside PAs are important habitats for many species including fishing cat. However, in recent years there has been a gradual decrease in number of water bodies, affecting fishing cat distribution. Reasons include filling up of water bodies due to siltation, and encroachment of wetlands for agriculture. Sugarcane has a reputation as a water-demanding crop, which causes decreases in subsoil water levels, and groundwater is used for the irrigation of summer rice. This has resulted in the depletion of Nagarla wetland near Kishanpur Sanctuary. In Kishanpur, a small river named Ull has dried up in recent years. **In Ranthambhore landscape**, agriculture extends right up to the Banas river and is likely to be on encroached land, with fresh signs of levelling of land on the banks of the Banas. During the summer season riverbank encroachment along the Chambal and Mez increases. Water for irrigation is almost entirely dependent on the rivers and since the river banks in parts of Rajasthan are relatively flat it makes for good agricultural fields. Sand mining is reported to be a problem in the Banas River, disturbing the habitat and creating deep pits in the river bed.
13. *Unsustainable use of Wastelands and ravine in-filling: In Ranthambhore Landscape*, flattening of ravines for conversion to agriculture is quite rampant, and is largely ignored by the Revenue Department despite the fact that this is mostly government land, which amounts to encroachment. Ravines are prominent along the Chambal and Banas Rivers, however they are also found at places in the general landscape. Their ecological role is poorly understood but they are likely to provide cover for a diversity of wildlife<sup>9</sup>. Ravines are natural movement paths for small cats and other wild animals, and flattening those and transforming the landuse to agriculture is not ecologically sustainable in the long term.
14. *Stone, sand and gravel mining: In Ranthambhore Landscape*, sand and gravel mining is high across the landscape and the people involved are often hostile towards the forest department, making conservation interventions more difficult to carry out. Stone mining is locally high but has far more detrimental effects as the rate of vegetation recovery is slow.
15. *Invasive alien species: In Dudhwa Landscape*, water bodies are an important habitat in Dudhwa NP and Kishanpur WLS for fishing cat, swamp deer, otters, etc. Water bodies in both PAs were reported to be threatened by invasion of aquatic weeds. The main culprit in Kishanpur was the prickly water lily *Euryale ferox*. This species impedes the movement of animals, like swamp deer and hog deer, and can cause injuries to them because of its sharp thorns. In Dudhwa, wetlands in Maholi and Rampurwa are infested with spread of *Eichhornia* spp affecting the fish population. Other weeds were reported such as Dhaincha *Sesbania aculeata*. and grassland is under encroachment by woodland species. The invasion of weeds was reported to be a serious problem by the field staff. **In Ranthambhore landscape**, the invasive shrub *Prosopis juliflora* was introduced in the 1980s to provide firewood to communities. In the Chambal region it was planted, along with other species, under the name of ravine restoration with the intention of disrupting movement of bandits. However this programme was discontinued around 1995 (GVR). *Prosopis* is quite widespread inside Ranthambhore Tiger Reserve, and it is very common in the revenue lands. On the banks of Chambal and Sevti Chambal block, *Prosopis* has formed dense thickets. Its impact on small cat populations is unknown and may vary between species.
16. *Infrastructure development: In Pakke-Eaglenest landscape*, a new 90 MW hydro-electric project has been proposed near the northern boundary of Pakke Tiger Reserve. The river diversion (underwater tunnel) will cause the water level in the river to decrease. Thus the river which at present forms a natural barrier will become

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<sup>9</sup> Regular monitoring with camera traps and recent sign surveys by WWF indicate them to be crucial habitats outside PAs for caracals and dispersing tigers; additionally other rare species such as wolves, hyenas and leopards also use them. On-going monitoring of ravines in the Dhaulpur forest division on the banks of the Chambal indicate Caracal kittens. Three tigers have dispersed from Ranthambhore and have been residing in this forest division since January. This division is composed almost entirely of ravines.

easier to cross, making the northern boundary vulnerable. A road was proposed from Seijosa to Bhalukpong which would have cut through Pakke Tiger Reserve. Due to continuous advocacy with the government, the Hon'ble Chief Minister of Arunachal Pradesh on 16<sup>th</sup> March 2020 announced a temporary suspension of that road's construction. However, it might be proposed again and might not be the only road proposed. Arunachal Pradesh is fast expanding its road network, especially in the vicinity of Eaglenest which fragments the forested landscape and opens access for hunting and encroachment. **In Ranthambhore landscape**, the proposed Delhi – Mumbai Expressway development will bisect the tiger landscape unless realigned<sup>10</sup>. **In Dudhwa landscape**, A border road proposed along the India-Nepal border would go through Dudhwa National Park and Katerniaghat Wildlife Sanctuary, which would hinder wildlife connectivity. The State Government has been considering mitigation measures and realignment, which needs to be finalised.

17. *Road kills*: were mentioned as a threat to small cats such as the Fishing Cat and Jungle Cat especially in the Motipur area of Katerniaghat WLS in **Dudhwa landscape**. In **Ranthambhore landscape**, road kills of small animals, especially jackal, take place frequently on the road to Karauli, and possibly other roads as well. In **Pakke – Eaglenest landscape**, there are frequent road kills of leopard cats on the highway.
18. *Climate change*: While this was not emphasized as a direct threat, climate change adds further pressure on habitats and species populations whose resilience has already been weakened by the above-mentioned direct threats, through both short term (e.g. extreme weather events) and long term impacts (e.g. shifts in vegetation zones with increasing surface temperature trends).
19. **The root causes and drivers of these threats** vary with the context of each project landscape. **In Dudhwa**, little natural habitat remains outside the protected areas, being a fertile landscape with abundant water resources that is a major sugar-cane production area, together with rice, wheat and other crops. Therefore working with farming communities to maintain and restore connectivity along river corridors, wetlands and PA buffer zones through sympathetic farming practices is necessary. The landscape runs along the Nepalese border, with indigenous Tharu communities living along the forest edge and inside the forest, with a need for strengthened engagement and community-based forest management. Human population density is increasing in the relatively intensive agricultural areas, which exerts increasing pressures on the water, forest and grassland resources and intensifies human-wildlife conflict. Its location on the porous Indo-Nepalese border increases the prevalence of poaching and IWT.
20. **In Ranthambhore**, the landscape is dotted with human habitations, with a large number of villages present even in the Critical Tiger Habitat. The terrain and shallow soil protect much of the land from being encroached for agriculture, however ravines which are next to rivers are vulnerable and their conversion and encroachment continues at a rapid pace, unhindered by enforcement as they are classified as Wasteland areas. Most of the villages and residents of urban areas are dependent on forests for fuelwood and fodder for animals, either directly as owners of the livestock or as consumers of dairy products. Most of the area (especially the focal areas in Karauli) are backward by national standards<sup>11</sup>. Poverty and lack of alternatives leaves people with little option apart from depending on forest resources, therefore sustainable land management is a priority for this area.
21. **In Pakke-Eaglenest**, the dependence of indigenous communities on forests is high, both by tradition and necessity. The local communities are also the dominant forest land holders. Although hunting traditions are strong, and demand for certain species in illegal wildlife trade (e.g. bear gall bladders) is significant (linked to

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<sup>10</sup> <https://timesofindia.indiatimes.com/city/delhi/proposed-delhi-mumbai-expressway-faces-rajasthan-tiger-habitat-hurdle/articleshow/67224179.cms>

<sup>11</sup> Backward Districts are the least developed areas of the country, comprising mostly marginal farmers and forest dwellers. In many of these districts poverty has increased despite consistent focus of several poverty eradication programmes. Governance has little or no presence in most of these districts. They are priorities for Government-led poverty reduction programmes. See: [https://nrega.nic.in/Planning Commission.pdf](https://nrega.nic.in/Planning_Commission.pdf) and <https://pmawards.gov.in/public/List-of-Backward-Districts.pdf>

being closer to wildlife trade hotspots)<sup>12</sup>, the landscape still maintains a high diversity of wildlife and some communities are involved in wildlife conservation despite the fact that sources of income and avenues of employment are few. Since timber cannot be commercially harvested, forest-based livelihood options are crucial for maintaining the value of community forests. Collection and marketing of NTFP, MAP, ecotourism, etc. are important in the local economy. Support and partnerships with local and national NGOs/key individuals are critical for successful conservation. Arunachal Pradesh has few industries and poor connectivity within and between districts. It depends to a large extent on financial assistance provided by the central government through Centrally Sponsored Schemes. Thus various central ministries also have an important role in shaping the sustainable future of the state and in the landscape such as the Ministry of Development of North-East Region.

22. **Project conceptual model:** The diversity of direct and indirect factors that threaten globally significant small wild cat landscapes in India is shown in **Figure 2**. This indicates the relationships between direct threats and various underlying factors (root causes and barriers) and the points of intervention where project strategies (yellow hexagons) will contribute towards a reduction in the level of threats, and therefore contribute towards the long term vision of the project, namely to to put in place an integrated model for wild cat conservation at landscape level that can be upscaled and replicated nationally and in wild cat landscapes of other range States. The outputs and outcomes of these strategies are summarized in the Theory of Change diagram in the following section (**Figure 3**).

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<sup>12</sup> For example, see: <https://india.mongabay.com/2019/11/commentary-hunting-for-answers-the-scale-and-impacts-of-hunting-and-the-importance-of-listening-to-hunters/>;



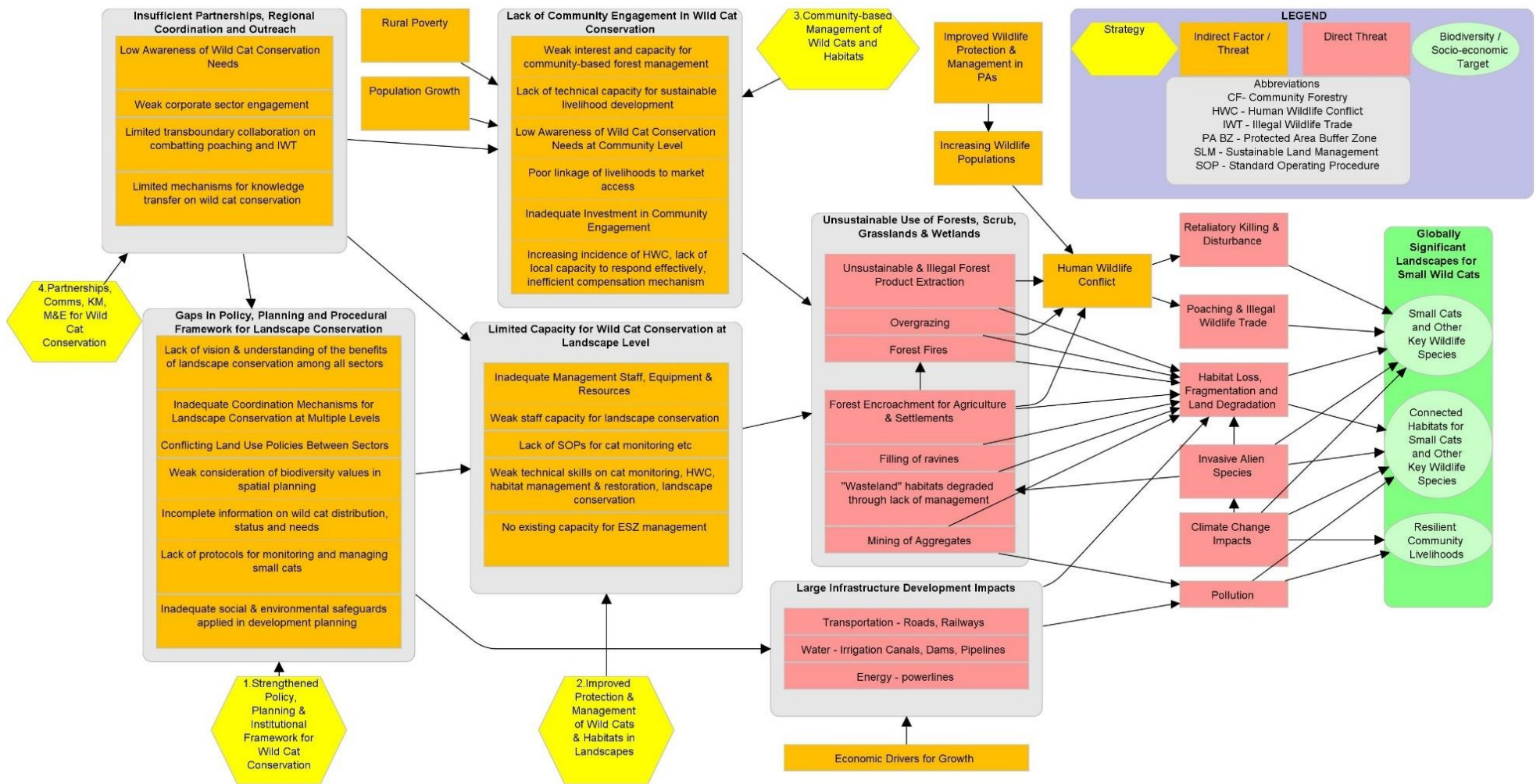


Figure 2. Conceptual diagram for the project

## Barriers

23. While the government has made significant efforts to reduce threats to wild cats and their habitats in the course of tiger conservation and its protected area system, these efforts have been impeded by a number of barriers. These are described below and elaborated further in the situation analyses in **Annexes 7** (Stakeholder Engagement Plan), **8** (Gender Analysis and Action Plan), **10** (METT), **16** (Landscape and PA Profiles), **18** (Baseline on wild cat conservation and frontline staff capacity development scorecards), **19** (State and District CD Scorecards), and **20** (Baseline report on sustainable land management).

### **Barrier 1: Gaps in the policy, planning and procedural framework for landscape conservation**

24. The current poor understanding of the conservation status, needs and threats impacting small cats and lack of understanding of conservation priorities at the landscape level are weaknesses that need to be addressed in order to strengthen the policy, planning and procedural framework for landscape conservation. Although tiger conservation planning has stimulated a landscape approach to conservation in India, this remains very focused on tigers and protected areas, and there remains a lack of vision and knowhow as to how conservation can be integrated with multiple land uses in the production lands outside PAs in buffer zones and corridor areas for the benefit of diverse species including small cats. Related to this, the inter-sectoral coordination mechanisms for landscape conservation at district and State levels are weak and ineffective, with conflicting land use policies – for example regarding so-called ‘Wasteland’ (including ravines, freshwater marshes and scrub forest) management, and biodiversity concerns receive little attention in land use planning outside PAs, evidenced by insufficient consideration of habitat protection and connectivity in land use and development planning. Even within the conservation field, the integration of conservation plans for species, protected areas and issues such as climate change adaptation and control of invasive alien species needs to be strengthened. With regards to small cat conservation, there is a lack of technical protocols to guide responsible agency staff for tasks such as monitoring, HWC management, human rights based approach to anti-poaching enforcement<sup>13</sup> and related subjects.

### **Barrier 2: Limited capacity for wild cat conservation at landscape level**

25. The baseline assessment has determined that the existing staffing capacity for PA and landscape conservation for each project landscape is below that required to meet the needs of coordinated cross-sectoral land use planning and the professional requirements for effective management of wild cats. At State and District level, capacity for integrated landscape management and management of Eco-Sensitive Zones that advances habitat and wildlife conservation outside PAs remains very weak. While the tiger reserves in each landscape are systematically managed according to their Tiger Conservation Plans and evaluated through the national Management Effectiveness Evaluation of Tiger Reserves (MEETR), there remain chronic staffing shortages, lack of the necessary skills among PA staff, lack of equipment and adequate number of vehicles, and lack of opportunity for staff professional development. The METT baseline assessments (**Annex 10**) and analysis of PA management staffing and SWOT analysis in the landscape profiles (**Annex 16**) supported by other baseline assessment inputs (**Annexes 18 & 19**) provide details of these shortcomings. In addition, the living conditions for field staff at Dudhwa pose particular health and safety challenges, and there are needs for improved facilities and equipment in all landscapes. Technical skills need to be strengthened on monitoring wild cat populations and habitats, applying appropriate management techniques for habitat restoration and connectivity, managing HWC effectively and related subjects, through the development of SOPs and providing appropriate training support. All these would also pose motivational challenges for frontline staff of the PA.

### **Barrier 3: Lack of community engagement in wild cat conservation**

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<sup>13</sup> See: [https://wwf.panda.org/our\\_work/people/people\\_and\\_conservation/our\\_work/human\\_rights/](https://wwf.panda.org/our_work/people/people_and_conservation/our_work/human_rights/)  
See also for example, the IUCN Community of Practice on Rights Based Approach to Conservation at <https://www.iucn.org/content/join-rights-based-approach-conservation-portal>; Conservation Initiative on Human Rights <http://www.thecihr.org/>

26. The baseline assessments (see Annexes 7, 8, 16, 18, 19, 20) have indicated diverse socio-economic conditions and awareness of small wild cats in local communities and tribal groups across the project landscapes. In some cases, the communities and tribal groups have a strong affinity with the natural environment, traditional knowledge, customs and beliefs that foster a respect for nature, and where opportunities have arisen they have embraced conservation initiatives – for example, the Bugun voluntary community reserve and ecotourism activities near Eaglenest in Arunachal Pradesh<sup>14</sup>, and the hornbill nest adoption programme at Pakke involving Nyishi tribal villages<sup>15</sup>. Similar NGO-led interventions are also supporting conservation in Dudhwa (Tharu community's handicraft unit which was set up by WWF is now running on its own and the local community members are earning income from this) and Ranthambhore (Tiger Watch and Moghiya communities). However, such awareness and opportunities are very limited and the successes need to be replicated and upscaled in key landscape areas to foster sustainable land uses and habitat conservation and to reduce threats from hunting, IWT and HWC. While certain government initiatives have also had positive effect, such as the LPG cylinders provided through the Ujjwala Yojna scheme and National Livelihood Rural Mission support for backward districts<sup>16</sup> at Dudhwa, there remains much to be done to align such rural development schemes with conservation objectives and to improve their uptake and effectiveness. There is also poor linkage of livelihoods to market access, constraining their sustainability. Many communities near PAs and forested areas suffer significant HWC losses, including locally severe impacts from leopard attacks, elephant crop damage and widespread damage from animals such as wild boar. The trauma involved, lack of HWC response support and current government compensation systems that are slow, complicated and only partially redress losses incurred, often cause negative local attitudes towards wildlife and PAs. Overall, incentives for community engagement in biodiversity conservation remain weak, and community capacity and willingness to apply eco-friendly land uses that will support wild cat conservation are variable and not always supportive. The non-statutory, bottom-up initiative of Community Conservation Areas is widespread across regions of India, however these largely do not overlap with the main geographic range of small cat species. Further there is a lack of technical capacity in community institutions to support habitat and wild cat conservation.

#### **Barrier 4: Insufficient partnerships, regional coordination and outreach**

27. Awareness of the status and conservation needs of small wild cats is generally low amongst national and state-level stakeholders. This is unsurprising, given their secretive and generally nocturnal habits and avoidance of human presence and lack of exposure in the media and publications. However, several small cat species are globally threatened (clouded leopard (V), fishing cat (V) or near-threatened: marbled cat, Asiatic golden cat and rusty spotted cat, and the status of some others is of national concern (e.g. caracal) or poorly known (most small cats). Therefore the lack of awareness and scientific understanding of these species and the threats affecting them is an issue for conservation. While there are some partnerships in place in the landscapes (e.g. government-NGOs; NGOs-local communities), these are insufficient to address landscape conservation needs for small cats. NGO-NGO coalition is lacking and needs to be formed for small cat conservation. In addition, the corporate sector is largely not involved, despite strong potential for engagement in conservation action and financing for conservation programmes evidenced under the WB supported initiative to establish an Indian National Wildlife Business Council<sup>17</sup>. Finally, transboundary collaboration for landscape and species conservation, including reduction of poaching and trafficking of wildlife / wild cats (e.g. clouded leopard skins), and to support knowledge exchange and transfer, is limited and should be strengthened especially at the local level where bureaucratic constraints can be limited. Current global / regional tiger conservation initiatives do not currently cover small cat species, which could be incorporated through minor changes and capacity development regarding small cat monitoring and research, etc. Relevant transboundary IWT enforcement issues relating to small cats need to be documented and raised bilaterally or through SAWEN.

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<sup>14</sup> <https://news.mongabay.com/2018/12/from-a-new-bird-to-a-new-community-reserve-indias-tribe-sets-example/>

<sup>15</sup> <https://www.conservationindia.org/gallery/the-pakke-hornbill-nest-adoption-program>

<sup>16</sup> See: <https://pmawards.gov.in/public/List-of-Backward-Districts.pdf>

<sup>17</sup> Indian Wildlife Business Council: Case Studies of Interventions by Industry  
<https://cii.in/PublicationDetail.aspx?enc=lop5Lo8/fAGuPzaCO5LRUSzYgTXWm3GclqYbN4weLFTAFWPbNJBZaciX9Fy3JlftfD21mWGuXKNhTN/K5o0Ane+CpVrOjLRPeZuoBwMNs96dXnpO1ZiDRgeMZKZOUY9InrX/VR/GJZKP3IXEMVDAldt+cyl+OKE1JixEtP2EJVvFEIB6nkScyXxopV5wK9>

## Consistency with National Priorities and Relevant Conventions

28. The rationale and policy of this project are fully consistent with broader government planning and policy at national level, including the NWAP, directly contributing towards the implementation of relevant MEAs, especially the Convention on Biological Diversity (CBD) and Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES).
29. Although prepared prior to the adoption of the CBD Strategic Plan for Biodiversity (SPB) 2011-2020 and its Aichi Targets in 2010, India's National Biodiversity Action Plan (NBAP) 2008 is nevertheless broadly aligned with the current global biodiversity agenda. In this regard, India decided that a revision of the NBAP 2008 was not necessary, and instead prepared an addendum in 2014<sup>18</sup> to the NBAP 2008 consisting of 12 National Biodiversity Targets mapped towards the achievement of the 20 Aichi Biodiversity Targets. The NBTs in **Box 1** are relevant to the current project, with the most pertinent shown in bold text.

### Box 1. Relevant National Biodiversity Targets (NBAP 2008/2014)

- *Target 1 - By 2020, a significant proportion of the country's population, especially the youth, is aware of the values of biodiversity and the steps they can take to conserve and use it sustainably;*
- *Target 2 - By 2020, values of biodiversity are integrated in national and state planning processes, development programmes and poverty alleviation strategies;*
- **Target 3 - Strategies for reducing rate of degradation, fragmentation and loss of natural habitats are finalized and actions put in place by 2020;**
- *Target 4 - By 2020, invasive alien species and pathways are identified and strategies to manage them developed so that populations of prioritized invasive alien species are managed;*
- **Target 5 - By 2020, measures are adopted for sustainable management of agriculture, forestry and fisheries;**
- **Target 6 - ecologically representative areas on land and in inland waters, as well as coastal and marine zones, especially those of particular importance for species, biodiversity and ecosystem services, are conserved effectively and equitably;**
- *Target 8 - by 2020, ecosystem services, especially those related to water, human health and livelihoods and well-being are enumerated and measures to safeguard them are identified;*
- *Target 11 - By 2020, national initiatives using communities' traditional knowledge relating to biodiversity are strengthened, with the view to protecting this knowledge in accordance with national legislations and international obligations;*
- *Target 12 - By 2020, opportunities to increase the availability of financial, human and technical resources to facilitate effective implementation of the Strategic Plan for Biodiversity 2011-2020 and the national targets are identified and the Strategy for Resource Mobilization is adopted.*

30. These NBTs will guide investment and resource allocation for biodiversity conservation at the national level, and therefore bear high significance for wild cats and their main habitats – forest, grasslands and wetlands. An overview of implementation of the NBAP was prepared in 2019<sup>19</sup>.
31. The international MEA framework relevant to the NBAP includes the Convention on Biological Diversity (CBD) and other biodiversity related environmental conventions. These include the Ramsar Convention on Wetlands, the Convention on the Conservation of Migratory Species of Wild Animals (CMS), the Convention on International Trade in Endangered Species of Wild Flora and Fauna (CITES), the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA), the International Plant Protection Convention (IPPC), United Nations Convention to Combat Desertification (UNCCD), and the United Nations Framework Convention on Climate Change (UNFCCC). Actions under NBAP also contribute to implementation of the Sustainable Development Goals (SDGs).

<sup>18</sup> <https://www.cbd.int/doc/world/in/in-nbsap-v3-en.pdf>

<sup>19</sup> <https://www.cbd.int/nbsap/about/latest/#in>

32. Of the nine small cat species covered by the project, six are on CITES Appendix I and three are on Appendix II. Illegal wildlife trade (IWT) is a concern for certain species, especially near border areas. The project will seek to strengthen CITES implementation in relation to the identification of small wild cats and their parts in trade, and transboundary collaboration on combatting IWT, in line with CITES decisions on Illegal Trade in Asian Big Cats (*Felidae* spp)<sup>20</sup>
33. The Ramsar Convention requires the wise use of wetlands, which is relevant in the project context especially for Dudhwa landscape, where there are significant wetland resources of importance for fishing cat and other wildlife, many of which are located in the agricultural landscapes of PA buffer zones and subject to invasive species, nutrient enrichment and lowering of groundwater tables.
34. A comprehensive web of policies and laws has evolved in the country to address various aspects of nature conservation that the NBAP seeks to achieve. The fundamental policy and legal support for its implementation is embedded in the Constitution of India which creates an abiding responsibility of the State and the people of India to take positive action for the protection and conservation of natural resources. Key national policies of relevance to the current project include the National Forestry Policy 1988, the National Environment Policy 2006, and National Agroforestry Policy 2014.
35. The Biological Diversity Act 2002 created a three tier architecture for its implementation at national, state and the local levels. This architecture coordinates the implementation of the NBAP in collaboration with line agencies and other non-government stakeholders. At the national level, this includes the National Biodiversity Authority (NBA), linked with central line ministries, institutes and national NGOs; at the State level, it includes State Biodiversity Boards (SBBs) linked with State line departments, NGOs, CSOs and industry; and the local level there are Biodiversity Management Committees (BMCs) linked with local line agencies, Panchayati Raj institutions and other bodies.
36. The Wildlife (Protection) Act 1972 (amended 2006) empowers the State to declare areas of ecological, faunal, floral, geomorphological or zoological association or importance as protected areas, under four categories, namely, National Parks, Wildlife Sanctuaries, Community Reserves and Conservation Reserves. The Wildlife Division in the Directorate General of Forests in MoEFCC at Central Government level, and state wildlife departments and their subordinate offices at field level in states implement this Act. The 2006 Amendment brought in a separate chapter on tiger conservation – this included the establishment of a National Tiger Conservation Authority (NTCA) under MoEFCC; definitions of a Tiger Reserve, Core Zone, Buffer Zone and Corridor (National Parks and Wildlife Sanctuaries nominally being Core Zones); and management of Buffer Zones became a statutory requirement through the Tiger Conservation Plans (TCPs). Previously management plans only applied to the PAs - not Buffer Zones outside PAs. The TCPs now cover the tiger plus co-predators (e.g. small cats). Later in 2007, the government of India also set up the Wildlife Crime Control Bureau under the same Ministry, to specifically curb poaching and IWT in the country.
37. The Government of India has taken a pioneering initiative for conserving its national animal, the tiger, by launching the 'Project Tiger' in 1973<sup>21</sup>. From 9 tiger reserves since its formative years, the Project Tiger coverage has increased to 50 at present, spread out in 18 of India's tiger range states. The tiger reserves are constituted on a core/buffer strategy. The core areas have the legal status of a national park or a sanctuary, whereas the buffer or peripheral areas are a mix of forest and non-forest land, managed as a multiple use area. The Project Tiger aims to foster an exclusive tiger agenda in the core areas of tiger reserves, with an inclusive people oriented agenda (beneficiary oriented tribal development) in the buffer. Project Tiger is an ongoing Centrally Sponsored Scheme of the MoEFCC providing central assistance to the tiger States for tiger conservation in designated tiger reserves. The National Tiger Conservation Authority (NTCA) is a statutory body of the Ministry,

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<sup>20</sup> See <https://cites.org/eng/dec/valid17/82158> - Decisions 18.100, 18.105 and 18.106 are of particular relevance

<sup>21</sup> For details of NTCA mandate and activities, see: <https://projecttiger.nic.in/Index.aspx>

with an overarching supervisory / coordination role, performing functions as provided in the Wildlife (Protection) Act, 1972. Details of other relevant legislation are listed in the NBAP.

38. India has also been a key player in the Global Tiger Initiative (GTI)<sup>22</sup> which was launched in 2008 as a global alliance of governments, international organizations, civil society, the conservation and scientific communities and the private sector, with the aim of working together to save wild tigers from extinction. The GTI's founding partners included the World Bank, GEF, the Smithsonian Institution, Save the Tiger Fund, and International Tiger Coalition (representing more than 40 non-government organizations). The initiative is led by the 13 tiger range countries (TRCs). The inter-governmental Global Tiger Forum<sup>23</sup> formed in 1993 is based in India and supports range states in their tiger conservation work (see **Partnerships** section below for further information on GTF, who will be an implementation partner).
39. The project is strongly aligned towards implementation of specific aspects of the National Wildlife Action Plan 2017-31 (NWAP)<sup>24</sup>. This includes support for chapters on:
  - *Landscape level approach for wildlife conservation*
  - *Conservation of threatened species*
  - *Peoples participation in wildlife conservation*
  - *Control of poaching and illegal trade in wildlife*
  - *Mitigation of human-wildlife conflict*
  - *Development of human resources*
  - *Strengthening research and monitoring*
40. The development of Eco-Sensitive Zones around Protected Areas under the Environment (Protection) Act 1986 in line with official Guidelines published by the Wildlife Division of MoEFCC in 2011 will be supported in the project landscapes as part of the landscape conservation approach.
41. **SDGs and Aichi Targets:** This project will primarily contribute towards and SDG 15 (Life on land): Sustainably manage forests, combat desertification, halt and reverse land degradation, halt biodiversity loss, supporting activities that address multiple targets. It will also make secondary contributions towards SDG 1: No poverty, 3: Good health, 5: Gender equality, and 13: Urgent action on climate change. The project will contribute towards Strategic Goal C of the Aichi Targets: To improve the status of biodiversity by safeguarding ecosystems, species and genetic diversity, particularly Target 11, in terms of PAs being effectively and equitably managed and well-connected to the wider landscape<sup>25</sup>; and Target 12, to prevent the extinction of known threatened species.

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## II. STRATEGY

### Project Theory of Change

42. The project Theory of Change (see **Fig. 3**) can be summarized as follows: in order to address the serious threats impacting small wild cats and the ecological integrity of their landscapes in India, the project will develop a model approach to integrated landscape management that will provide a basis for improved inter-sectoral coordination and stakeholder engagement for three key landscapes for wild cat conservation in north, northeastern and western India. This approach will increase government capacity and provide capacity, awareness and incentives for engagement of local communities in conservation activities and sustainable

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<sup>22</sup> <https://www.worldbank.org/en/topic/environment/brief/the-global-tiger-initiative>

<sup>23</sup> <http://globaltigerforum.org/>

<sup>24</sup> [http://www.indiaenvironmentportal.org.in/files/file/nwap\\_2017\\_31.pdf](http://www.indiaenvironmentportal.org.in/files/file/nwap_2017_31.pdf)

<sup>25</sup> For details, see: <https://www.cbd.int/doc/strategic-plan/targets/T11-quick-guide-en.pdf> and <https://www.cbd.int/sp/targets/rationale/target-11/>

livelihoods so that they value wild cats and other biodiversity and contribute to its conservation including through reductions in habitat encroachment, HWC and poaching. This will contribute towards the prevention and mitigation of threats to biodiversity from sectoral development practices, unsustainable land uses, and illegal hunting and trading of wildlife.

43. Theory of Change considerations include:

- Strengthening the policy, planning and institutional framework for wild cat conservation in accordance with the National Wildlife Action Plan 2017-2031, putting in place the basis for an integrated landscape approach to conservation and building capacity of related government agency staff;
- Improving the protection and management of wild cats and habitats in target PAs, corridors and buffer zones in wild cat landscapes, through demonstrating habitat management and building frontline government staff capacity for wildlife and habitat protection;
- Enhancing community-based management of wild cats and habitats, with threat reduction including HWC and improved local livelihoods; and
- Developing effective partnerships, communications, knowledge management and M&E for wild cat conservation

44. The landscape conservation approach necessitates working across multiple scales and stakeholders in the natural resource management sector, including local communities, local forest user groups, small-scale agriculture users and the private sector. This recognizes that a sustainably managed landscape and provision of ecosystem services is critical for local livelihood provision, and likewise, sustainable and biodiversity-friendly community land use options are key to landscape conservation. The landscape conservation approach recognizes emerging threats to each of the project landscapes, particularly in the form of habitat fragmentation driven by economic development and increasing human populations, and includes coordination with production sectors, towards reducing threats to biodiversity, increasing sector engagement in landscape conservation, and facilitating local – state - national dialogue. The project will also seek to embed Global Wildlife Program threat-reduction priorities regarding combating human-wildlife conflict, poaching and illegal wildlife trade.

45. The key assumptions that have been made in the Theory of Change and designing the project are detailed in **Table 4**. These include assumptions related to: the connection between the landscape conservation approach and its benefits towards the conservation status of small wild cat populations and their habitats; the political will available to support the inter-sectoral cooperation needed for landscape conservation and to address the needs of small wild cats and flagship species; the capacity of State, District and village level government institutions to implement integrated natural resource planning and management; the motivation of communities to participate in sustainable livelihood activities that support landscape conservation; the contribution of livelihood and HWC mitigation interventions towards resilient community livelihoods; the critical connection between HWC and livelihood improvement activities contributing towards threat reduction as designed; and that improved knowledge management will actually increase capacity for more effective conservation management and threat reduction.

46. The GEF-supported Project Alternative responds to the development challenge by systematically addressing the barriers to achieving wild cat conservation at a landscape level described above, namely: 1) Gaps in the policy, planning and procedural framework, including poor understanding of the distribution, conservation status, needs and threats impacting small cats, lack of understanding of conservation priorities at the landscape level, and weak integration of conservation plans and insufficient consideration of habitat protection and connectivity in land use and development planning; 2) Limited capacity (personnel, equipment, SOPs) at state, landscape and site levels for monitoring wild cat populations and habitats, applying appropriate management techniques for habitat restoration and connectivity, and managing HWC effectively; 3) Lack of community engagement, restricting community capacity and willingness to apply eco-friendly land use and habitat management techniques that will support wild cat conservation and help prevent and manage HWC; 4) Insufficient partnerships, regional coordination and outreach to engage the private sector and identify sustainable financing options, strengthen transboundary collaboration, reduce participation in poaching and trafficking of wild cats,

and support knowledge exchange and transfer. In doing so, it takes full account of the substantial baseline summarized for each project component and will coordinate with ongoing initiatives described in the Results and Partnerships section. The connections between the threats, root causes, barriers and intervention strategies are indicated in the Project Conceptual Diagram in **Figure 2**.

47. Overall, this project will secure the conservation of the globally-significant wild cat landscapes of Dudhwa in the northern Indian State of Uttar Pradesh and Pakke-Eaglenest in Arunachal Pradesh in the north-east through a landscape conservation approach in line with the National Wildlife Action Plan 2017-31, that integrates conservation programs for individual species (such as tiger, elephant) into area-based planning, connects stakeholders and empowers communities to participate in conservation-related activities, and operates across PAs, identified tiger corridors and in buffer zones surrounding and connecting these areas. In addition, it will complement the existing WWF-led landscape conservation programme at Dudhwa as part of the Terai Arc Landscape, at Pakke-Eaglenest as part of the Brahmaputra Landscape and Ranthambhore in Rajasthan as part of the Western India Tiger Landscape, through co-developed field initiatives in the former two landscapes and limited interventions and knowledge exchange in the third, through Component 1 (Outputs 1.2, 1.3 & 1.4) and Component 4 (Outputs 4.1, 4.2, 4.4 & 4.5). These landscapes are representative of the three biotic provinces in India<sup>26</sup> that are the richest in cat species - the semi-arid Gujarat Rajputana region, the Upper Gangetic Plains and the Eastern Himalayas which together represent nine small cats, of the eleven that occur in India (see **Annexes 16 & 18**).
48. The project's landscape conservation approach represents a shift away from the traditional approach of focusing resources solely on increasingly isolated protected areas, given that these PAs are ecologically and socio-economically inter-dependent on the mosaic of land uses in their surrounding landscapes. The landscape approach recognizes protected areas as core areas for biodiversity conservation and aims to ensure their integration with sustainable land use in buffer zones<sup>27</sup>, and biological corridors that connect PAs in order to deliver sustainable and climate-resilient natural resource management, and addresses the conservation of globally significant large ranging mammals (e.g. tiger, rhino, elephant) as well as the suite of wild cat species and their prey. This approach is based on integrated ecosystem management<sup>28</sup> operating at the scales required to capture representative biodiversity and conserve major ecological processes and services. While the project landscapes are based around core conservation areas in the form of important protected areas, the priority for intervention is largely in the buffer zones around these PAs where there is greater interface between local communities and wildlife, greater challenges for habitat conservation and the need to mainstream biodiversity conservation into productive land uses in revenue lands.
49. In the case of small cats, although there is a lack of data concerning their range sizes in India, the landscape approach would secure a mosaic of habitats within key landscapes which would help to preserve a higher diversity of cats and assure the genetic contiguity for small cats across interspersed habitats. Without this, small cat populations could otherwise be easily fragmented given their potentially small home ranges. Fragmentation would lead to increased dispersal distances<sup>29</sup> which could impact finding mates and higher rates of mortality along with reduced genetic diversity which in turn could make them susceptible to diseases and other natural threats. Landscapes also contain a diversity of features such as topography, a matrix of connected habitats and

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<sup>26</sup> Rodgers, W.A., Panwar, H.S., & Mathur, V.B. (2002) Wildlife Protected Areas in India: a Review (Executive Summary). Wildlife Institute of India, Dehradun; Rodgers W.A. and Panwar H.S. (1988). Biogeographic classification of India. Dehradun: New Forest.

<sup>27</sup> Now being elaborated through Eco-Sensitive Zone planning for all PA buffer zones across India

<sup>28</sup> For example, see: <https://www.thegef.org/news/integrated-ecosystem-management>

<sup>29</sup> For example, see: Napolitano C., Díaz D., Sanderson J., Johnson W.E., Ritland K., Ritland C.E., Poulin E. (2015). Reduced Genetic Diversity and Increased Dispersal in Guigna (*Leopardus guigna*) in Chilean Fragmented Landscapes, Journal of Heredity, Volume 106, Issue S1, Pages 522–536, <https://doi.org/10.1093/jhered/esv025>



elevations which would support a higher diversity of felids, each of which may be specialised on a particular habitat type - akin to habitat sorting<sup>30</sup>.

50. The landscape conservation approach necessitates working across multiple scales and stakeholders in the natural resource management sector, including local communities, local forest user groups, small-scale agriculture users and the private sector. This recognizes that a sustainably managed landscape and provision of ecosystem services is critical for local livelihood provision, and likewise, sustainable and biodiversity-friendly community land use options are key to landscape conservation. The landscape conservation approach recognizes emerging threats to each of the project landscapes, particularly in the form of habitat fragmentation driven by economic development and increasing human populations, and includes coordination with production sectors, towards reducing threats to biodiversity, increasing sector engagement in landscape conservation, and facilitating local – state - national dialogue. The project will also seek to embed Global Wildlife Program threat-reduction priorities regarding combating human-wildlife conflict, poaching and illegal wildlife trade. However, while the project promotes the landscape conservation approach, this is balanced by a focus on specific intervention sites that are of known importance for small wild cat conservation according to the criteria in **Box 2** below. Finally, the project will aim to mainstream planning for small cat conservation into the programmes of the National Tiger Conservation Authority (NTCA) to ensure its institutionalization for sustainable outcomes and greater potential for upscaling nationally – therefore the term ‘wild cats’ is largely used in the intervention strategy to reflect the proposed integration of small cat conservation into planning for the large cat species.
51. **The Project Objective** is to secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India. The project objective will be achieved through four complementary components that aim to build the required enabling policy framework and institutional capacity (Component 1); strengthen government management of wild cats and habitat (Component 2) and build community stewardship (Component 3) at landscape level; and enhance private sector partnerships, regional collaboration, and knowledge transfer and learning supported by gender mainstreaming and monitoring and evaluation (Component 4). The project intervention pathways are described in the theory of change diagram in **Figure 3** below, with supporting assumptions and evidence for the landscape conservation approach and individual project outcomes given in **Table 4**. The baseline situation, incremental reasoning and global environmental benefits are summarized in the Results Section. These assumptions are also included in the Monitoring Plan in **Annex 3**, and will be regularly reviewed during project implementation as part of the PIR reporting, following sound adaptive management and risk management principles.
52. **Component 1** will put in place a landscape-level approach to wild cat conservation that will guide the revision and implementation of existing policies, plans and programs of government departments at national and sub-national levels, and other donor/partner initiatives. GEF support will be used to establish landscape-level master plans for wild cat conservation (**Output 1.1**) in Dudhwa and Pakke-Eaglenest landscapes through a participatory process involving government agencies, communities and other local stakeholders. These master plans will provide the vision and strategic basis for multi-stakeholder engagement in landscape conservation actions and a framework for implementation, monitoring and evaluation of progress, including demonstration of inter-agency collaboration mechanisms for management of the Environmentally Sensitive Zones (ESZ) that are being established around National Parks and Wildlife Sanctuaries<sup>31</sup>. The implementation of landscape conservation

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<sup>30</sup> Mukherjee S., Athreya R., Karunakaran P.V. and Choudhary P. (2016). Ecological species sorting in relation to habitat structure in the small cat guild of Eaglenest Wildlife Sanctuary, Arunachal Pradesh. Sálím Ali Centre for Ornithology and Natural History, Coimbatore, Tamil Nadu. Technical Report No. PR-182. 52 pp.

<sup>31</sup> ESZs must be established for National Parks and Wildlife Sanctuaries under the Environmental Protection Rules 1986. See: <http://moef.gov.in/wp-content/uploads/2017/06/2The%20Environment%20%28Protection%29%20Rules%2C%201986.pdf> According to government guidelines (2011), the purpose of ESZs is to provide a shock absorber for the PAs, and a transition zone between areas of high protection to areas of lesser protection. Their extent is flexible, with width up to 10km from a PA boundary as a general principle. See: <http://moef.gov.in/wp-content/uploads/2017/06/1%20Guidelines%20for%20Eco->

master plans will be supported by outputs under Components 2 (integration of landscape master plan actions into existing site-based conservation and PA management plans), 3 (community mobilization and incentives for collective action towards small cat conservation) and 4 (creation of business partnerships to support private-sector supported conservation and livelihood pilots in project landscapes).

53. Participatory processes will engage stakeholders and conservation partners at national and subnational levels to develop national Species Recovery Action Plans for Caracal, Fishing Cat and Clouded Leopard<sup>32</sup> informed by a national database and atlas on wild cats (**Output 1.2**) – providing a framework for action in support of the landscape master plans and other project activities. Standardized procedures will support the operationalization of landscape conservation master plans, including the development of a monitoring protocol for wild cats, and Standard Operating Procedures (SOPs) for HWC management, and strengthening human-rights approaches to wildlife-related law enforcement (**Output 1.3**). Guidelines on small cat conservation will be developed in accordance with landscape-level master plans, SoPs and national species recovery action plans developed under Component 1 and integrated into revised big cat conservation strategies, Tiger Conservation Plans and the management plans of other types of PAs (**Output 1.4**). Landscape conservation priorities for wild cats will be mainstreamed through capacity building of State departments (e.g. Forest, Revenue, Animal Husbandry, Land Use Planning, Agriculture & Fisheries, Water Management), District Administrations and research institutions for landscape conservation (**Output 1.5**).
54. **Components 2 and 3** will be implemented in two project landscapes (Dudhwa and Pakke-Eaglenest), putting in place the required local capacity, collaborations and community stewardship for landscape-scale conservation in globally-significant landscapes for wild cats that are focused on Key Biodiversity Areas where small cat distribution overlaps with big cat habitats (see **Annexes 1 & 16**). **Component 2** will bring together key government departments with roles to play in wild cat conservation to support the implementation of landscape-scale master plans. This will help to build a complementary and coordinated action portfolio for wild cat conservation bringing together big cat and small cat conservation under the guidance and supervision of NTCA. Supporting the implementation of landscape conservation master plans, targeted interventions will be demonstrated to improve key habitats used by wild cats and their diverse prey (**Output 2.1**), including measures such as forest, grassland and wetland habitat management. Finally, frontline staff will be capacitated and equipped to support wild cat conservation, monitoring and enforcement (**Output 2.2**). This will include completion of security assessments and provision of equipment for monitoring and surveillance and training in state-of-the art monitoring protocols (e.g. M-STrIPES ‘Monitoring System for Tigers - Intensive Protection and Ecological Status’ protocols and software system), and implementation of SOPs for wild cat conservation developed under Component 1 including training in community engagement and delivery of a human rights-based approach to site-based wildlife law enforcement.
55. Working in parallel with Component 2, in the same wild cat landscapes, **Component 3** will build community stewardship and engagement towards the co-management of wild cat habitats. Target locations for community collaboration on wild cat conservation were determined during the PPG phase, and local consultations conducted to confirm support for project activities (see Stakeholder Engagement Plan in **Annex 7B**). The project will strengthen the governance and capacity of existing community and village-level institutions (e.g. Gram Panchayats, Women Self-Help Groups, Eco-Development Committees (EDC), Forest Rights Committees (FRC), Tribal Village Councils (Pakke and Eaglenest), cooperatives, etc.) to take a greater role in wild cat conservation in support of landscape-level strategies (**Output 3.1**). The project will provide training on wild cat habitat management, participatory monitoring and business skills. Community engagement and participation will be enhanced through awareness and outreach programmes for local communities including documentation of related traditional knowledge (**Output 3.2**). A new model for participatory community monitoring of wild cat populations and HWC damage and risks will be operationalized (**Output 3.3**) with the support of village-level

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[Sensitive%20Zones%20around%20Protected%20Areas.pdf](#)

<sup>32</sup> Fishing Cat is listed as Vulnerable in the IUCN Red List and Schedule 1 and rare and is greatly threatened by habitat loss and conflict. Clouded Leopard is Vulnerable and involved in some IWT cases. Caracal is representative of the arid / semi-arid biome and a Schedule 1 species in India and also very rare.

institutions, raising understanding of local wild cat population status, the quality and use of habitats within PAs and across the surrounding mosaic of forest and agricultural land, and on the extent of threats impacting habitats, as well as HWC, poaching and roadkills. Incentives will be provided to support community participation in wild cat conservation and reduce pressure on wild cat habitats through diversification of local livelihoods (**Output 3.4**). Uptake of more sustainable land and habitat management practices, and mitigation of any livelihood impacts from the actions in component 2, will be supported by value addition to agriculture products to reduce environmentally damaging farming practices, while improved livestock management will aim to reduce open grazing practices and roaming abandoned cattle. Establishment/enhancement of tourist facilities and ecotourism programmes where feasible at targeted sites, provided a business model is there, will provide income to communities to reduce dependency on natural resource exploitation. Finally, HWC hotspots will be identified and innovative mechanisms for preventing and managing HWC in areas adjacent to PAs and corridors demonstrated (**Output 3.5**). This will involve the completion of SAFE workshops with communities and local stakeholders applying the WWF SAFE Framework, followed by investment in community-based HWC solutions (e.g. solar electric fencing) that respond to the identified issues.

56. Finally, **Component 4** will build the necessary partnerships and platforms for integrated and collaborative wild cat conservation. The establishment and initial operation of a national-level platform for green business including development of a private-sector<sup>33</sup> fund will be supported (**Output 4.1**) to engage the private sector in small wild cat conservation and facilitate the identification of sustainable financing options to maintain community stewardship outside the core tiger habitats in PAs. This is expected to include partnerships with agricultural (e.g. sugar production at Dudhwa) and tourism businesses operating within the project landscapes, supporting pilot conservation and livelihood initiatives under Component 3. Targeted communications and outreach (**Output 4.2**) will be deployed to targeted audiences at national and subnational levels to address threats and build support for project actions in the landscapes. Transboundary and regional collaboration on wild cat conservation, including with tiger range states, will be enhanced through integrating small wild cat concerns into existing agreements (e.g. on tigers), and strengthening local level collaboration under existing agreements<sup>34</sup> for the sharing of information and knowledge on small wild cat conservation, collaborative training activities, and identification of areas of common concern and agreed joint actions for monitoring, species conservation and combating illegal trafficking (**Output 4.3**). This will explicitly support collaboration with the WWF/GEF-6 Integrated Landscape Management in the Terai Arc Landscape of Nepal (ILaM), and the UNDP/GEF-7 GWP tourism project in Bhutan. Web-based knowledge platforms and e-networks will be established (**Output 4.4**) to facilitate knowledge sharing and information dissemination between landscapes, States and through the Global Wildlife Program, while an effective M&E system (**Output 4.5**) will help ensure project impact and adaptive management and adequate consideration of gender mainstreaming and social and environmental safeguards.

57. Components 1 and 4 will be supported by UNDP, and Components 2 and 3 by WWF as GEF Agency.

### **Project Demonstration Landscape and Intervention Sites**

58. As mentioned in the **Development Challenge** (above), the project focuses its main interventions on key landscapes for wild cat conservation in the three biotic provinces situated in northern, northeastern and western India that support suites of small cat species, along with leopard and tiger. Within each of the Biotic Provinces selected, the landscapes for the project work were identified through an assessment of alignment to project objectives, and consultation with experts, officials from the MoEFCC, State Forest Departments, NTCA and GTF. At the PPG inception workshop in July 2019, a scorecard for the various landscapes from a small cat conservation perspective, was presented and deliberated upon. Some criteria were: uniqueness of habitat, representation or presence of small cats, other biodiversity, potential for community participation, law and

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<sup>33</sup> Note – while referred to as private sector here, government-linked corporations are also an important component and are included in this definition

<sup>34</sup> For example, the transboundary conservation agreements on tigers under NTCA – see: [https://projecttiger.nic.in/content/52\\_6\\_Nepal.aspx](https://projecttiger.nic.in/content/52_6_Nepal.aspx)

order issues, key threats and others. Based on this, the final project landscapes were identified. To obtain further clarity on the chosen landscapes and the issues within them, the PPG team conducted visits to each landscape to interact with stakeholders.

59. Three landscapes are targeted by the project, of which two will be the main focus of interventions on the ground in Components 2 and 3: Dudhwa landscape in Uttar Pradesh and Pakke-Eaglenest landscape in Arunachal Pradesh, while Ranthambhore landscape in Rajasthan will receive limited support for species conservation planning, awareness raising and knowledge exchange through Components 1 and 4 only. The locations of these landscapes are shown in **Figure 1** above, threat analysis in **Table 2**, summary of key characteristics in **Table 3** below, maps and coordinates in **Annex 1**, and further details in the landscape and PA profiles in **Annex 16**. Additional baseline information on all three landscapes is provided in **Annexes 18 and 20** and METT baselines for the relevant PAs in **Annex 10**. Each landscape is based around a key PA for wild cat conservation and also extends into surrounding buffer zones that contain important habitats for small cat conservation. Activities will be conducted within PAs, buffer zones (demarcated as Eco-Sensitive Zones up to 10km around each PA) and identified tiger corridors within these landscapes. Project activities will be targeted on specific focal areas within each landscape, based on the criteria in **Box 2** below, including their importance as hotspots for small wild cat conservation. In order to maximize project impact, sustainability and cost-efficiency, project interventions will be concentrated on a limited number of key areas and different types of activity integrated (e.g. awareness raising, capacity development, community engagement, pilot demonstrations, livelihood support).

60.

### **Box 2. Criteria for Selection of Focal Areas within Landscapes**

#### **PRIMARY CRITERIA**

- Importance of the focal area as a hotspot for small cats
- KBA / Globally significant biodiversity values
- Level of threat facing small cats and their habitats

*>> Conservation priority = biodiversity value versus level of threat*

#### **SECONDARY CRITERIA**

- Stakeholder support for project intervention
- Policy and planning priorities – good alignment with government plans will support success
- Potential socio-economic benefits to local populations
- Site-related baseline projects to build on, and/or ongoing initiatives to coordinate with, that may strengthen impacts and sustainability
- Site-related cofinancing support for the project intervention
- Operational feasibility – adequate access, staff safety, cost-effectiveness for intervention (e.g. field bases)

*Synergy between sites will be targeted for efficient implementation and overall impact and sustainability*

**Table 3. Summary of Characteristics for the Project Demonstration Landscapes**

| Landscape: Dudhwa (Uttar Pradesh); Area: 4639 km <sup>2</sup>   |   |  |   |  |  |
|---|---|--|---|--|--|
| Protected Areas   | KBAs  | Small Cat Species  | Other Biodiversity  | Key Threats  | Intervention Priorities  |
| Dudhwa Tiger Reserve (220,177 ha), including: Dudhwa National Park (NP) 49,029 ha<br>Katerniaghat Wildlife Sanctuary (WLS) 40,009 ha<br>Kishanpur WLS 20,341 ha | 18417-Dudhwa NP<br>18419 – Katerniaghat WLS & Girijapur Barrage<br>18420- Kishanpur WLS   | Fishing Cat VU<br>Jungle Cat<br>Rusty-spotted Cat NT<br>Leopard Cat                                  | 25 globally threatened species, including:<br>Gharial CR<br>Mugger crocodile VU<br>Long-billed Vulture CR<br>Oriental White-backed Vulture CR<br>Slender-billed Vulture CR<br>Bengal Florican CR<br>Lesser Floricane EN<br>Pallas’s Fish-Eagle EN<br>Tiger EN<br>Hispid Hare EN<br>Asian elephant EN<br>Ganges river dolphin EN<br>Indian Pangolin EN<br>Hog deer EN<br>Leopard VU<br>Fishing cat VU<br>Sloth bear VU<br>Barasingha VU<br>Sambar VU<br>Greater one-horned rhinoceros VU | Human-wildlife conflict (various species);<br>Free-ranging dog presence;<br>Unsustainable grazing;<br>Changing hydrology and siltation of water-bodies;<br>Hydro-electric power plant / dam on river;<br>Invasive alien species impacting natural habitats   | Improving grassland and wetland habitats in Katerniaghat WLS and Dudhwa NP for Fishing Cat;<br>Reducing HWC affecting communities around the PAs, esp. leopard attack fatalities;<br>Improved livestock management and livelihoods to reduce grazing pressure;<br>Engaging sugarcane farming communities in wild cat conservation incl. reduction of HWC and sensitized farming techniques;<br>Strengthened frontline capacity for patrolling, anti-poaching and HWC management;<br>Free-ranging dog control programme |
| Landscape: Pakke-Eaglenest (Arunachal Pradesh); Area: 2928 km <sup>2</sup>  |   |  |   |  |  |
| Pakke Tiger Reserve 137,695 ha<br>Eaglenest Wildlife Sanctuary 21,700 ha<br><br>Sessa Orchid Sanctuary 10,000 ha  | 18054-Pakhui or Pakke WLS<br>18041- Eaglenest and Sessa Sanctuaries<br>18055-Papum Reserved Forest<br>18057-Shergaon, Mandla - Phudung and Kalaktang<br>18051-Nafra - Lada area | Clouded Leopard VU<br>Fishing Cat VU<br>Jungle Cat<br>Leopard Cat<br>Marbled Cat NT<br>Golden Cat NT | 31 globally threatened species, including:<br>Assam roofed turtle EN<br>Keeled box turtle EN<br>White-rumped vulture CR<br>Bugun Liocichla CR<br>White-winged duck EN<br>Hog deer EN<br>Tiger EN<br>Wild dog EN<br>Chinese pangolin EN<br>Asian Elephant EN<br>Sambar VU<br>Leopard VU<br>Himalayan black bear VU<br>Gaur VU  | Poaching / illegal wildlife trade;<br>Human-wildlife conflict (various species);<br>Unsustainable use of fuelwood and NTFPs;<br>Illegal logging;<br>Forest encroachment for agriculture, settlements;<br>Hydro-electric power plant / dam on river;<br>Habitat fragmentation by roads, proposed new road, roadkills; | Restore forest connectivity for critical corridor areas and managing and rehabilitating degraded forest habitats in the ESZ;<br>Reduce forest degradation in PA buffer zones (ESZ) through improved regulation of timber extraction and sustainable forest management;<br>Targeted awareness raising and community engagement to combat poaching and IWT, forest encroachment and degradation;   |

|   |  |   |   |  |  |
|---|--|---|---|--|--|
|   |  |   |   | Forest and grassland fires   | Livelihoods including community-based tourism programmes, agroforestry and NTFP production   |
| <b>Landscape: Ranthambhore (Rajasthan); Area: 3974 km<sup>2</sup></b>   |  |   |   |  |  |
| Ranthambhore Tiger Reserve, 1411.29 km <sup>2</sup><br><br>Keladevi WLS 630 km <sup>2</sup><br><br>Karauli Territorial Division | 18358-Ranthambore NP and Tiger Reserve | Fishing Cat VU<br>Rusty-spotted Cat NT<br>Caracal<br>Jungle Cat<br>Asiatic Wild Cat | <i>15 globally threatened species, including:</i><br>Mugger crocodile VU<br>Long-billed Vulture CR<br>Oriental White-backed Vulture CR<br>Red-headed Vulture CR<br>Egyptian Vulture EN<br>Black-bellied tern EN<br>Lesser Adjutant VU<br>Sarus Crane VU<br>Tiger EN<br>Leopard VU<br>Sloth bear VU<br>Sambar VU | Free-ranging dog presence;<br>Unsustainable grazing;<br>Unsustainable use of fuelwood and NTFPs;<br>Disturbance from excessive tourism;<br>Ravine in-filling (encroachment);<br>Sand, gravel and stone mining;<br>Invasive alien species impacting natural habitats. | Limited intervention in Component 1 involving species conservation plans, SOPs and guidelines for small cat conservation focusing on Caracal;<br>Limited intervention in Component 4 involving Awareness raising and knowledge exchange regarding small cat and esp. Caracal conservation;<br>Also small grants for CBO/NGO activities outside PAs;<br>Capacity building for Forest Dept staff |

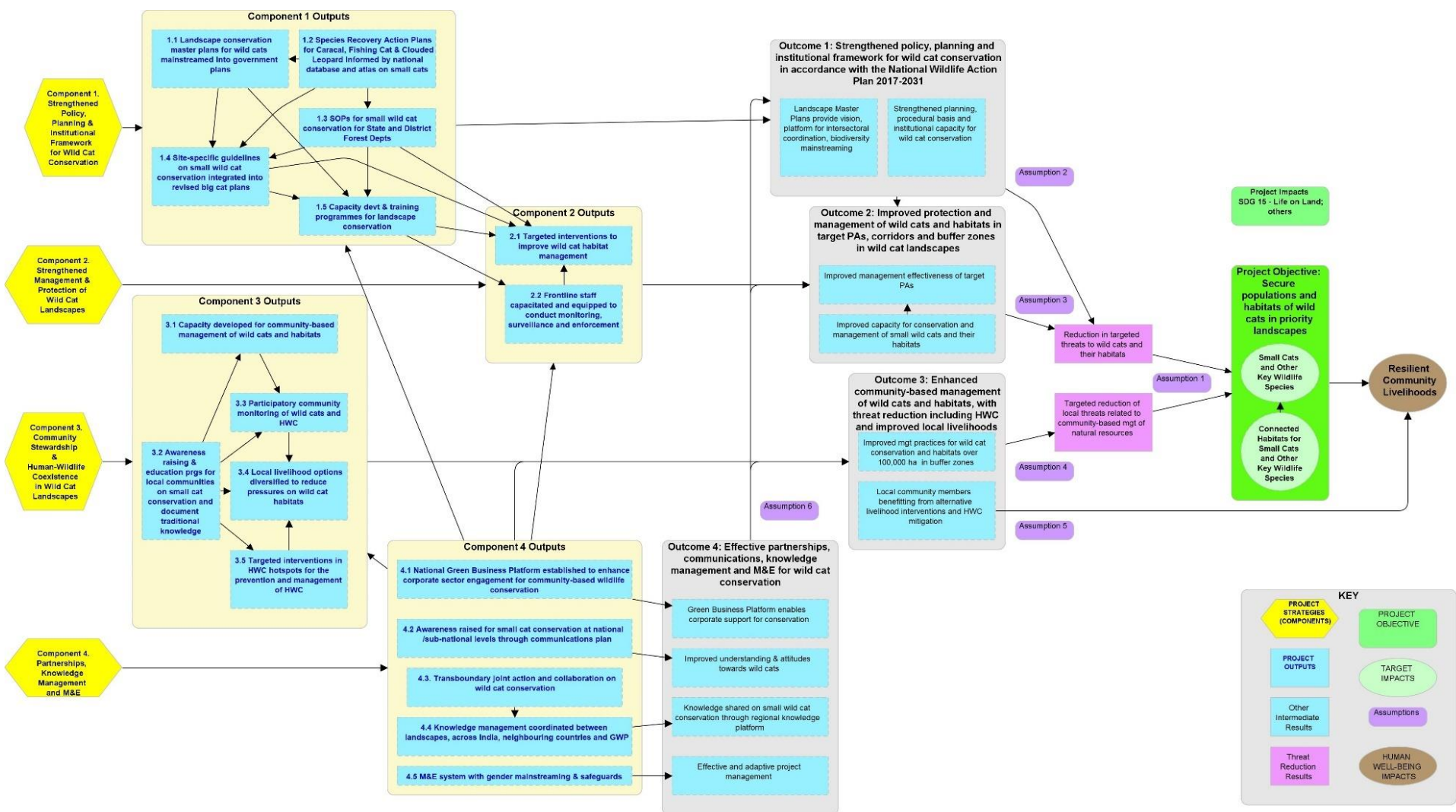


Figure 3. Theory of change diagram for the project

See Figure 2 for Conceptual Diagram describing current state and points of intervention, and Table 4 for details of Assumptions

**Table 4. Assumptions and Evidence for the Project Theory of Change**

| Project Objective   | Assumption  | Questions for Monitoring Assumptions   | Notes and References  |
|---|---|--|---|
| <p>To secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India</p> | <p>#1: The landscape conservation approach will benefit the conservation status of small wild cat populations and their habitats in targeted regions of India</p> | <p>-What tangible changes in the protection and management of key habitats have occurred as a result of the project approach to landscape conservation?<br/>                     -Has the connectivity of habitats for wildlife been emphasized in landscape and sector plans for the targeted areas?<br/>                     -To what extent has small cat conservation been integrated into Tiger Conservation Plans?<br/>                     -How have land uses outside PAs been influenced by the landscape conservation planning approach?</p> | <p>Scientific understanding of the distribution, abundance and conservation status of small wild cats in India is currently very incomplete – with a few exceptions, information is anecdotal and has not been systematically collected. One exception is the ‘bycatch’ data on non-target species from camera traps during the systematic monitoring of tigers in tiger landscapes, however these data have not been extracted and analysed to date<sup>35</sup>. During the PPG, desk study of available evidence and interviews with stakeholders allowed key threats to wild cats and their habitats to be determined in each landscape (see Development Challenge and <b>Annexes 16, 18, 20</b> for details). These included the fragmentation and loss of forested habitat due to agricultural encroachment for forest-dependent species such as the Asiatic golden cat, marbled cat, clouded leopard, rusty-spotted cat and leopard cat; conversion of ‘wasteland’ scrub, ravines and grassland for agricultural use affecting Caracal and Asian Wildcat; and loss and degradation of wetlands and riparian habitats due to agricultural encroachment affecting the fishing cat. All of these habitat-related threats are driven by land use changes that do not take into consideration habitat integrity and connectivity for wildlife conservation. The landscape conservation approach represents a shift away from the traditional approach of focusing resources solely on increasingly isolated protected areas, given that these PAs are ecologically and socio-economically inter-dependent on their surrounding habitats, corridors and mix-used areas within the landscapes. This approach is enshrined in the National Wildlife Action Plan 2017-31 and has been successfully applied to tiger conservation in India, with modelling showing a reduction of extinction risk for a study in Central India, for example<sup>36</sup>. The current project ‘piggy-backs’ on tiger conservation efforts in each landscape, seeking to strengthen landscape conservation and inclusion of small cats in conservation planning. The landscape approach has also been successfully applied in the transboundary Terai Arc Landscape with Nepal<sup>37</sup> and in Bhutan (where PAs cover more than 50% of the country and are connected by eight biological corridors<sup>38</sup>). The landscape approach recognizes protected areas as core areas for biodiversity conservation and aims to ensure their integration with sustainable land use in buffer zones, and biological corridors that connect PAs in order to deliver sustainable and climate-resilient natural resource management.</p> |

<sup>35</sup> Efforts were made to obtain these data during the PPG, but permission has not yet been received from NTCA

<sup>36</sup> Thatte et al. 2017: <https://www.sciencedirect.com/science/article/abs/pii/S0006320717307346>

<sup>37</sup> [http://conservationcorridor.org/cpb/Ministry-of-Forests-and-Soil-Conservation-Nepal\\_2015.pdf](http://conservationcorridor.org/cpb/Ministry-of-Forests-and-Soil-Conservation-Nepal_2015.pdf); <https://www.sciencedirect.com/science/article/pii/B9780815515708000104>

<sup>38</sup> [http://www.tropecol.com/pdf/open/PDF\\_48\\_2/05%20Wangchuk.pdf](http://www.tropecol.com/pdf/open/PDF_48_2/05%20Wangchuk.pdf)



| Project Outcomes  | Assumption   | Questions for Monitoring Assumptions  | Notes and References   |
|---|--|---|--|
| 1: Strengthened policy, planning and institutional framework for wild cat conservation in accordance with the National Wildlife Action Plan 2017-2031 | #2: There is political will to support the inter-sectoral cooperation needed for landscape conservation and to address the needs of small wild cats in addition to flagship species such as tiger, elephant and rhino. | <ul style="list-style-type: none"> <li>-What tangible examples of coordinated inter-sectoral actions for landscape conservation have occurred?</li> <li>- to what extent have the District Collector's Offices coordinated local sector agency inputs for landscape conservation?</li> <li>- how has inter-sectoral coordination been institutionalized in Eco-Sensitive Zone policies and planning mechanisms?</li> <li>- Has awareness been raised among related sectors to support biodiversity mainstreaming in their practices within the landscapes?</li> </ul> | <p>The central policy and planning framework exists in the form of the National Wildlife Action Plan 2017-2031 under the Wildlife Division of the MoEFCC, together with the National Development Agenda, and the National Biodiversity Conservation Strategy, with the National Biodiversity Action Plan listing MoEFCC and 23 other Ministries and Departments of the Government of India for achieving the National Biodiversity Targets. NITI Aayog is entrusted with coordinating implementation of Agenda 2030 – Sustainable Development Goals. The NWAP overtly supports the landscape conservation approach (Chapter 2) and integration of NWAP with other sectoral programmes (Chapter 17), recognizing the need to mainstream biodiversity conservation into production sector agency plans. It includes the establishment of institutional mechanisms at central and state levels to coordinate implementation of the NWAP, and projects for MoEFCC to promote a policy of biodiversity impact assessment for all planned government projects and programmes, and to review wildlife impact assessments. At District level, the District Collector's Offices provide a focal point for integration of sector agencies, that potentially could support planning for buffer / ESZ management.</p> <p>The reality is likely to be challenging (as all intersectoral collaboration is) to the extent that this represents a risk for project success (see <b>Annex 5</b>), but project-supported awareness raising, stakeholder engagement and facilitated dialogue towards landscape conservation plans will assist in building the necessary cooperation with the assistance of non-governmental partners.</p> |
| 2: Improved protection and management of wild cats and habitats in target   | #3: State, District and village level government institutions  | -To what extent do the targeted State, District and village level govt institutions   | All three project landscapes include well-established Tiger Reserves that include buffer and corridor areas outside the Protected Areas, whose management is guided by the Tiger Conservation Plans and supported by wider baseline landscape programmes operated by WWF India, as well as a variety of conservation and community livelihood support activities supported by governmental and non-governmental bodies. Consequently, there is some experience of  |

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| <p>PAs, corridors and buffer zones in wild cat landscapes</p>   | <p>governing PA buffer areas, Eco-Sensitive Zones and adjacent production landscape areas have sufficient capacity to implement integrated natural resource planning and management</p> | <p>understand and support ESZ policies, plans and management requirements?<br/>         -What changes in awareness and capacity of targeted agencies have occurred as a result of project intervention?<br/>         -Have changes in capacity resulted in improved natural resource management?</p>                        | <p>landscape conservation in each landscape, only this is focused on tigers and the integration of PA management with sustainable land uses in buffer and corridor areas remains weak, often with conflicts due to HWC and heavy anti-poaching enforcement around the PAs. The Eco-Sensitive Zones are in the process of being negotiated for the PAs in each landscape<sup>39</sup>, this process itself representing a challenge for intersectoral cooperation and understanding. The project will support capacity development of State and District agencies based on the capacity development assessment during the PPG which identified specific areas for improvement (see <b>Annex 19</b>), and for forest department staff (see <b>Annex 18</b>). The project will build the vision and awareness for the landscape conservation approach through targeted awareness campaigns, support stakeholder engagement and knowledge sharing (see <b>Annex 7B</b>), and the development of SOPs in relevant subjects. Project awareness raising will emphasize the importance of ecosystem services and sustainable use of natural resources in increasing the resilience of COVID-affected communities.</p>   |
| <p>3: Enhanced community-based management of wild cats and habitats, with threat reduction including HWC and improved local livelihoods</p> | <p>#4: Communities are motivated to participate in sustainable livelihood activities that support landscape conservation for the benefit of small cats and other wildlife</p>           | <p>-What is the level of participation achieved within targeted communities?<br/>         -What benefits have participating communities received as a result of their participation?<br/>         -What recognition have such benefits received in the participating communities?<br/>         -What actions ensure the</p> | <p>As for Outcome 2, in each of the three landscapes there are examples of communities that are already participating in sustainable livelihood activities that are aligned with conservation benefits, such as Moghiya communities at Ranthambhore collaborating with Tiger Watch to conduct anti-poaching patrols outside the Tiger Reserve and whose women are receiving training in clothes making with Dhugh NGO; Tharu communities at Dudhwa participating in alternative energy solutions and handicraft production with support from WWF India and Forest Department to reduce firewood consumption; and a Bugun community near Eaglenest conducting patrolling and ecotourism for birdwatchers (see <b>Annexes 7B, 8, 16, 18, 20</b>). This project will seek to take such examples to another level through providing a landscape conservation framework, opportunities for learning and sharing experiences, and targeted support for livelihood diversification and participation in habitat restoration, participatory monitoring of small wild cats, etc. The project will also enhance the capacities of gram panchayats, villages, community groups and District level government staff for sustainable, community-based approaches for landscape conservation. This will involve building institutional and community capacity to implement interventions to reduce deforestation and habitat degradation, providing technical training and resources for community based approaches to wildlife conservation, and facilitating the simplification of compensation processes for fair settlement of legitimate HWC claims.</p> |

<sup>39</sup> In line with the ESZ Guidelines of 9 February 2011

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|  |  | <p>sustainability of the livelihood activities?</p> <p>- Do these livelihood activities reduce exploitative or unsustainable practices that impact on small cat habitats or conservation efforts, (such as opening new farmlands in sensitive areas)?</p>   |  |
|  | <p>#5: Livelihood and HWC mitigation interventions will contribute towards resilient community livelihoods</p> | <p>-What is the level of participation in livelihood and HWC mitigation interventions in targeted communities?</p> <p>-Are any stakeholders spontaneously adopting such practices in the light of project demonstrations?</p> <p>- What tangible benefits have resulted from these practices?</p> | <p>Livelihood interventions are only likely to contribute towards resilient community livelihoods if they are participatory and concerned communities have a significant say in the alternatives to be adopted, and receive sufficient start-up support and technical assistance for these to become sustainable. While project resources are somewhat limited (e.g. compared to many poverty alleviation or rural development-focused projects), the proposed participatory process (see Stakeholder Engagement Plan in <b>Annex 7B</b>), financial and technical assistance proposed (see Output 3.4) and considerable project duration (6 years) provide sufficient opportunity to achieve such an outcome. In addition, the landscape scale interventions emphasizing ecosystem conservation and connectivity are consistent with ecosystem-based adaptation (recognized by IPCC as an integral part of adaptation strategy<sup>40</sup>), and systematic participatory planning and investment will address HWC mitigation in key hotspot areas, increasing community livelihood resilience. The project will increase awareness of the risk of zoonotic disease transmission between wildlife and people to encourage reduction of the human-wildlife interface.</p> |

<sup>40</sup> Noble, I.R., S. Huq, Y.A. Anokhin, J. Carmin, D. Goudou, F.P. Lansigan, B. Osman-Elasha, and A. Villamizar, 2014: Adaptation needs and options. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L.White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 833-868.

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|  | <p>#6: HWC response, livelihood improvement and other activities reduce the threats to wildlife /habitats /biodiversity as designed</p> | <p>-Have HWC response activities been effective in reducing threats to wildlife?<br/>         -Have livelihood improvement activities contributed towards reduction of threats to wildlife and habitats?<br/>         -How will the project mitigate the impacts of natural disasters such as floods and droughts that are exacerbated by climate change on project progress towards threat reduction?</p> | <p>The project approach to HWC response follows the WWF SAFE System approach, allowing a strategic response based on the specific local situation in each case. The response measures will be locally owned and implemented, primarily to protect lives, property and crops. This approach has been piloted in Bhutan with some degree of success and is also being applied in the WWF/GEF Terai Arc Landscape project. Certain types of livelihood improvements may directly reduce HWC such as provision of natural gas for cooking removing the need to collect firewood from the forest (this has been supported by the government in parts of India).</p> <p>Community-based monitoring of wild cats within the vicinity of their villages should provide an indication of whether their engagement in HWC response and / or livelihood improvement activities has resulted in improved local status of wild cats. Similarly, monitoring of hunting/poaching activities should provide an indication of whether this declines in the project-targeted areas as a result of increased awareness / sensitization and improved livelihood conditions.</p> <p>Further to the climate risk screening conducted during PPG (<b>Annex 26</b>), the project’s main thrust towards application of an integrated landscape management approach for the two landscapes is the development of a landscape master plan through a consultative process involving key government stakeholders and a wide range of other stakeholders in the landscapes. Climate change vulnerability assessment and adaptation planning will form important aspects of the development of the landscape master plans, and will be integrated into other relevant planning outputs. These measures will put in place the mechanisms for responding more effectively to climate change impacts, however short term impacts such as droughts or floods during the project period will be difficult to mitigate and may directly impact access to field sites, and the success of habitat management and rehabilitation works. This is recognized as Risk 9 (see <b>Annex 5</b>).</p> |
| <p>4: Effective partnerships, communications, knowledge management and M&amp;E for wild cat conservation</p> | <p>#7: Improved knowledge management will increase capacity for more effective conservation management</p>                              | <p>-What examples are there of increased access to knowledge on landscape conservation for small wild cats at targeted locations?<br/>         -How has increased access to knowledge</p>  | <p>This is hard to demonstrate, however the national priority afforded to tiger conservation is an outstanding example of how species conservation can be successful when it receives political backing, a strong planning and implementation framework and substantial outreach. This project aims to build on the established success of the tiger conservation model, adapting this to benefit small cats, and seeking to strengthen engagement among stakeholders in the landscapes around the tiger reserves. In addition, the GEF Global Wildlife Program (9071)<sup>41</sup> and its second phase launched in June 2019 (10200)<sup>42</sup> exemplify a GEF programmatic framework facilitating coordinated knowledge management and cross-fertilisation of the individual projects under its</p>   |

<sup>41</sup> <https://www.thegef.org/publications/combating-illegal-wildlife-trade-2015>

<sup>42</sup> <https://www.thegef.org/project/global-wildlife-program>

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|  | and threat reduction | informed conservation planning and local action? | scope, through webinars and sharing of documents, etc. This provides an important and established global mechanism for sharing experiences and results from the current project. |
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**Project Document Annexes referred to above:**

Annex 5 - UNDP Risk Register; Annex 7B - Stakeholder Engagement Plan for Project Implementation; Annex 8 - Gender Analysis and Action Plan; Annex 16 - Demonstration Landscape and Protected Area Profiles; Annex 18 - Baseline Analysis Report on Small Wild Cats including Capacity Development Scorecards for Frontline Staff; Annex 19 - Capacity Development Scorecards for Targeted State and District Agencies; Annex 20 - Baseline Analysis Report on SLM for the Project Landscapes

### Alignment with GEF-7 Focal Area Strategy

61. This project will address a wide range of threats to poorly known and globally threatened small wild cat species and their habitats, including poaching, illegal trade and human-wildlife conflict, and mainstreaming small wild cat conservation at State and landscape level. These efforts will also benefit a wide range of other wildlife including large cats (tiger and leopard), Asian elephant, and greater one-horned rhinoceros co-existing in the same landscapes. As such, the project is aligned to GEF-7 focal area objective *BD-1-2a: Mainstream biodiversity across sectors as well as landscapes and seascapes through Global Wildlife Program to prevent extinction of known threatened species*, and *BD-2-7: Landscapes and marine habitat under improved management (excluding protected areas)*.
62. The project aligns to the GWP Theory of Change in the following ways: i) implementation of landscape-level conservation management plans integrated across existing species and site plans and programmes will support improved management of PAs, improve biodiversity management across landscapes and support healthy wildlife populations; ii) increased frontline capacity for patrolling and surveillance activities will improve PA management and support stabilization of wildlife populations with reduced poaching; iii) effective HWC management strategies and the provision of financial incentives to communities will support community participation in wild cat conservation and bring about more positive attitudes towards wildlife and human-wildlife co-existence; iv) targeted awareness, advocacy and social mobilization can achieve a shift in attitudes and behaviours that encourage support for wild cat conservation and reduce participation in poaching and illegal trafficking of wild cats and other co-existing species. The project will make the following contributions to the GEF-7 Global Wildlife Program (**Table 5**).

**Table 5. Alignment of the project with the GEF-7 Global Wildlife Program framework**

| GWP Component  | Relevant GWP program outcomes   | Key project contributions to relevant GWP outcomes  | Key project targets   |
|--|---|---|---|
| <b>1: Conserve wildlife and enhance habitat resilience</b> | <p>a) Stabilization or increase in populations of, and area occupied by, wildlife at program sites</p> <p>b) Areas of landscapes and terrestrial/ marine protected areas under improved practices and management effectiveness (METT for PAs)</p> <p>c) Formal agreements signed to increase connectivity of landscapes and establish</p> | <p>a) The overall project objective is to <i>Secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India</i>, therefore all project outcomes will contribute towards this GWP outcome</p> <p>b) The project will directly contribute towards the enhanced management of wild cat landscapes including tiger reserves, PAs and wildlife corridors, with a provision of using other types of management units under appropriate laws of the country through planning, capacity development, community engagement and a range of interventions aiming to strengthen species and habitat management (Project Outcomes 1,2,3)</p> <p>c) Landscape conservation master plans for wild cats will be developed for two globally-significant wild cat landscapes (Dudhwa and Pakke-Eaglenest) with</p> | <p>-Landscape conservation master plans for wild cats developed for two globally-significant wild cat landscapes (Dudhwa and Pakke-Eaglenest) with implementation supported by multi-sector partnerships</p> <p>-Tiger Conservation Plans within project landscapes incorporate specific requirements for small wild cat conservation;</p> <p>-Improved management of 389,572 ha<sup>43</sup> of PAs in Dudhwa and Pakke-Eaglenest landscapes indicate “sound” management (as measured by METT scores</p> <p>-Improved management of an estimated 100,000 ha of agricultural and forest mosaic that buffers and connects PAs and provides important habitats for small cats</p> |

<sup>43</sup> Core and Buffer Areas of Pakke TR, Eaglenest WS, Sessa Orchid Sanctuary, Dudhwa TR. Excludes ESZ of Eaglenest WS (5588 ha) and Ranthambhore TR (141,128 ha). Project Concept target was 520,949 ha.

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|  | <p>transnational conservation areas</p> <p>d) Strengthened long-term partnerships, governance, and finance frameworks for PAs</p> <p>e) Increased revenues for protected areas and landscapes</p>                            | <p>implementation supported by multi-sector partnerships (Project Outcome 1)</p> <p>d) Partnerships, procedures and institutional capacity for landscape management and wild cat conservation within and outside PAs will be strengthened (Project Outcomes 1 and 2), as well as improved knowledge management (Project Outcome 4)</p> <p>e) Public-private-partnerships and private sector funds will support investment in project-related activities in the project landscapes (Project Outcome 4)</p>  |  |
| <b>2: Promote wildlife-based and resilient economies (WBE)</b> | <p>a) Additional livelihood activities established</p> <p>b) Increased Human-Wildlife Conflict (HWC) strategies and site interventions deployed</p>  | <p>a) Capacity development for sustainable livelihood interventions supported by demonstration activities for targeted communities to reduce pressures on wild cat habitats and HWC, including: sustainable livestock management, nature-based tourism, NTFP marketing, agroforestry (Project Outcome 3)</p> <p>b) Innovative solutions for preventing and managing HWC deployed at identified hotspots within wild cat landscapes, and increased adoption of land use and habitat management techniques to minimize potential for conflicts (Project Outcome 3)</p> | -60% reduction in annual incidence of HWC impacting crops, livestock and people in four targeted communities   |
| <b>3: Combat wildlife trafficking</b>                          | <p>a) Improved enforcement, judicial, and prosecutorial institutional capacity to combat wildlife crime (site-based law enforcement)</p> <p>b) Decreased number of target species poached (i.e. use of SMART tools)</p>      | <p>a) Frontline training and equipment for monitoring and surveillance of wild cats and poaching-related activities, strengthening site-based anti-poaching and enforcement capacity (Project Outcome 2)</p> <p>b) Communication initiatives and outreach to minimize local community engagement in poaching, retaliatory killing and illegal trade in wild cats and their body parts, helping build human-wildlife coexistence (Project Outcome 3)</p>  | <p>-Improved frontline capacity for conservation and management of small wild cats and their habitats, as measured by Small Cats Capacity Development Scorecard (see Annex 18 for Scorecard baselines) for: Dudhwa Landscape and Pakke-Eaglenest Landscape</p> <p>-Targeted 20% reduction in key threats to wild cat populations and their habitats, as measured by patrol reporting/ MSTRIPES</p> <p>-Improved frontline capacity for conservation and management of small wild cats and their habitats, as measured by Small Cats Capacity Development Scorecard</p> |
| <b>4: Reduce demand</b>  | <i>n/a. This project does not aim to reduce demand for wildlife products; however, it will aim to raise awareness of wildlife protection law and the impacts of wildlife crime on wildlife populations; see Component 5.</i> |  |  |
| <b>5: Coordinate and enhance learning</b>                      | <p>a) Enhanced understanding of wildlife as an economic asset</p> <p>b) Strengthened public-private partnerships for</p>   | <p>a) Improved understanding of values of wild cats and more positive attitudes towards small wild cat conservation among target audiences (Project Outcome 4)</p> <p>b) National green business platform operationalized and PPPs and private</p>   | -Improved understanding of values of wild cats and more positive attitudes towards small wild cat conservation among target audiences including national and state government line agencies (Forest and Wildlife,  |

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|  | <p>promoting wildlife-based economies</p> <p>c) Enhanced upstream sector engagement (governance, fiscal, finance, and trade)</p> <p>d) Improved coordination among countries, donors and other key stakeholders engaged in the implementation of the GWP</p> | <p>sector funds supporting investment in project-related activities in each of the three landscapes (Project Outcome 4)</p> <p>c) Business and governance partners engaged through green business platform and PPPs, landscape governance platforms and annual stakeholder forum meetings</p> <p>d) Knowledge management to identify, document and share project best practices and lessons learned between project landscapes, across India and other wild cat range countries and across the Global Wildlife Program; and enhanced regional cooperation between India and neighbouring tiger range States and agreed coordinated action portfolio (Project Outcome 4)</p> | <p>Environment, Agriculture, Revenue, Rural Development, etc) and concerned NGOs, as measured by KAP (Knowledge, Attitudes and Practices) score</p> <p>- National-level green business platform operational with TOR, membership of at least 20 corporate bodies, and actively investing through at least one partnership activity in each project landscape</p> <p>- Best practices developed, disseminated and used, targeting: integrated management of ESZs to benefit wildlife, management of targeted Wasteland habitats, small wild cat – friendly agriculture practices, HWC management in targeted communities, community-based monitoring of small wild cats, gender mainstreaming and traditional knowledge relevant to wild cats / habitats</p> |
|--|--|---|---|

### III. RESULTS AND PARTNERSHIPS

#### Expected Results

63. **The long-term solution of the project**, namely to put in place an integrated model for wild cat conservation at landscape scale that can be upscaled and replicated nationally and in wild cat landscapes of other range states, will be realized through the achievement of the **Project Objective**: to secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India. The reduction of threats to wild cats and their habitats as well as benefits to project stakeholders will be reflected through the Project Objective indicators (see Results Framework for details), showing the number of direct project beneficiaries; the area of terrestrial PAs under improved management for conservation and sustainable use (389,572 ha<sup>44</sup>); and the area of landscapes under improved practices benefiting biodiversity (excluding PAs) (100,000 ha)<sup>45</sup>. The GEF funding requested by the Indian government will be used to achieve the Objective Outcomes through achievement of key results under the following Component Outcomes:

***Outcome 1: Strengthened policy, planning and institutional framework for wild cat conservation in accordance with the National Wildlife Action Plan 2017-2031***

- Landscape conservation master plans for wild cats developed for two globally-significant wild cat landscapes (Dudhwa and Pakke-Eaglenest) with implementation supported by multi-sector partnerships;

<sup>44</sup> Core and Buffer Areas of Pakke TR, Eaglenest WS, Sessa Orchid Sanctuary, Dudhwa TR. Excludes ESZ of Eaglenest WS (5,588 ha) and Ranthambhore TR (141,128 ha). Project Concept target was 520,949 ha.

<sup>45</sup> Note – the total landscape areas are much larger than this – Dudhwa 4,639km<sup>2</sup>, Pakke-Eaglenest 2,928km<sup>2</sup>, Ranthambhore 3,974km<sup>2</sup>



- National level Species Recovery Action Plans for Caracal, Fishing Cat and Clouded Leopard informed by a national database and atlas on wild cats;
- Standard Operating Procedures (SOPs) for small cat and leopard conservation developed and institutionalized in State and district forest departments;
- Site-specific guidelines on small cat conservation integrated into revised big cat conservation strategies and management plans of tiger reserves and other PAs;
- Improved institutional capacity of relevant government agencies for landscape conservation incorporating wild cat needs, as measured by Capacity Development Scorecard (**Annex 19**), for MoEFCC, Uttar Pradesh and Arunachal Pradesh State, District and Municipal Agencies including Forestry, Agriculture, Horticulture, Animal Husbandry, Medicinal Plants, Tourism, etc) – from a collective baseline score of 34.2 to 48 by end of project.

***Outcome 2: Improved protection and management of wild cats and habitats in target PAs, corridors and buffer zones in wild cat landscapes***

- Increased management effectiveness of targeted PAs covering 389,572 ha indicate “sound” management (as measured by the GEF-7 Management Effectiveness Tracking Tool (METT) – see **Annex 10**), covering: Dudhwa Tiger Reserve (220,177 ha) (Baseline 41 / target 81), Pakke Tiger Reserve (137,695 ha) (52/81), Eaglenest Wildlife Sanctuary (21,700 ha) (54/81) and Sessa Orchid Sanctuary (10,000 ha) (55/82);
- Improved frontline capacity for conservation and management of small wild cats and their habitats, as measured by Small Cats Capacity Development Scorecard (baseline/target scores, see **Annex 18**) for: Dudhwa Landscape (25/77) and Pakke-Eaglenest Landscape (44/85);
- Based on a Security Audit jointly conducted by GTF (using WWF guidelines), with approval and guidance of the NTCA, improvements to wild cat habitat management demonstrated in landscapes, including:
  - Dudhwa: Natural grassland and wetland habitats in Sujauli Range of Katerniaghat WS & South Sonaripur Range of Dudhwa TR;
  - Pakke-Eaglenest: Forest connectivity enhanced in critical corridor areas through management and restoration of degraded forest habitats in the ESZ;

***Outcome 3: Enhanced community-based management of wild cats and habitats, with threat reduction including HWC and improved local livelihoods***

- Improved management practices for wild cat conservation and habitat management over 100,000 ha<sup>46</sup> in buffer zones adjacent to PAs and corridors for Dudhwa and Pakke-Eaglenest Landscapes (see **Annexes 16 and 22** for GIS maps of land use in the project landscapes);
- Targeted reduction of locally-specific threats related to community-based management of natural resources for Dudhwa and Pakke-Eaglenest Landscapes;
- Capacity of community and village-level institutions developed for wild cat habitat management, participatory monitoring and institutional management (e.g. financial management, record-keeping);
- Awareness of wild cat conservation raised in local communities (measured by KAP score, see **Annex 21**) and traditional knowledge and practices for wild cat conservation and habitat management documented;
- Village-level institutions actively engaged in participatory community monitoring of wild cat populations and HWC;
- Local livelihood options diversified in targeted communities to reduce pressures on wild cat habitats and as mitigations to any livelihood impacts from habitat management under Component 2;

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<sup>46</sup> Reduced from 200,000 ha at Project Concept stage: the project has scaled down from 4 to 2 landscapes with pro rata adjustment of this target

- HWC impacts reduced in targeted HWC hotspots through implementation of mechanisms for the prevention and management of HWC adjacent to PAs and corridors.

**Outcome 4: Effective partnerships, communications, knowledge management and M&E for wild cat conservation**

- National green business platform operationalized with Terms of Reference, broad membership and private sector fund supporting investment in project-related activities in each of the three landscapes;
- Improved understanding of values of wild cats and more positive attitudes towards small wild cat conservation among target audiences, as measured by KAP (Knowledge, Attitudes and Practices) score (see **Annex 21** for KAP assessment methodology);
- Improved Indian support for transboundary cooperation through enhanced incorporation of wild cat considerations in agreements, and strengthened implementation of existing agreements through local-level collaborative actions including staff exchanges, IWT information exchange, cross-representation in events including WWF/GEF-6 Nepal Terai Arc Landscape project;
- At least 20 PAs and institutions share knowledge on small wild cat conservation through regional knowledge platform annually;
- At least 6 project best practices developed, disseminated and used, targeting: integrated management of ESZs to benefit wildlife, management of targeted Wasteland habitats, small wild cat – friendly agriculture practices, HWC management in targeted communities, monitoring of small wild cats, gender mainstreaming and traditional knowledge relevant to wild cats / habitats.

**Global Environmental Benefits**

64. The global environmental benefits that will result from the GEF Project Alternative include ‘mainstreaming biodiversity across sectors as well as landscapes and seascapes through the Global Wildlife Program to prevent extinction of known threatened species’ and ‘addressing direct drivers to protect habitats and species and improve financial sustainability, effective management, and ecosystem coverage of the global protected area estate’. These will be achieved through the integrated and coordinated management of globally-significant wild cat landscapes in northern, north-eastern and western India; the improved management of 389,572 ha<sup>47</sup> of PAs in Dudhwa and Pakke-Eaglenest landscapes, including improved conservation of associated KBAs (see **Tables 3 & 6**); and improved management of an estimated 100,000 ha<sup>48</sup> of agricultural and forest mosaic that buffers and connects PAs and provides important habitats for small cats. These benefits are reflected in the GEF 7 Core Indicators in **Annex 12**. In addition, the project will contribute directly towards the conservation and sustainable management of globally significant ecoregions – Dudhwa landscape is located in the Global 200 Terai-Duar Savannas and Grasslands ecoregion; Ranthambhore falls in the Kathiawar-Gir dry deciduous forests Ecoregion; and Pakke-Eaglenest landscape lies in the East Himalayan Biodiversity Hotspot<sup>49</sup>, and is part of the “East Himalayan Endemic Bird Area”<sup>50</sup>; its upper reaches are also recognised among the Global 200 Ecoregions as the “Eastern Himalayan Broadleaf and Conifer Forests” ranked as Vulnerable<sup>51</sup>. Each of these landscapes supports small wild cat populations such as fishing cat (VU) and clouded leopard (VU) (see **Figure 1** and **Annex 18**), as well as a diversity of other globally significant species including important populations of tiger (EN), leopard (VU), Asian elephant (EN), greater one-horned rhinoceros (VU), hog deer (EN), Barasingha (VU), gharial (CR), Gangetic dolphin (EN),

<sup>47</sup> Core and Buffer Areas of Pakke TR, Eaglenest WS, Sessa Orchid Sanctuary, Dudhwa TR. Excludes ESZ of Eaglenest WS (5588 ha) and Ranthambhore TR (141,128 ha). Project Concept target was 520,949 ha.

<sup>48</sup> Reduced from 200,000 ha at Project Concept stage: the project has scaled down from 4 to 2 landscapes with pro rata adjustment of this target

<sup>49</sup> Critical Ecosystem Partnership Fund (CEPF). 2005. Ecosystem Profile: Indo-Burman Hotspot, Eastern Himalayan Region. WWF US-Asian Program.

<sup>50</sup> Stattersfield, A. J., Crosby, M. J., Long, A. J. and Wege, D. C. (1998) Endemic Bird Areas of the World: Priorities for Biodiversity Conservation. BirdLife International Series No. 7. BirdLife International, U.K. PP Sultana, A. and Khan, J. A. (2000) Birds of Oak forests in the Kumaon Himalaya, Uttar Pradesh, India. Forktail16: 131-146.

<sup>51</sup> Olson, David M., and Eric Dinerstein. "The Global 200: Priority Ecoregions for Global Conservation." *Annals of the Missouri Botanical Garden* 89, no. 2 (2002): 199-224. doi:10.2307/3298564.

swamp francolin (VU), Bengal florican (CR) Bugun liocichla (CR), and white-rumped, red-headed and Oriental white-backed vultures (all CR) (see the landscape profiles in **Annex 16**). The effective protection and management of such species, together with other species such as Indian (EN) and Chinese (CR) pangolins, Himalayan black bear (VU) and turtles that have been significantly targeted by poaching and the illegal wildlife trade, will contribute towards the goals of the GEF-7 Global Wildlife Program in addition to the supporting GEF-7 Biodiversity programs.

## **Component 1. Enabling policy, planning and institutional framework for wild cat conservation**

*Outcome: Strengthened policy, planning and institutional framework for wild cat conservation in accordance with the National Wildlife Action Plan 2017-2031*

*[Component 1 will be supported by UNDP as GEF Agency]*

### **Without GEF Intervention (Baseline):**

65. In the baseline situation, India's National Biodiversity Action Plan (NBAP) 2008 with its addendum in 2014 consisting of 12 National Biodiversity Targets (NBTs), guides investment and resource allocation for biodiversity conservation at the national level. A comprehensive raft of policies and laws has been put in place to implement the NBAP, including the National Forestry Policy 1988, the National Environment Policy 2006, and National Agroforestry Policy 2014. The Biological Diversity Act 2002 created a three-tier architecture for its implementation at national, state and the local levels, which coordinates implementation of the NBAP in collaboration with line agencies and non-government stakeholders. The Wildlife Protection Act 1972 (amended 2006) empowers the State to declare protected areas, implemented by the Wildlife Division in the Directorate General of Forests in MoEFCC at Central Government level, and state wildlife departments and their subordinate offices at field level in states. The 2006 Amendment brought in a separate chapter on tiger conservation, with the establishment of a National Tiger Conservation Authority (NTCA) under MoEFCC; definitions of a Tiger Reserve, Core Zone, Buffer Zone and Corridor (National Parks and Wildlife Sanctuaries nominally being Core Zones); and management of Buffer Zones became a statutory requirement through the Tiger Conservation Plans (TCPs). Previously management plans only applied to the PAs - not Buffer Zones outside PAs. The TCPs now cover the tiger plus co-predators (e.g. small cats).
66. Despite this relatively comprehensive policy, planning and institutional framework for nature conservation, important gaps need to be addressed in order to advance the conservation of landscapes for wild cats, beyond the well-recognized needs of tigers and other flagship species. First, the current poor understanding of the distribution, conservation status, needs and threats impacting small cats and lack of understanding of conservation priorities at the landscape level are key weaknesses that need to be addressed. This includes addressing research priorities and analysis of existing camera trap data held by WII/NTCA<sup>52</sup>. Although tiger conservation planning has stimulated a landscape approach to conservation in India, this remains very focused on tigers and protected areas, and there remains a lack of vision and knowhow regarding the integration and harmonization of conservation with multiple land uses in the production lands outside PAs in buffer zones and corridor areas for the benefit of diverse species including small cats. Related to this, the inter-sectoral coordination mechanisms for landscape conservation at District and State levels are weak and ineffective, with conflicting land use policies.
67. The development of Eco-Sensitive Zones around Protected Areas under the Environment (Protection) Act 1986 in line with official Guidelines published by the Wildlife Division of MoEFCC in 2011 have only been partially identified, with most still at proposal stage, and largely untested implementation procedures (there is no ESZ defined for Dudhwa, the ESZ for Eaglenest was defined remotely and communities are unaware of it, while Pakke Buffer Zone has been notified but communities reportedly oppose it<sup>53</sup>). Even within the conservation field, there is weak integration of conservation plans for species, protected areas and issues

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<sup>52</sup> Planning a national level strategy on conservation of small cats in India. Research Priorities. UNDP, New Delhi, and Institute of Environment Education and Research, Bharati Vidyapeeth Deemed University, Pune. Unpublished report. March 2020.

<sup>53</sup> PPG Safeguard field mission report for visit 17-21 February 2020

such as climate change adaptation and control of invasive alien species. With regards to small cat conservation, there are no guidelines or national species action plans to guide conservation, and a lack of technical protocols to guide responsible agency staff for tasks such as monitoring, HWC management, enforcement that respects human rights, wildlife rescue and care, and related subjects.

68. The main responsibilities and projects of various line agencies and the dominant industries are described in **Annex 20 and Annex 16**. These baseline inputs vary between the landscapes owing to geographical, socio-economic and cultural differences. **In Dudhwa landscape (Uttar Pradesh)**, the Forest Department has monthly coordination meetings with SSB (Border Force). The Agriculture Department supports farmers through a wide range of schemes. The Horticulture Department is experimenting with cultivation of medicinal and aromatic plants that are not eaten by elephants and yield good returns. Subsidies are given for cultivation of these crops and there is also market integration. The Animal Husbandry Department carries out several animal welfare programmes and activities including livestock census, dairy development schemes. A cattle shed scheme is implemented for stray cattle and for self-owned cattle there is the Mahatma Gandhi National Rural Employment Guarantee Act scheme. Being rich in water resources there is considerable potential for development of fisheries in the project area with support from the Fishery Department.
69. **In Pakke-Eaglenest Landscape (Arunachal Pradesh)**, due to increased national demand for timber and rapid deforestation the Hon. Supreme Court of India passed a judgement to completely halt all commercial timber extraction, which remains in force. Timber extraction is allowed for domestic, non-commercial use for the local communities outside the protected areas. Extraction of NTFP is also permitted. However, a total ban on forestry is not in the interest of conserving forests because stakeholders may change the land use to agriculture, horticulture or oil palm. Therefore, there is a need to promote sustainable forest management including joint forest management to increase productivity while conserving biodiversity. In addition, there is an absence of well-defined land use policy in Arunachal Pradesh at present. There is need for a comprehensive land use policy and land use plan based on the national land use outlines and State Land Use Boards (SLUB) set up in each state to implement the policies and guidelines issued by the National Land Use and Conservation Board. The State is planning land reforms, with an exercise in progress for cadastral survey to strengthen the mapping and land records data base of the state.
70. Currently, there is emphasis on development of agriculture in the state by intensive and mechanized means, and also government emphasis on industrial development. Outside the PAs, Government programmes include Horticulture Department subsidies for planting fruit tree orchards, and Tourism Department subsidies and loans for establishing home stays, which constitute the main tourism development model up to present (noting that the COVID-19 pandemic is likely to require a change in approach that incorporates greater social distancing). Tourism has been viewed as an effective intervention for providing monetary benefits to community that has lot of potential in Arunachal Pradesh. The effective ecotourism programme in Eaglenest has created interest and raised expectations. An Ecotourism Society has been formed with the Chief Minister as the chairperson for implementing ecotourism schemes. There was a slowdown in funding due to the Covid-19 situation, however it is expected that funds will be received and the schemes will be activated in the first half of 2021.
71. The baseline assessment (see **Annexes 16, 18, 19, 20**) has indicated that the existing capacity for landscape conservation and PA management for each project landscape is below that required to meet the needs of coordinated cross-sectoral planning and land use, and the professional requirements for the effective management of wild cats and their habitats. At State and District level, capacity for integrated landscape management and management of Eco-Sensitive Zones that advances habitat and wildlife conservation outside PAs remains very weak.

**With GEF Intervention (Project Alternative):**

72. The GEF Alternative for Component 1 will put in place a landscape-level approach to wild cat conservation that goes beyond existing baseline tiger landscape work and which will guide the strengthening and implementation of existing policies, plans and programs of government departments at national and sub-national levels, and other donor/partner initiatives. GEF support will be used to establish landscape-level master plans for wild cat conservation (**Output 1.1**) for Dudhwa and Pakke-Eaglenest landscapes through a

participatory process led by NTCA and State Forest Departments involving government agencies, communities and other local stakeholders. These master plans will provide the vision and strategic basis for multi-stakeholder engagement in landscape conservation actions and a framework for implementation, monitoring and evaluation of progress, including demonstration of inter-agency collaboration mechanisms for management of the Environmentally Sensitive Zones (ESZ) that are being established around PAs, and guidelines for specific aspects of wild cat conservation that incorporate climate change adaptation measures. Participatory processes will engage stakeholders and conservation partners at national and subnational levels to fill information gaps on small wild cat conservation and develop national Species Recovery Action Plans for Caracal, Fishing Cat and Clouded Leopard informed by a national database and atlas on wild cats (**Output 1.2**) – providing a framework for action in support of the landscape master plans and other project activities. Standardized procedures will support the operationalization of landscape conservation master plans, including the development of a monitoring protocol for wild cats, and Standard Operating Procedures (SOPs) for HWC management, and strengthening human-rights approaches to wildlife-related law enforcement (**Output 1.3**). Site-specific guidelines on small cat conservation will be developed and integrated into revised big cat conservation strategies and management plans of tiger reserves and other PAs (**Output 1.4**), contributing towards the building of a coordinated action portfolio for wild cat conservation. Landscape conservation priorities for wild cats will be mainstreamed through capacity building of State departments (e.g. Forest, Horticulture, Medicinal Plants, Agriculture, Animal Husbandry, Tourism, Revenue, Land, Water Management, etc), District Administrations and research institutions for landscape-level conservation (**Output 1.5**). Collectively, this component will result in secure plans for the conservation of globally significant habitats within the targeted landscapes and strengthened State and District level capacity for multi-sectoral engagement, providing the basis for the conservation of targeted wild cat species and a wide range of other globally significant wildlife inhabiting the same landscapes (see landscape profiles in **Annex 16**). Ranthambhore landscape will be included in Outputs 1.2, 1.3 and 1.4 only.

***Output 1.1: Landscape-level master plans for wild cat conservation developed and institutionalized into government plans and programs***

**Purpose:** For the Dudhwa (UP) and Pakke-Eaglenest (AP) project landscapes, to develop landscape-level master plans for wild cat conservation that integrate actions for wild cat conservation into existing policies, plans, state and national schemes, and other donor/partner initiatives. The landscape master plans for wild cat conservation will provide the vision and strategic basis for multi-stakeholder engagement and a framework for implementation, monitoring and evaluation of progress. Collectively, this approach will contribute towards the conservation of wild cats across these landscapes, including proposed Eco-Sensitive Zones, and contributing towards the conservation of KBAs (**Table 6**). The wild cat master plans will provide a detailed roadmap for evolving a mutual strategy through the agreed actions and reciprocal commitments of stakeholder departments and local people.

**Approach:**

The project-led technical assistance for the development of the landscape-level master plans will be provided by GTF as a sub-level Responsible Party under the supervision of the MoEFCC as Implementing Partner. The respective State Forests and Wildlife Departments will lead the planning process with inputs and over-arching approval from NTCA, and Wildlife Division of the MoEFCC. The planning process will be collaborative and involve other relevant institutions such as WII, IIFM, SACON, NCF, Panthera and local NGOs as a source of expertise and to reduce the burden on the Forest Departments. Since the project landscapes encompass parcels of land falling in territorial jurisdictions of more than one department (forest, revenue, etc.) as well as private landholdings, the implementation of prescribed time-bound inputs would be done by respective departments/agencies having jurisdiction over such areas. The overall monitoring of implementation, including course corrections based on annual plans of operations emanating from each master plan would be done by the specially constituted "coordination committee" for each landscape under the senior-most forest functionary of the state.

The process will include delineation of the landscape, defining the extent, zonation, review of existing policies, plans, state and national schemes, other donor/partner initiatives, identification, appraisal and engagement of stakeholders, defining clusters, gap assessment, preparation of strategies/portfolio for stakeholder involvement

on a *quid pro quo* basis - partially based on the data to be received from Components 2 & 3 and primary/secondary data collected through the master-planning process, development of the plans, and institutionalization of each plan.

The MoEFCC in the context of introducing eco-sensitive zone (ESZ) management, has prescribed a monitoring committee for each ESZ under the chairmanship of a senior revenue official with the PA manager as its Member Secretary, while including officials from line departments such as the District Panchayat, Public Works Department, Public Health Engineering, experts in the area of ecology and environment, representatives of NGOs working in the field of nature conservation, representatives of town and country planning departments and pollution control boards.

The master planning at the landscape level will have similar contours to ESZs in terms of broad engagement with the line departments operating in the landscape. The landscape master plans may subsume one or more ESZs, consequently, the management regulations in the context of prohibited, regulated, and promoted activities may differ from those prescribed for specific ESZs. Since the overarching purpose of the landscape management is to secure the mainstreaming of biodiversity across key sectors/agencies and the land uses of stakeholders in the landscape which do not have in-situ conservation of wildlife as their primary goal, an MoU of agreed actions among stakeholders is envisaged.

**Steps for master planning:** There is a need for convergence of ongoing initiatives of various departments of the State and other stakeholders in order to factor in the concerns of wild cats and other biodiversity, since these are often not considered among related sectors/stakeholders. There are several players in a landscape whose actions contribute to its ongoing transformation. Since such transformations alter the landscape, there is a need for all ongoing schemes, special projects of the government sectors, and various non-governmental agencies/business groups and local people to incorporate safeguarding actions (retrofitting/mitigatory/compensatory/ameliorative/ or new) in their actions. Such an incorporation amounts to “convergence”, requiring an administrative mechanism drawn from the existing governance system for periodic monitoring towards compliance with reciprocal commitments and progress based on indicators.

The strategy for master planning would broadly involve:

1. Defining limits of the landscape for master planning
2. Categorization of “altered states” within the transforming wild cat landscape and defining them as:
  - a) Altered State 1: Completely transformed urban/semi-urban areas;
  - b) Altered State 2: Areas with moderate damage with the potential for revival or rehabilitation – rural / forest interface areas;
  - c) Altered State 3: Areas with comparatively little change: Protected Areas and Community Reserves.
3. Appraisal of drivers of change (environmental, stochastic and anthropogenic), including climate change
4. Identifying sectors operating in the landscape and preparation of land use maps
5. Linking landscape alterations to sectoral/stakeholder actions and climate change impacts
6. Using available data support to prescribe action portfolios for parcels of land within each altered state of the landscape, including climate smart settlements for demonstration
7. Specifying sectoral/stakeholder reciprocal commitments and evolving MoU linked to mutual gains
8. Ensuring the centrality of community stewardship in action portfolios of all altered states based on gains
9. Prescribing an annual schedule of operation, monitoring mechanism and action specific indicators
10. Identifying funding support for master plan implementation (from ongoing schemes, vis-à-vis reciprocal commitments, PES, business models, carbon markets, above and below soil carbon sequestration actions.

The coordination as well as monitoring functions will be conducted by a “coordination committee”, with agreed Terms of Reference (TOR) that will coordinate with different departments, stakeholders, government agencies and institutes and non-governmental organizations that are operating in the landscape, for overseeing and coordinating Master Plan implementation, led by a senior state functionary, with senior nodal officers from state

departments, civil society representatives, technical agency/project agency representatives, and other members as recommended by the state, to ensure the following across the landscape:

- Provide strategic direction for preparation and implementation of the master plan through facilitating convergence among relevant departments and other projects in the landscape;
- Ensure effective coordination amongst line departments/agencies involved in the landscape;
- Monitor progress of master plan interventions, vis-à-vis the approved annual plan of operation, while recommending appropriate courses of action, if necessary;
- Facilitate capacity building and training programs, while working with training facilities towards inclusion of landscape level management principles in regular government staff training programs and other ongoing special training/capacity building programs;
- Provide guidance and ensure consistency, synergy and convergence of approaches with ongoing development projects and processes in the state including climate change adaptation measures;
- Facilitate block, district and sector agency participation in the master planning operations at State level to ensure convergence of manpower and financial resources;
- Oversee and support the commitment, funding and other support to the master plan;
- Resolve reported implementation issues while recommending mitigation, and/or referring to appropriate authorities, as required;
- Monitor utilization of funds by various implementing agencies, vis-à-vis the APO of the master plan;
- Ensure support of stakeholder departments/agencies and local people;
- Monitor the performance of service providers/consultants, if any;
- Disseminate information and publicity for eliciting public support;
- Any other tasks agreed by the committee;
- The coordination committee would meet every quarter, while updating the State Government on the progress of master plan implementation.

A Strategic Environmental and Social Assessment (SESA<sup>54</sup>) approach will be integrated and apply in the landscape planning approach and processes to avoid and prevent potential social and environmental impacts linked to development and implementation of landscape master plans. The landscape master plans will be implemented in landscapes with indigenous peoples and communities. If the SESA considerations as part of master plan development determine that Free, Prior and Informed Consent (FPIC) would be required to be compliant with UNDP SES then the measures outlined in the WWF IPPF will be followed.

**Indicative Activities:**

1.1.1 Site level stakeholder assessment workshops - identification and appraisal of stakeholders in the targeted landscapes of Dudhwa and Pakke-Eaglenest, and establishment of the “coordination committee” for each landscape;

1.1.2 District/State level consultations/workshops for master planning in each landscape including Eco-Sensitive Zones - consultation on existing policies, plans, state & national level schemes, defining clusters at Dudhwa TR, Pakke TR and Eagle Nest WLS;

1.1.3 Master plan preparation for each landscape through Technical Agency/Consultant/Department inputs – including field team deployment, data collection, community focus group discussions, mapping, assessment, plan preparation, climate change vulnerability assessment and adaptation measures, etc (see **Annex 23** for additional design considerations);

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<sup>54</sup> Strategic Environmental and Social Assessment (SESA) refers to a range of analytical and participatory approaches that aim to integrate social and environmental considerations into policies, plans and programs and evaluate the interlinkages with economic and social considerations.

1.1.4 Editing, designing, printing and dissemination of the master plans;

1.1.5 Facilitate the integration of recommendations from master planning process and activities in Components 2&3 into Tiger Conservation Plans and on-going government/partner initiatives E.g.: State Biodiversity Strategy and Action Plans, through field level meetings/consultations with Tiger Reserve management/field officials and technical assistance toward integration of master plans into Tiger Conservation Plans and on-going Govt. initiatives;

1.1.6 Facilitate the integration of inputs from the master planning process into State and District-level development and land use planning processes, including Eco-Sensitive Zone management, wild cat habitat connectivity, community-based sustainable forest management, and climate-smart land use considerations.

**Table 6. List of Key Biodiversity Areas included in the project landscapes**

| KBA ID | Name  | Remarks   |
|--------|---|---|
| 18417  | Dudhwa National Park                                  | Dudhwa landscape                                    |
| 18419  | Katerniaghat Wildlife Sanctuary and Girijapur Barrage | Dudhwa landscape                                    |
| 18420  | Kishanpur Wildlife Sanctuary                          | Dudhwa landscape                                    |
| 18358  | Ranthambore National Park and Tiger Reserve           | Ranthambhore landscape                              |
| 18041  | Eaglenest and Sessa Sanctuaries                       | NE India landscape                                  |
| 18054  | Pakhui or Pakke Wildlife Sanctuary                    | NE India landscape                                  |
| 18055  | Papum Reserve Forest                                  | Buffer of Pakke, NE India landscape                 |
| 18057  | Shergaon, Mandla - Phudung and Kalaktang              | Not a PA, NE India landscape                        |
| 18051  | Nafra - Lada area                                     | Adjacent to Eaglenest, not a PA, NE India landscape |

***Output 1.2: National level Species Recovery Action Plans developed and implemented for Caracal, Fishing Cat and Clouded Leopard informed by a national database and atlas on wild cats***

**Purpose:** To provide a budgeted framework for systematic conservation actions for the targeted globally significant species of wild cats, informed by compiled data from a range of stakeholders and targeted surveys, enabling gaps to be addressed.

**Approach:** This Output would be led by a Task Force facilitated by experts on wild cats, and would involve extensive stakeholder consultation at national and state levels<sup>55</sup> in order to gather relevant information on wild cats in an online database to inform conservation assessment, mapping of distribution and gap analysis, building on the baseline information and making use of existing resources such as research programmes and WII/NTCA camera trap databases<sup>56</sup>. This participatory approach will provide benefits through providing a means of stakeholder engagement and awareness raising regarding the conservation of wild cats. Targeted field surveys supported by Outputs 2.2, 2.3 and 3.3 would provide coverage of key habitats for wild cats within the project landscapes. The atlas will be compiled from the database and is intended for several user groups: forest and wildlife professionals, frontline professionals from other government departments, non-governmental stakeholders, and local people. It is envisaged that the use of the atlas by various stakeholders in a heterogenous project landscape will raise awareness of the presence of small cat species (which remain poorly known due to their secretive behaviour) and elicit ownership of efforts to protect cat species as indicators of the well-being of local ecosystems. This is a citizen-science initiative that seeks to engage diverse people in providing information on the presence of small cat species, and to motivate their participation in conservation efforts that will directly benefit these target species, as well as their habitats, co-benefiting other globally significant wildlife. The atlas

<sup>55</sup> The focus would be on the three project landscape states (including Ranthambhore/Rajasthan), with other Indian states within the species distribution range included to the extent that budget and cofinancing allow

<sup>56</sup> See: Planning a national level strategy on conservation of small cats in India. Research Priorities. UNDP, New Delhi, and Institute of Environment Education and Research, Bharati Vidyapeeth Deemed University, Pune. Unpublished report. March 2020.



*per se* represents a tangible product of this work, which in itself will be a significant contribution to local, national and international understanding of small cat distribution and status in India, along with information on their habitat status and conservation needs, supporting the proposed national strategy for small cat conservation.

The Species Recovery Action Plans for Caracal, Fishing Cat and Clouded Leopard will identify the key actions required, institutional responsibilities for implementation and budget. The project will support one review of implementation prior to project close, providing lessons and guidance for government and other partners for remaining implementation. This Output will also contribute towards the development of a national strategy for small wild cat conservation, by learning from good practices from across diverse habitats and regions of India that will help to inform an integrated model for small wild cat conservation through this project. Finally, it will provide updated scientific information through periodic reporting on small cat status and distribution that inform national and global Red List assessments for small cats, supporting evaluation of overall progress and achievements of the proposed strategy on small cats. The plans will take into account climate change vulnerability of key habitats and species and include adaptive measures. This Output will be led by GTF as a sub-level Responsible Party.

A SESA approach will be integrated and apply in the species recovery planning process for small cat conservation. As part of SESA, a screening procedure will be followed to identify and avoid chances of curtailing of resource management right of Indigenous/Tribal peoples of the project landscapes while formulating operational policies, plans and guidelines for small cat conservation.

#### **Indicative Activities:**

1.2.1 National and State Consultations/Workshops (5) for inputs from stakeholders and conservation partners to gather data on wild cat distribution, prey base, habitats and threats including climate change vulnerability (including citizen science approach);

1.2.2 Consultant/Technical agency/consortium for database establishment, data collection, analysis and compilation (see **Annex 23** for additional design considerations). This will include the development of a mobile phone App to support the monitoring of small cats and other wildlife and community-based monitoring of wild cats in Output 3.3 through a citizen science approach to populate the database, hosted by MoEFCC as the Implementing Partner for the project. The updated scientific information will be shared through periodic reporting on small cat status and distribution that informs national and global Red List assessments for small cats. Data sharing/accessibility protocols will be developed with key partners in order to secure its value to multiple users and to contribute towards its sustainability. MoEFCC would continue to host and finance the database operation and related App following their own institutional mechanisms after project closure. Further, conservation efforts at field level are already supported in a big way by MoEFCC and respective states (including deployment of frontline and establishment costs, infrastructure, protection etc.). Such financing is resulting in a database emanating from patrolling/monitoring protocols, management plans and tiger conservation plans and working plan implementation. The durability of investment envisaged in the project is thus supported at the formative stage and beyond.

1.2.3 Travel and field work for macro surveys and species distribution assessment of targeted regions;

1.2.4 Compilation, design, printing and electronic publication of a national wild cat distribution atlas.

1.2.5 Consultation workshops (3) to determine recovery actions focused on the targeted species;

1.2.6 Development of draft national recovery action plans and national strategy for small wild cat conservation including climate change adaptation measures;

1.2.7 Stakeholder review and input to drafts;

1.2.8 Editing, designing, printing, release and dissemination of action plans.

#### ***Output 1.3: Protocol and Standard Operating Procedures (SOPs) for small cat conservation developed and institutionalized in State and District Forest Departments***

**Purpose:** To strengthen operational management by the State and District Forest Departments on key issues that relate to small wild cats and landscape conservation.

**Approach:** The project will support the development of a Protocol for population assessment and monitoring status for small wild cats, their habitat and prey, as well as SOPs on key issues to facilitate operational management by the Forest Departments at State and District levels and introduce more advanced conservation practices. The SOPs will include the following priorities: i) customization of existing SoP for human-wildlife conflict (HWC) mitigation with a special focus on wild cats; ii) standardized small cat monitoring protocols; iii) human rights-based approach to site-based law enforcement. While the issues listed above are common to all landscapes, a tailored approach will allow them to be adapted to the locally specific conditions, and for specific SOPs on other priorities to be developed and institutionalised for each of the three landscapes (including Ranthambhore) as necessary and as far as project resources allow. This will be assessed through workshops in each landscape. The completed SOPs will be published and distributed and co-financed government efforts will support distribution/rollout of the SOPs nationally through means such as stakeholder workshops. They will support implementation of the National Wildlife Action Plan, Wildlife Protection Act, Forest-dwellers Act, Indian Forest Act, and Biodiversity Act, and community engagement will be integrated in the SOPs.

In order to strengthen the sustainability of protocol and SOP implementation and regular species and habitat monitoring, it will be important to ensure that the site-specific efforts are also integrated into the country level monitoring efforts for species like tiger and leopard. India will be soon implementing the next cycle of *All India Tiger Estimation* across all tiger bearing habitats, and the project management will work with the NTCA and states to ensure that all small wild cat camera captures are stored in a repository. This will be in addition to following the monitoring protocols designed for specific small wild cat monitoring activities led by trained tiger reserve and forest department staff, along with communities and other relevant landscape stakeholders.

This Output will be led by GTF as a sub-level Responsible Party.

A SESA approach will be integrated and apply in the SOP planning process for small cat conservation. As part of SESA, a screening procedure will be followed to identify and avoid chances of curtailing of resource management right of Indigenous/Tribal peoples of the project landscapes while formulating operational policies, plans and guidelines for small cat conservation.

**Indicative Activities:**

- 1.3.1 Convene workshops with scientific institutes and Government agencies to develop a Protocol for population assessment and monitoring status for small wild cats, their habitat and prey;
- 1.3.2 Technical agency/consultant inputs for drafting of Protocol (see **Annex 23** for additional design considerations);
- 1.3.3 Editing, designing, printing and dissemination of Protocol;
- 1.3.4 Convene workshops and consultations with stakeholders and partners for customization of existing SoP for human-wildlife conflict (HWC) mitigation with a special focus on wild cats, SoP on human-rights approach to site-based law enforcement, and other SoPs identified as priorities;
- 1.3.5 Technical agency/consultant/department to customize the SoP on HWC, draft SoP on human-rights approach to site-based law enforcement and other priority SoPs;
- 1.3.6 Editing, Designing and Printing of SoPs;
- 1.3.7 Convene evaluation workshops to confirm best practices and disseminate results (using NTCA Tiger Landscapes as units).

**Output 1.4: Site-specific guidelines on small cat conservation integrated into revised big cat conservation strategies and management plans of tiger reserves and other PAs**

**Purpose:** To build a coordinated action portfolio for wild cat conservation bringing together big cat and small cat conservation through integrating small cat conservation needs into conservation strategies and plans that are mainly focused on tigers.

**Approach:** Site-specific guidelines on small cat conservation will be developed in accordance with the landscape-level master plans developed in **Output 1.1** and integrated into revised big cat conservation strategies, conservation plans of tiger reserves and management plans of other PA types. This will be led by technical

experts on small cat conservation working with PA staff, national and landscape government agencies and NGO partners. The guidelines should be coordinated with the SOPs in **Output 1.3** and training for frontline staff in **Output 2.3**, and take account of climate change vulnerability and adaptation needs.

A Social and Environmental Strategic Assessment (SESA) approach will be integrated and apply in the guideline development process for small cat conservation. As part of SESA, a screening procedure will be followed to identify and avoid chances of curtailing of resource management right of Indigenous/Tribal peoples of the project landscapes while formulating operational policies, plans and guidelines for small cat conservation.

#### **Indicative Activities:**

1.4.1 Taking account of the baseline assessment during project preparation (see **Annex 19**), convene a national expert workshop and consultations to determine the priority subjects for guidelines for small wild cat conservation across India and draft a framework based on the outcomes of the national workshop;

1.4.2 For each landscape, confirm priority subjects based on the national framework and local consultations, and draft site-specific guidelines focused on key issues for the conservation of small wild cats taking into account the baseline assessment during project preparation (see **Annex 18**). Examples of landscape specific issues are given below;

1.4.3 Convene workshops for each project landscape to review the draft guidelines and the process for incorporating them into relevant plans. Determine information gaps and research needs, and responsible parties and coordination required for implementation of the guidelines;

1.4.4 Finalize the guidelines and socialize them with staff of the relevant agencies through seminars / training (coordinated with **Output 1.3**);

1.4.5 Coordinate with the Forest Dept, NTCA and other relevant agencies to support the incorporation of the guidelines into relevant work plans, TCPs, Management plans, etc.

#### **Landscape Considerations for Site-specific Guidelines for Small Wild Cat Conservation:**

**Dudhwa Landscape:** *Maintaining habitat connectivity for wildlife in the ESZ; Biodiversity-friendly farming methods for sugarcane, rice and other crops; Sustainable forest management with emphasis on biodiversity conservation and connectivity; Grassland and grazing management for small cats and their prey populations; Wetland and riverbank management for fishing cats; Wild cat kitten identification, rescue and care; Free-ranging dog neutering programmes and management; Reduction of road traffic-related mortality; community-based anti-poaching patrols.*

**Ranthambhore Landscape:** *Maintaining habitat connectivity for wildlife in the ESZ; Biodiversity-friendly farming methods such as 'cat sanctuary areas' in field margins and reduction in use of pesticides/rodenticides/insecticides; Management of 'Wasteland' - arid scrubland, ravine and inactive sandstone quarry habitats to benefit wild cats; Management and restoration of riverbank habitats (for fishing cats); Free-ranging dog neutering programmes and management; Reduction of road traffic-related mortality; community-based anti-poaching patrols; Management of free-ranging cattle carcasses<sup>57</sup>.*

**Pakke-Eaglenest Landscape:** *Maintaining habitat connectivity for wildlife in the ESZ; Sustainable forest management with emphasis on biodiversity conservation and connectivity; Sustainable land management and farming practices to benefit wildlife and including reduction in use of pesticides / rodenticides / insecticides; Wild cat kitten identification, rescue and care; Free-ranging dog neutering programmes and management; Reduction of road traffic-related mortality; community-based anti-poaching patrols.*

#### **Output 1.5: Capacity of State and District agencies increased for mainstreaming wild cat conservation in landscape management**

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<sup>57</sup> Most of these carcasses are fed on by feral dogs during the day and several wild carnivore species at night (shown by camera trap images), exacerbating risks of disease transmission between feral dogs and wildlife (Source: WWF India). This issue may also apply to Dudhwa landscape.

**Purpose:** To address existing gaps in institutional capacity and technical skills for planning and implementing landscape conservation including ESZ management among State and District agencies (Forest, Horticulture, Medicinal Plants, Agriculture Animal Husbandry, Veterinary Services, Tourism, Water Management, Rural Development, Land, District Collectors Office, etc.) and to sensitize elected representatives on biodiversity conservation including wild cats. This calls for orientation and training of various agencies operating in the wild cats landscapes. **Approach:** The capacity assessments for State and District agencies and training needs assessments conducted during project preparation (see **Annexes 18 & 19**) identified gaps and weaknesses that the project will address. The project will develop a set of training modules to support landscape conservation and ESZ management, and will also address locally-specific capacity and training needs through tailored activities (e.g. to address a specific land use issue, reduced chemical use in agriculture, management of free-ranging dog populations in problem areas<sup>58</sup>). Sensitization of targeted stakeholder groups (suggested stakeholder groups and related themes are mentioned below), including elected representatives, will aim to strengthen support for landscape conservation. Staff of stakeholder departments will be included in training activities (or assist in their provision) in order to strengthen understanding and collaboration between the Tiger Reserve management and other government bodies involved in the wider landscape.

Capacity building will be conducted at several levels, including forest frontline staff and stakeholders working and operating in the landscape. The training process will involve regular forest guard schools and departmental training institutes. There is ongoing engagement of the GTF, WWF and the Government of India which is focusing on refinement of the frontline staff training curriculum regionally and nationally, incorporating inputs and workshops from training institutes across the country. Sharing of knowledge emanating from the current project and the associated training design will utilize such common platforms for engagement, and the modules will be designed for implementation across the landscape and also for covering thematic areas such as monitoring protocols, SOPs and recovery actions nationally. The focus on staff development is a key theme in the government's tiger conservation plans, and will also be incorporated in the landscape master plans. To ensure the sustainability of training and capacity building towards landscape level efforts, training initiatives will be monitored through the same coordination mechanism/committee with an institutional TOR to be established at the landscape level (provided in Output 1.1), and the modules and training outputs/learnings will be shared with state level departmental training institutes for inclusion in their curricula, workshops and state and centre supported capacity building efforts.

The capacity building would include orientation of senior level state officials (cross-sectoral), followed by training of trainers (TOT) in selected revenue and forest training institutions for each state. Special emphasis would be given to building the capacity of landscape level frontline functionaries, who would be mandated for field execution (see also Output 2.2). This orientation as well as TOT would incorporate all indicated thematic areas. Outputs 1.3 and 1.4 will result in a standard operating procedures and guidelines for stakeholders grouped under broad categories. The resulting codification through SOPs and guidelines evolving from the project will form a crucial part of capacity building elements.

An indicative list of Government Departments (State and GOI) and capacity building themes related to the landscape master plans to ensure convergence of efforts towards conservation of wild cats and their habitats is as follows (for scoping purposes):

- Forest and Wildlife – wildlife protection, habitat conservation, monitoring, livelihoods (PES ), human wildlife interface issues;
- Police – local enforcement, human wildlife interface issues;
- Protection Agencies – preventing inter-state/transborder crime and wildlife trafficking;
- Departments handling Rural/Agriculture – livelihoods, cultivation, natural resource management, waste disposal, wildlife monitoring, ecotourism, prophylactic measures, green buffering for disease prevention and regulation;

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<sup>58</sup> NTCA have issued several Advisories relating to disease threats to wildlife including canine distemper (3 Oct 2018) and services of vet doctors to support PA management (28 Nov 2019)

- Departments handling urban portfolio – waste disposal, urban biodiversity, monitoring edge habitats, ecological planning, green buffering, disease regulation;
- Revenue – green funding support, ecological/green land use planning;
- Linear Infrastructure – smart green infrastructure, monitoring road/rail corridors passing through wildlife habitats, retrofitting for corridor connectivity;
- Disaster Management – land use change monitoring, disaster mitigation and readiness, human wildlife interface issues;
- Tourism – supporting host community ecotourism, sustainable tourism guidelines around protected areas, state-of-the-art communication strategy for marketing and promotion;
- Agencies handling Industry/business engagement – business models, connecting community produce to national/global markets.

The GTF and WWF India have already conducted capacity building of frontline staff in and around Pilibhit and Dudhwa TRs to address the human-tiger interface, comprising a composite portfolio that includes monitoring and protection, use of modern equipment, forecasting and alerts, chemical immobilization of aberrant wild animals, livelihoods and micro planning, and other proactive approaches for mitigating human wildlife conflict. In addition, sensitization of stakeholder agencies at the landscape level is being carried out along with training of community stewards for monitoring and reducing human wildlife interface.

#### **Indicative Activities:**

1.5.1 Validate and update baseline capacity scorecard assessments and training needs analyses conducted during project preparation (see **Annexes 18 & 19**) at project inception stage;

1.5.2 Prepare capacity development, training and sensitization plans with related State and District agencies on key issues such as landscape level monitoring of species and habitat, sustainable agriculture, horticulture using reduced chemical inputs, HWC mitigation measures for agriculture and horticulture, eco-tourism, management of abandoned cattle, management of free-ranging dog populations, 'wasteland' management, among others (see the above scoping list);

1.5.3 Develop training materials appropriate for the targeted trainee groups;

1.5.4 Conduct targeted training workshops, refresher courses and sensitization activities such as seminars and events for line departments and other stakeholders according to the training plans (approx. 30 participants / training workshop; 8 workshops for each landscape);

1.5.5 Conduct evaluations of all training activities using post-training questionnaires for all participants; monitor changes in capacity through capacity development scorecard assessments at mid-term and project completion.

## Component 2. Strengthened management and protection of wild cat landscapes<sup>59</sup>

*Outcome: Improved protection and management of wild cats and habitats in target PAs, corridors and buffer zones in wild cat landscapes*

*[Component 2 will be supported by WWF as GEF Agency]*

### **Without GEF Intervention (Baseline):**

73. At the landscape level, the main responsibilities and projects of various line agencies and the dominant industries are described in **Annex 20 and Annex 16**. These baseline inputs vary between the landscapes owing to geographical, socio-economic and cultural differences.
74. **In Dudhwa landscape**, Dudhwa Tiger Reserve is governed by the Forest Department with the Field Director as the head. The annual budget for recurrent operational funds excluding staff costs in 2019-2020 was USD 1,910,400. The annual budget for project or other supplementary funds excluding staff salary costs in 2019-2020 was USD 1,119,657. However, delays in receiving budgeted funds are common and many staff posts have not been filled: In Dudhwa National Park and Kishanpur WLS against 128 posts, 57 are vacant (44.5%), in Katarniaghat WLS, against 68 posts 28 are vacant (41%) and in North Kheri against 47 posts 16 are vacant (34%). No training has been provided for officer / managers. Meetings and trainings have been organised in the past three years but focused trainings on developing core skills (e.g. wildlife crime investigation, collection monitoring of various management inputs, monitoring of wildlife health, reading wildlife signs and evidences), among field personnel were few. No long term staff development plan and training schedule has been developed. Support from NGOs has included WWF inputs on tiger estimation (All India Tiger Estimation), rhino monitoring, gharial monitoring and staff training. The two districts, Lakhimpur-Kheri and Bahraich have District Magistrates as respective heads. Since the landscape shares an international border with Nepal, Sashastra Seema Bal (a border police force) patrols the border. Co-ordination with counterparts in Nepal is usually conducted through the office of the District magistrate. The presence of SSB personnel (border force) has been reported to be very effective in controlling poaching. The Forest Department has monthly coordination meetings with SSB.
75. **In Pakke-Eaglenest landscape**, Pakke is a Tiger Reserve, hence the management is focused on tigers and their prey base. A large part of the landscape is also included in the Kameng Elephant Reserve, where the focus is on conserving the connectivity of the elephant habitat. The Sessa Orchid Sanctuary has an active research and conservation program for conservation of Orchids; it is headed by a Divisional Forest Officer from Khellong Forest Division. Pakke Tiger Reserve is governed by the Forest Department with the Field Director as the head, while Eaglenest Wildlife Sanctuary (West Kameng) is headed by a Divisional Forest Officer from Shergaon Forest Division. The annual budget for Pakke TR for recurrent (operational) funds – excluding staff salary costs in 2019-2020 was USD 353,543; and the annual budget for project or other supplementary funds – excluding staff salary costs was USD 713,286. The annual budget for recurrent (operational) funds for Eaglenest WS was USD 78,844 for 2019-2020. However, protracted delays in receiving budgeted funds has been a major issue impacting operational management for these PAs. In addition, Singchung Bugun Village Community Reserve (17 km<sup>2</sup>) lies in West Kameng District. Outside the PAs, a large fraction of the forested landscape is under nominal governance of the Forest Department as “Unclassified State Forests<sup>60</sup>”, where local communities hold traditional rights. Involvement of local communities in biodiversity conservation through ecotourism or sustainable NTFP harvest practices is emphasised in the fringe areas of PAs and in the wider landscape. The respective Forest Departments as well as several NGOs are actively engaged in this. The Kati Trust, Nature Conservation Foundation, Wildlife Trust of India and WWF-India are active in the landscape.
76. While the Tiger Reserves in each landscape are systematically managed according to their Tiger Conservation Plans and evaluated through the national Management Effectiveness Evaluation, there remain chronic staffing shortages, lack of the necessary skills among PA staff and lack of opportunity for staff professional development. For example, the Tiger Conservation Plan (TCP) of Dudhwa has highlighted an

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<sup>59</sup> Note – Component 2 only covers Dudhwa and Pakke-Eaglenest landscapes – Ranthambhore is not included

<sup>60</sup> i.e. they are not Reserved Forests, National Parks or Sanctuaries.

acute shortage of staff, even though the posts are sanctioned. Among the more prominent are vacancies in front-line staff (Forest Guard, Wildlife Guard). The METT baseline assessments (**Annex 10**) and analysis of PA management staffing and SWOT analysis in the landscape profiles (**Annex 17**) supported by other baseline assessment inputs (**Annexes 19 & 21**) provide details of these shortcomings. In addition, the living conditions for field staff at Dudhwa pose health and safety challenges, and there are needs for improved facilities and equipment in all landscapes. Technical skills need to be strengthened on monitoring wild cat populations and habitats, applying appropriate management techniques for habitat restoration and connectivity, managing HWC effectively and related subjects, through the development of SOPs and providing appropriate training support.

**With GEF Intervention (Project Alternative):**

77. **Components 2 and 3** will be implemented in two project landscapes (Dudhwa and Pakke-Eaglenest), putting in place the required State, District and local capacity, collaborations and community stewardship for landscape-scale conservation in the targeted globally-significant landscapes for wild cats (see **Annexes 1 & 17**). **Component 2** will bring together key government departments with roles to play in wild cat conservation to support the implementation of the landscape-scale master plans. This will help to build a complementary and coordinated action portfolio that brings together big cat and small cat conservation under the guidance and supervision of NTCA. Supporting the implementation of landscape conservation master plans, targeted interventions will be demonstrated to improve key habitats used by wild cats (**Output 2.1**), focusing on strengthening the ecological integrity of forest, grassland and wetland habitats. This will benefit a wide range of wildlife dependent upon these globally significant habitats. Secondly, frontline staff will be capacitated and equipped to support wild cat conservation, monitoring and enforcement, with a focus on human-rights based approaches (**Output 2.2**). This will include the completion of security assessments and provision of equipment for monitoring and surveillance and training in state-of-the-art monitoring protocols (e.g. M-STriPES 'Monitoring System for Tigers - Intensive Protection and Ecological Status' protocols and software system), and implementation of SOPs for wild cat conservation developed under Component 1 including training in community engagement and delivery of a human rights-based approach to site-based wildlife law enforcement. Overall, this component will result in strengthened frontline staff capacity for the management of habitats and wild cats within the targeted landscapes, also benefiting the other globally significant wildlife inhabiting the same landscapes (see **Annex 16**).

***Output 2.1: Targeted interventions to improve wild cat habitat management demonstrated in project landscapes***

**Purpose:** To demonstrate improvements to small wild cat habitats in support of implementation of the landscape conservation strategies

**Approach:** Baseline assessments during project preparation provided initial information regarding the presence of small wild cat species in each landscape, the main habitats being used, as well as land use management issues, threats and opportunities for habitat conservation and rehabilitation (see landscape profiles in **Annex 16**, and baseline assessments in **Annexes 18 & 20**). Based on this analysis, the project will support targeted interventions for each landscape as described below, which will support implementation of the landscape conservation master plans for wild cats in Output 1.1 (for example, by restoring habitat connectivity). In most cases, initial in-depth targeted assessment is required to inform the detailed habitat management and rehabilitation design in order to ensure it is well grounded in ecological science and will yield sustainable results that benefit wild cats, their prey base and other wildlife. This Output will be led by GTF as a sub-level Responsible Party.

**Indicative Activities:**

**Dudhwa Landscape**

The habitat conservation priorities for Dudhwa Landscape are to restore key habitats for fishing cat, especially the natural grassland and wetland habitats in Sujauli Range of Katerniaghat WS & South Sonaripur Range of Dudhwa TR. The success of these habitat improvement efforts will be contingent upon the effective control of over-grazing as there are reportedly some 10,000 head of cattle, mainly abandoned, impacting this area and

rendering it largely unsuitable as habitat for wild cats. While in the medium term (several years), the number of abandoned cattle will naturally decline, short term management measures are needed to reduce pressure on the grassland. Therefore, due to the cultural and political context around valuing live cattle, the project will support the establishment of *goshalas* (facilities that look after up to 1,000 abandoned cattle under a government scheme) in the area to remove the cattle. This will be complemented by community livelihood activities to incentivize engagement and reduce pressure on the grassland, as well as respond to any impacts on livelihoods due to the habitat management (see **Output 3.4**).

***Sub-output a): Improved management of natural grassland and wetland habitats in Sujauli Range of Katerniaghat WS & South Sonaripur Range of Dudhwa TR***

The improved management of these habitats will directly benefit globally significant species including greater one-horned rhinoceros, Asian elephant, tiger, leopard, fishing cat, jungle cat, barasingha, etc. It will also contribute towards improved condition of degraded grasslands and wetlands that lie within the Global 200 Ecosystem *Terai-Duar Savannas and Grasslands*. All three PAs in the landscape are recognized Important Bird Areas and KBAs (see Landscape and PA Profile in **Annex 16A**).

- 2.1.1 Collect available baseline data and plans with Forest Dept and other agencies and establish baseline for current management practices for grassland and wetland habitats, describe the hydrological conditions, ecological communities, weed infestation, livestock and wildlife use, and presence of small cats in Year 1;
- 2.1.2. Expert consultation & capacity building of forest staff on grassland and wetland management (annual workshops);
- 2.1.3. Preparation of grassland and wetland management plans through consultation with experts and managers;
- 2.1.4. Facilitate implementation of habitat management under expert supervision, including:
  - Vegetation management
  - Management of human and grazing access, fencing of grazing enclosures (note – establishment of goshalas for abandoned cattle and mitigations to access restriction are covered in Output 3.4)
  - Soil and water testing and analysis
  - Management of water levels
  - Nursery development for native species
  - Planting of desired vegetation where necessary;
- 2.1.5. Conduct monitoring of habitat management interventions and fishing cat occupancy, annual reviews of progress in rehabilitation, and end of project documentation and evaluation of the habitat improvement, share lessons learned to inform the management of similar habitats in these landscapes and elsewhere.

**Pakke – Eaglenest Landscape**

The habitat conservation priorities for Pakke – Eaglenest Landscape are to protect, sustainably manage key forest habitats for forest-dwelling cats:

**Sub-output b): Enhanced forest connectivity through identification of critical corridor areas and participatory management and rehabilitation of degraded forest habitats in the ESZ**

The fragmentation and degradation of forest habitats is an ongoing piecemeal process in this forested foothill region that supports some of the greatest species richness on earth: the project landscape lies in the East Himalayan Biodiversity Hotspot, and is part of the “East Himalayan Endemic Bird Area”. Its upper reaches are also recognised among the Global 200 Ecoregions as the “Eastern Himalayan Broadleaf and Conifer Forests” ranked as Vulnerable. Three Important Bird Areas which are also Key Biodiversity Areas (KBA) are located in the landscape, namely Eaglenest and Sessa Sanctuaries, Pakke Wildlife Sanctuary, and Shergaon, Mandla - Phudung and Kalaktang. A large part of the landscape also constitutes the Kameng Elephant Reserve (see landscape/PA Profile in **Annex 16C**). Accordingly, the strengthening of forest connectivity and integrity in critical bottleneck areas will benefit wide-ranging species such as Asian elephant and tiger in addition to the targeted cat species (including clouded leopard, Asian golden cat and marbled cat) and diverse other wildlife species. This sub-output



will also contribute towards the sustained integrity of forest condition in targeted areas that are known to be subject to ongoing degradation processes, through stakeholder and community engagement.

2.1.6 Satellite image analysis to map key forest corridor bottlenecks at landscape level (e.g. Tenga RF and Sessa Orchid Sanctuary) and conduct ground surveys and baseline analysis to determine causes of forest loss and degradation and potential for rehabilitation;

2.1.7 Develop site-specific plans for forest management and rehabilitation to strengthen forest corridors by engaging local communities and other stakeholders, linked to incentives through community conservation agreements (see **Output 3.4**) including cultivation and sustainable harvesting of NTFP such as medicinal plants where appropriate, and to determine scope of intervention, coordination and technical support responsibilities;

2.1.8 Implement forest corridor improvements for critical bottlenecks according to the agreed plans through a participatory approach that engages communities in rehabilitation work (e.g. assisted natural regeneration);

2.1.9 Support participatory monitoring by communities and District Forestry Office (see **Output 3.3**) and evaluation of the use of improved areas by wildlife including wild cat species occupancy.

### ***Output 2.2: Frontline staff capacitated and equipped to conduct monitoring, surveillance and enforcement***

**Purpose:** To reduce the impact of threats on small wild cats and their habitats across the project landscapes by increasing the effectiveness of PA and buffer zone management

**Approach:** Based on Security Audit jointly conducted by GTF (using WWF guidelines), , with approval and guidance of the NTCA, the project will systematically address the gaps and weaknesses in the capacity of frontline staff that were identified during the capacity assessment for each landscape during project preparation (see the Capacity Development Scorecards in **Annex 18**). Capacity development inputs will focus on training in state-of-the art monitoring protocols (e.g. M-STriPES ‘Monitoring System for Tigers - Intensive Protection and Ecological Status’ protocols and software system), and implementation of SOPs for wild cat conservation developed under Component 1 including training in community engagement and delivery of a human rights-based approach to site-based wildlife law enforcement. They will also include limited provision of priority equipment for patrolling, monitoring and surveillance tailored to the specific needs identified for each landscape, including monitoring of roadkills of wild cats. Changes in capacity will be monitored through capacity development scorecard assessments at mid-term and project completion.

In order to strengthen the sustainability of training inputs, the training process will involve regular forest guard schools and departmental training institutes. There is ongoing engagement of the GTF, WWF and the Government of India which is focusing on refinement of the frontline staff training curriculum regionally and nationally, incorporating inputs and workshops from training institutes across the country. Sharing of knowledge emanating from the current project and the associated training design will utilize such common platforms for engagement, and the modules will be designed for implementation across the landscape and also for covering thematic areas such as monitoring protocols, SOPs and recovery actions nationally. The focus on staff development is a key theme in the government’s tiger conservation plans, and will also be incorporated in the landscape master plans. To ensure the sustainability of training and capacity building towards landscape level efforts, training initiatives will be monitored through the same coordination mechanism/committee with an institutional TOR to be established at the landscape level (provided in Output 1.1), and the modules and training outputs/learnings will be shared with state level departmental training institutes for inclusion in their curricula, workshops and state and centre supported capacity building efforts.

This Output will be led by GTF as a sub-level Responsible Party.

### **The overall project approach to capacity development for frontline staff will include the following activities:**

2.2.1 Develop an implementation plan for training provision based on local needs in each landscape and the Security Audit conducted by GTF, with approval and guidance of the NTCA;

2.2.2 Develop training curricula and modules on key subjects including community engagement and gender incorporating a human rights-based approach, field techniques for monitoring small cats, taking account of project-supported SOPs (**Output 1.3**) and guidelines (**Output 1.4**);

2.2.3. Conduct capacity building program for forest staff as per curricula, including short training courses, on-the-job training, and exposure visits of selected staff to other project sites to learn best practices<sup>61</sup>;

**In Dudhwa Landscape** this will include facilitating effective implementation of MSTripES program in Dudhwa TR through refresher training, feedback session, and assistance in analysis and report generation;

**In Pakke-Eaglenest Landscape**, this will include capacity building of Forest frontline staff including on project-related SOPs – Pakke and Eaglenest: six trainings, 30 persons per training;

2.2.4 Conduct awareness raising on small cat conservation and specialized training of frontline staff through full integration of small cat monitoring in NTCA PHASE IV programs. These trainings will be conducted nationwide in blocks of NTCA-Landscapes (cofinanced by NTCA);

2.2.5 Convene workshops on awareness of legal issues related to small cat conservation and protection for frontline staff, line departments, EDCs and other local stakeholders;

**In Dudhwa Landscape** this will include a sensitization cum coordination programme for other line agencies and related transboundary Nepalese authorities on threats & IWT - for SSB, Police, Agriculture, Revenue Dept, etc - 1 program/year at each site;

**In Pakke – Eaglenest Landscape** this will include the sensitization of Defence, Police and border security and customs forces: five trainings; and conduct training / sensitization on community engagement, gender and human rights-based approach to enforcement for forest department and army staff;

2.2.6 Provide limited priority field gear and equipment for field staff to enable effective patrolling, monitoring and law enforcement (e.g. GPS, binoculars, communications equipment);

2.2.7 Conduct capacity development scorecard assessments at mid-term and project completion.

### **Component 3. Community stewardship and human-wildlife coexistence in wild cat landscapes<sup>62</sup>**

*Outcome: Enhanced community-based management of wild cats and habitats, with threat reduction including HWC and improved local livelihoods*

*[Component 3 will be supported by WWF as GEF Agency]*

#### **Without GEF Intervention (Baseline):**

78. The baseline assessments (see Annexes 7, 8, 16, 18, 19, 20) have indicated diverse socio-economic conditions and awareness of small wild cats in local communities and tribal groups across the project landscapes. In some cases, the communities and tribal groups have a strong affinity with the natural environment, traditional knowledge, customs and beliefs that foster a respect for nature, and where opportunities have arisen they have embraced conservation initiatives in all the project landscapes with NGO facilitation. However, such awareness and opportunities are limited and the successes need to be replicated and upscaled in key landscape areas to foster sustainable land uses and habitat conservation and to reduce threats from hunting, IWT and HWC.

79. While certain government initiatives have also had positive effect, such as the LPG cylinders provided through the Ujwala Yojna scheme and National Livelihood Rural Mission (NLRM) support for backward districts<sup>63</sup> at **Dudhwa**, there remains much to be done to align such rural development schemes with conservation objectives and to improve their uptake and effectiveness. There is also poor linkage of livelihoods to market access, constraining their sustainability. Many communities near PAs and forested areas suffer significant HWC losses, including locally severe impacts from leopard attacks, elephant crop damage and widespread damage from animals such as wild boar. The trauma involved, lack of HWC response support and current government compensation systems that are slow, complicated and only partially redress losses incurred, often cause negative local attitudes towards wildlife and PAs. Overall,

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<sup>61</sup> Participants from Bhutan/Nepal will join training activities when these are in line with the transboundary roadmap-identified areas for collaboration.

<sup>62</sup> Note – Component 3 only covers Dudhwa and Pakke-Eaglenest landscapes – Ranthambhore is not included

<sup>63</sup> See: <https://pmawards.gov.in/public/List-of-Backward-Districts.pdf>

incentives for community engagement, remain weak, restricting community capacity and willingness to apply eco-friendly land use and habitat management techniques that will support wild cat conservation and help prevent and manage HWC. The non-statutory, bottom-up initiative of Community Conservation Areas is widespread across regions of India, however so far these largely do not overlap with the main geographic range of small cat species. Further there is a lack of technical capacity in community institutions to support habitat and wild cat conservation. NGO support has included WWF support for HWC mitigation (solar fencing), livelihood skill development for Tharu women on weaving handicrafts, alternative energy to reduce fuelwood consumption, distribution of mosquito nets, jackets, vehicles (in buffer) etc. WTI has provided staff and primary response team training for conflict mitigation; publicity through announcements in Masjid, Gurudwara etc. related to tigers; Rapid Response Team is deployed by WTI. There are several Eco-Development Committees (EDCs) that were set up in the region (152 EDCs and 32 JFMCs) but currently not all are functional but could potentially be revived. They should be included in awareness and training programs and in turn they can then organize awareness programs among locals.

80. **In Pakke-Eaglenest landscape**, community-based ecotourism has been initiated with some success. However, current tourist visitation has been seriously impacted by the COVID19 pandemic in 2020, and the outlook for further tourism development remains uncertain in the face of related risks (see Risk Register, **Annex 5**). At present, tourists can stay at the tourist lodge of the Forest Department in Pakke. The Ghora Abhe Society has established an Ecotourism Camp where tourists can stay. There are also some home stays in villages around Seijosa, including Mobosotu-2 and Darlong. The Forest Department gives grants for developing home stays. Assuming some level of normality post COVID-19 recovery in the next year or two that would allow for domestic and international tourism, substantial work is possible in the area of home stays and ecotourism, such as training and financing. Several individuals and organizations have helped in developing ecotourism at Pakke including Ramana Athreya, Amruta Rane, Ramki Srinivasan, Aparajita Dutta and Help Tourism. At present the local people are thinking of constituting a committee to establish the rules and regulate tourism. There is also potential for horticulture development including cultivation of various types of fruit, with Horticulture Department subsidies available. Horticulture is less prone to damage by elephants compared to agriculture. Local people make traditional handicrafts such as gaale (traditional coat), muffler, bamboo backpack and bamboo basket. NGO activities include WTI support for a bear rescue centre at Pakke since 2003 and a hornbill adoption programme is being implemented by NCF to address the hunting of Great / Rufous-necked hornbills by Nyishi community for their casques. Eco-Development Committees from the landscape are mostly non-functional but could be revived and included in awareness and training programs and in turn they can then organise awareness programs among locals.

**With GEF Intervention (Project Alternative):**

81. Working in parallel with Component 2, in the same wild cat landscapes and with the same communities, **Component 3** will build community stewardship and engagement towards the co-management of wild cat habitats at targeted locations in each landscape. The project will strengthen the governance and capacity of existing community and village-level institutions (e.g. Gram Panchayats, Women Self-Help Groups, Eco-Development Committees (EDC), Forest Rights Committees (FRC), Tribal Village Councils (Pakke and Eaglenest), cooperatives, etc.) to take a greater role in wild cat conservation, applying the positive lessons from the Bugun Sinchung Village Community Reserve beside Eaglenest WLS (**Output 3.1**). The project will provide training on wild cat habitat management, participatory monitoring and business skills. Community engagement and participation will be enhanced through awareness-raising programmes for local communities including documentation of related traditional knowledge (**Output 3.2**). A new model for participatory community monitoring of small wild cat populations and HWC damage and risks will be operationalized (**Output 3.3**) with the support of village-level institutions, raising understanding of local wild cat population status, the quality and use of habitats within PAs and across the surrounding mosaic of forest and agricultural land, and on the extent of threats impacting habitats, as well as HWC, poaching and roadkills. Incentives will be provided to support community participation in small wild cat conservation and reduce pressure on wild cat habitats through diversification of local livelihoods, as well as mitigate any livelihood or access impacts from the habitat management and law enforcement in Component 2, linked to the IPPF (**Output 3.4**). Uptake of more sustainable land and habitat management practices will be supported by value addition to agriculture and livestock products, and establishment/enhancement of tourist facilities and ecotourism programmes (while taking account of COVID19-related risks, see **Annex 5**). Finally, HWC hotspots will be identified and innovative mechanisms for preventing and managing HWC in areas adjacent

to PAs and corridors demonstrated (**Output 3.5**). This will involve the completion of SAFE workshops with communities and local stakeholders applying the WWF SAFE Framework, followed by investment in community-based HWC solutions (e.g. solar electric fencing) that respond to the identified issues. Overall, this component will result in increased capacity and mobilized communities engaging in community-based natural resource management that benefits small wild cats and their habitats within the targeted landscapes, as well as other globally significant wildlife (see landscape profiles in **Annex 16**).

### **Output 3.1: Capacity developed for community-based management of wild cats and habitats**

**Purpose:** To strengthen the governance and capacity of community and village-level institutions to play a greater role in wild cat and habitat conservation in line with the master plans for wild cat conservation.

#### **Approach:**

This Output will provide the tools and training incorporating gender and social inclusion aspects to build the capacity of local communities for the management and restoration of diverse habitats for wild cat conservation. Training contents and delivery will be tailored to the local context in each landscape, covering: 1) habitat management and restoration with a community-centred safeguard approach towards forest, scrub, grassland, wetland and riverbank management; 2) the identification and monitoring of small wild cat species and their ecological requirements; and 3) administrative procedures, financial management, record-keeping, and effective consultation for village level institutions, such as: Gram Panchayats, Women Self-Help Groups, Eco-Development Committees (EDC), Forest Rights Committees (FRC), Tribal Village Councils (Pakke and Eaglenest), cooperatives, etc. Training modules will be developed for each subject area targeted at local communities, delivered in-situ, and the results evaluated and used to improve the modules and delivery for target areas through an iterative process. The training will support related interventions including community-based approaches to area management, participatory monitoring of small wild cats, habitat restoration pilots, livelihood diversification and HWC response.

In order to strengthen the sustainability of capacity development for local communities, existing structures such as the Eco Development Committees and tiger reserve specific Tiger Conservation Foundations (TCFs) will be engaged for refresher courses evolved around the project themes, with a special focus on community-led monitoring for small wild cats. Scaling up successful capacity building efforts can be done through existing partnerships with state agencies, and forging integration of activities in departmental schemes and goals (as described with National Agricultural Cooperative Marketing Federation of India Ltd. (NAFED) earlier, involvement of animal husbandry, horticulture, skill development department, etc).

#### **The overall project approach to capacity development at community level will include the following activities:**

3.1.1 Conduct a Rapid Needs Assessment of the institutional capacity of Eco-Development Councils in project villages - rapid needs assessment of targeted villages in each landscape;

3.1.2 Develop community engagement processes for high conservation value (HCV) habitats in Dudhwa as well as Pakke & Eaglenest buffer zones (Rupa Sinchung and Shergaon), taking into account local experiences such as Bugun Sinchung. This will include stakeholder review and agreement to proposed approaches, including Free Prior informed Consent consultations with IP communities at an early stage of the process in line with the project safeguards plans (see Risks section, **Annex 25** (IPPF)). Such HCV habitats are also the subject of rehabilitation efforts in Output 2.1 where they have been degraded;

3.1.3 Develop a capacity development / training programme plan for each landscape based on the training needs analysis during project preparation, taking account of various approaches to community-based monitoring of wildlife and habitats (for example, see the Event Book System approach that has been successful in parts of Africa<sup>64</sup>);

3.1.4 Develop training modules for the main subject areas at an appropriate level for local communities (tailored to specific needs), including: habitat management and restoration, identification and monitoring of small cat species, and administration and management of village level institutions;

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<sup>64</sup> Stuart-Hill et al. 2005. The Event Book System: A Community-based Natural Resource Monitoring System from Namibia. <https://link.springer.com/article/10.1007/s10531-005-8391-0>

3.1.5 Provide training to the targeted community groups, as follows:

- Training on institutional strengthening, leadership development and financial management for EDCs and other relevant CBOs
- Training of naturalists from conservation youth groups in targeted villages
- Training on wild cat habitat management- patrolling, monitoring of small cats and prey, habitat
- Training of paravets for care of rescued animals and associated sensitization of community on small wild cat conservation;

3.1.6 Formation and strengthening of community institutions (e.g. EDCs, CBOs, village committees) to support community-based conservation approaches - community mobilisation, establishment of relevant local committees, preparation of community conservation plans;

3.1.7 Strengthening of Pakke Tiger Foundation Office through needs assessment, financial planning, preparing funding request forms, advocacy at the State and Central level; office equipment (computers), office running costs over 4 years (cofinanced by NTCA);

3.1.8 Evaluate training courses after delivery (questionnaires for participants) and monitor uptake of 3.1.5 participatory monitoring activities by trainees post-training;

3.1.9 Support implementation of financial incentives (e.g. from States) and recognition at state and national levels (e.g. Ganga Prahri of NMCG<sup>65</sup>) to stimulate participation.

***Output 3.2: Awareness-raising and education programmes conducted for local communities on wild cat conservation and habitat management including documentation of related traditional knowledge***

**Purpose:** To enhance community engagement and participation in wild cat conservation by raising awareness and documenting traditional knowledge and practices that support wild cat conservation.

**Approach:**

PPG baseline assessments found that most members of local communities are aware of the existence of the small cats; however, they find it hard to distinguish among the different species. Awareness about different species of small wild cat is high in Pakke- Eaglenest area in comparison to Dudhwa where people use the term “*van billar*” to categorize all small wild cats. Awareness raising for targeted wildlife species has a spillover effect, improving understanding of the overall ecosystem, flora and other fauna. The project will build on baseline awareness programmes by WWF, TigerWatch, other groups and schools by taking a very targeted approach to awareness raising activities. These will be focused on target areas / communities within each landscape and aligned towards resolving specific issues impacting wild cats and their habitats. Women, youth, ethnic minorities and other vulnerable groups involved in the identified issues will be main audiences. The main delivery mechanisms will be face-to-face contact, such as community workshops, focus groups, field activities, etc. making use of local NGOs, CBOs and schools where possible. The awareness programme will be integrated with other Outputs in this Component, such as livelihood diversification and HWC management, for greater impact and sustainability. National and subnational level awareness raising is covered in **Output 4.2**.

**The overall project approach to awareness raising and education will include the following activities:**

3.2.1 Develop an awareness raising plan for each landscape together with partners, addressing key conservation issues in specific areas / communities, such as reduction of pesticide use in agricultural areas for wild cats;

3.2.2 Convene workshops with the targeted communities to confirm needs and locally appropriate delivery mechanisms for awareness raising activities (e.g. posters, signboards, meetings, talks);

3.2.3 Prepare education and awareness materials on small cats like photographs with keys for identification of various cats, distribution maps, information on their ecology and their role in maintaining their ecosystems, legal issues related to conservation and protection of cats. This can provide a basis for awareness-raising on small cats across the country to support field training efforts;

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<sup>65</sup> Ganga Prahari (Guardians of Ganges) program of central government is a useful model. Volunteers are recruited, a monthly honorarium is paid. But additionally the recognition by the government of exemplary service, a certificate a meeting with the minister, invitation to attend national workshops etc., are all greatly valued by the volunteers.

3.2.4 Conduct awareness raising and education activities with local partners, including:

- Raise awareness among public, politicians and media on small cat conservation through awareness programs on relevant days with different stakeholders
- Training of women and youth, and their village level groups on small cat conservation (50% participation of women)
- Install awareness signage in targeted villages
- Targeted communications to address illegal hunting, habitat encroachment and other local conservation issues;

3.2.5 Conduct baseline and repeat KAP assessments at the start and completion of each awareness raising programme to measure changes and evaluate its effectiveness;

3.2.6 Conduct workshops in targeted areas/communities in Dudhwa and Pakke-Eaglenest to document traditional knowledge and practices with specific reference to small cats and their habitats (harvest practices, crop cycles, grazing practices etc.), with the aim of compiling and documenting such traditional knowledge, and raising awareness of traditions that support small wild cat conservation efforts.

***Output 3.3: Participatory community monitoring of wild cat populations and HWC operationalized through village-level institutions***

**Purpose:** To develop and operationalize a new model for participatory community monitoring of wild cat populations and HWC damage and risks through village-level institutions. This will raise understanding of local wild cat population status, the quality and use of habitats within PAs and surrounding lands, and the status of local threats to wild cats and their habitats.

**Approach:** Small wild cats are inconspicuous, mainly nocturnal species. Consequently, their distribution and abundance in different habitats and landscapes are poorly known, as are their diets, involvement in HWC, and the main threats facing them (such as roadkill). Consequently, the project will support capacity building programmes for communities (see also **Output 3.1** above) to strengthen the knowledge base on small wild cats, and our understanding of their needs and the threats impacting them. While this aims to mainly build local capacity, the results will be linked to the database and atlas on small wild cats under Output 1.2, that will be hosted and maintained after the project by MoEFCC. Current baseline work mainly involves government and NGO-led camera trapping for tiger monitoring, with some community involvement in Ranthambhore through WWF-India Community Resource Person (CRP's) along with Forest Department, as well as TigerWatch volunteers, and in the Bugun community in the Eaglenest area. The project will build on this by partnering with established local NGOs and CBOs to build local capacity for participatory monitoring of small cats, their prey and habitat conditions. Community monitoring will be linked to local community benefits in the form of voluntary wardens, anti-poaching groups, etc. and supported by awareness raising and networking. The monitoring will also be linked to existing monitoring of HWC and tiger populations through GTF, and support from the Forest Department in addressing HWC / compensation in **Output 3.5**. This Output will be led by GTF as a sub-level Responsible Party.

**The overall project approach to community monitoring will include the following activities:**

3.3.1 Conduct initial round of consultations with local stakeholders to update baseline and confirm approach to establish a Participatory Community-based Monitoring System – including training on biodiversity monitoring, and patrolling for monitoring threats including roadkill; community-based event reporting<sup>66</sup>;

3.3.2 Establish regular patrolling and monitoring teams in targeted communities, involving local youth where possible (as in Pakke TR and Eaglenest WLS) to conduct anti-poaching, patrolling and monitoring activities. This will be introduced to new areas and expanded in areas where already initiated, and groups will be networked to provide a wider sense of engagement in small wild cat conservation;

3.3.3 Provide training to the teams (coordinated with **Output 3.1** above) for each landscape, including:

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<sup>66</sup> Participants from Bhutan/Nepal will join training activities when these are in line with the transboundary roadmap-identified areas for collaboration.

**Dudhwa:** Provide training on Wild Cat Habitat Management and Participatory Monitoring for EDC members and local youths 4 trainings/year over 5 years; support the ongoing Bagh Mitra programme in the landscape, and build local community capacity for small cat conservation<sup>67</sup>

**Pakke-Eaglenest:** Train women and youth on wild cat habitat monitoring (10 meetings);

3.3.4 Provide site-specific Community-Based Monitoring Equipment (divided between three sites – Pakke, Eaglenest and Dudhwa): 100 Camera Traps, 15 GPS units, 3 Digital Cameras (advanced point and shoot camera with high zoom, inbuilt geotagging), 9 small cameras, 75 Sherman Traps for live capture of small mammal (prey estimation)<sup>68</sup>, 24 binoculars, other equipment as needed such as GPS, field gear, identification guides and site-specific Mobile Apps;

3.3.5 Develop a financial incentive program for community volunteers (e.g. Bugun tribes incentive programs for patrolling) and a recognition model for local participation (prestige of recognition at State or national level for conservation work as positive motivation);

3.3.6 Establish a community based open data source through supporting development of bio-cultural heritage interpretation centres (culture, biodiversity, heritage)<sup>69</sup> for Pakke and Eaglenest areas;

3.3.7 Develop and review the effectiveness of data and photo sharing from the community teams (including use of mobile apps linked to the database in **Output 1.2**);

3.3.8 Support community volunteers to conduct small wild cat, prey and other wildlife monitoring, anti-poaching patrolling, roadkill monitoring, fire-watching (for the PA as well as the community reserve) via Pakke TR and Shergaon Forest Division.

#### ***Output 3.4: Local livelihood options diversified to encourage reduced pressures on wild cat habitats***

**Purpose:** To provide incentives that will support community participation in wild cat conservation and reduce pressure on wild cat habitats through diversification of local livelihoods in targeted areas, and to provide the livelihood restoration outlined in the IPPF.

**Approach:** Support for the diversification of livelihoods will be targeted on specific areas in each landscape where it is clear that local practices are exerting pressure on habitats used by wild cats, such as cutting trees for firewood or timber, converting forest to vegetable farms, hunting and trading in wildlife or in areas which with intense HWC. These livelihood development support activities will also seek to mitigate any restrictions on access to natural resources that may be identified during safeguards planning. The exact nature of the pressures impacting wild cats and their habitats are locally specific, therefore the interventions in each landscape will respond to the baseline assessment findings while at the same time seeking to benefit the most marginalized and vulnerable groups and proactively engage women and youth. In order to nurture sustainable solutions, the project interventions will coordinate and build on existing efforts by NGOs, CBOs, local institutions such as Gram Panchayats, Women Self-Help Groups, Eco-Development Committees (EDC), Forest Rights Committees (FRC), Tribal Village Councils (Pakke and Eaglenest), cooperatives, etc. and other stakeholders through developing partnerships, and align with or seek to improve relevant government programmes (for example subsidies for LPG for forest dependent communities may be more effective if extended from one to three years). For greater cumulative impact and sustainability, the support for livelihood diversification will also be integrated with related actions such as awareness raising, pilot habitat restoration, participatory monitoring of wild cats, and HWC interventions. The choice of cropping and other livelihood measures in Component 3 will be aligned with site-specific requirements, worked out during the inception phase of the project, and mainstreamed with existing Government schemes as per the protected area management plans/Tiger Conservation Plans, and other district/agency plans. The choice of crop and its planting pattern would be selected and spatially adjusted to avoid providing cover that may attract wild animals. There is also potential synergy with the business partnerships proposed under Output 4.1, which could facilitate connections for marketing local produce.

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<sup>67</sup> WWF-PATA Bagh Mitra Awards are given to honour persistence, courage & conviction demonstrated by forest department staff, community members and organisations working towards tiger conservation.

<sup>68</sup> This would be mainly for inventory and also for a relative estimate of abundance. Crucial because we have very little understanding of what happens to prey populations of small cats with climate change or land use change. All live trapping would be conducted with the necessary government permissions.

<sup>69</sup> See for example: <http://eaglenestmemoryproject.in/>

Overall, the livelihood goals include incentivizing engagement in the project's conservation strategies, also compensating for any access restrictions (eg on grazing) that may arise from strengthened conservation measures as part of safeguards mitigation for impacted groups, and also as alternatives to baseline livelihood activities that have negative impacts on habitats and wildlife – with economic improvements as a potential co-benefit of the project. The baselines for livelihood interventions will be assessed during the initial phase of the project, along with review of associated indicators. The interventions will be designed and supported in alignment with the Tiger Conservation Plans of the area, along with existing livelihood schemes in place across the districts. The valuable experiences gained in executing livelihood micro-planning exercises in the state of Sikkim under the GEF-supported SECURE Himalayas project will inform effective engagement of communities in the entire process. Similarly, the GTF is working with the state governments to enhance the capacity of frontline and community groups to develop site specific micro-plans, focusing on livelihoods, with reciprocal commitments to ensure conservation of wildlife and mitigation of human-wildlife interface conflicts to ensure the intended impacts of livelihood actions are visible and sustained as regular practice.

**The overall project approach to livelihood diversification will include the following activities:**

3.4.1 Conduct advocacy to facilitate convergence of project goals with government schemes and to resolve issues with local uptake and effectiveness of such schemes (e.g. in support of handicraft development, community-based ecotourism, MAP cultivation, subsidies for LPG and fuel efficiency, solar power);

3.4.2 Provide sub-grants to support livelihood diversification that are based on assessment of local needs and well aligned with government priorities, and that take into consideration COVID-19 public health safety issues, such as:

- agricultural value addition such as non-wildlife attracting crops in HWC areas and assistance with processing and marketing agricultural products (market linkages) – such as peppermint and turmeric in Dudhwa; medicinal plants in Pakke-Eaglenest;
- livestock management to reduce open grazing in natural areas (including collecting up abandoned cattle and developing and operating care facilities; fencing of vulnerable habitats, fodder improvement, stall feeding, veterinary assistance, with focused support to community members reliant on open grazing);
- small-scale green enterprise development (e.g. NTFP processing, sustainable timber processing, handicrafts, appropriate forms of ecotourism, MAP cultivation), business plan development to incentivize community-based habitat conservation and facilitation of market access, and to mitigate any impacts on livelihood from Component 2 activities;
- Promote and facilitate livelihood diversification and sustainable development based on the following priorities for each landscape through subcontracted packages of technical assistance:

***Dudhwa Landscape***

Sugarcane farming communities around Dudhwa NP – wild cat -friendly agricultural practices.

Rural communities adjacent to Katarniaghat WLS – alternatives to cattle grazing, thatch and fodder options, gaushalas for abandoned cattle, etc.

Tharu communities on northern side of Dudhwa NP – wild cat -friendly agricultural practices, alternative energy, handicraft development, value addition to agricultural crops including peppermint, turmeric, medicinal plants and aloe vera.

***Activities***

3.4.3 Training on better agricultural and livestock management practices for farmers for improving their income, including: exposure visit to Krishi Vigyan Kendra and Agriculture Universities for better production techniques and adoption of scientific agronomic practices; training and technical assistance on improved livestock rearing and management practices; establishment and management of gaushalas for abandoned cattle; and promotion of a Farmer Producer Organization (FPO) and establishing market linkage for value added agriculture products of selected farmers;



3.4.4. Coordinate and engage with district development authorities for leveraging government schemes like toilets, homes, solar lights, safe potable water supply, animal husbandry including cattle vaccination & gaushala management, livelihood support, public health, etc;

3.4.5. Ecotourism promotion with interested beneficiaries - exposure visits, training and support for 10 household-led ecotourism initiatives in Katerniaghat on a pilot basis (note – while this is a priority area in the State for homestay tourism development, alternatives to homestays will be prioritized, such as camp and basic chalet facilities that allow mitigation of COVID-19 risks such as social distancing);

### ***Pakke-Eaglenest Landscape***

Pakke - livelihoods such as ecotourism development, sustainable community forest management, home/kitchen garden development and medicinal plant cultivation, youth training and employment (e.g. as nature tourism guides), weaving handicrafts.

Eaglenest / Bugun - administration and management of village level institutions, livelihoods such as ecotourism development, sustainable community forest management, home/kitchen garden development, sustainable harvesting of NTFP and medicinal plant cultivation, youth training and employment (e.g. as nature tourism guides), weaving handicrafts.

### ***A) Promotion of community-based ecotourism development***

Assuming some level of COVID-19 recovery and the return of domestic and international tourism in the next years, the Pakke – Eaglenest buffer zone area has high potential for community-based ecotourism development, owing to its outstanding natural beauty, indigenous communities and exceptional diversity of wildlife and plants. In particular, the area is popular for birdwatching, most notably through the Singchung Bugun Community Reserve that was established as the only known location of the recently discovered Bugun Liocichla. A number of guesthouses, homestays and camps exist, and the project will aim to support expansion of this nascent sector in suitable community locations through sharing COVID-secure best practices from existing businesses and linking ecotourism development with community-based conservation efforts and training for youth on nature-guiding and business skills.

3.4.6 Conduct a review of existing community-based ecotourism development in the Pakke-Eaglenest landscape, including planning and institutional support from government through the newly established Ecotourism Department within the Forest Department and the Tourism Department and tourism market linkages (e.g. through Incredible India<sup>70</sup>); identify priority locations and specific development / recovery needs that allow mitigation of COVID-19 risks such as social distancing);

3.4.7 Build local capacity for community-based ecotourism development (from Year 2 or 3 to allow for COVID recovery), through providing attitudinal and behavioral training on hospitality, publicity and marketing, ecotourism regulation and conservation management (one each for Pakke and Eaglenest); provide training for at least 20 youth in nature guiding; and provide training and seed funding for weaving handicraft designing, marketing and business development for at least 20 women;

### ***B) Promotion of sustainable agriculture and NTFP-based livelihoods***

In order to sustain forest condition and connectivity in the Pakke-Eaglenest buffer zone, the project will support community-based approaches to sustainable land management focusing on sustainable agricultural practices, agro-forestry and cultivation of NTFPs including medicinal plants. There are local traditions of kitchen gardens that produce a diversity of fruits and vegetables that can be promoted, and investment in medicinal plant production by Patanjali (with little benefit to local people to date). Given significant problems with HWC damaging crops (especially elephants), diversification of produce and increased emphasis on agro-forestry (e.g. apple, pear, walnut, areca-nut, lemon, NTFPs) and medicinal plants that are not attractive to wildlife will form the basis of project support. This is consistent with **Output 3.5** and overall sustainability. However, such activities

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<sup>70</sup> <https://www.incredibleindia.org/content/incredibleindia/en.html>

also need to be framed within the context of conservation agreements for specific areas to prevent the expansion of cash crops (e.g. fruit trees) at the expense of natural forest cover.

3.4.8 Conduct stakeholder consultations to review existing traditional practices and constraints, determine locations, participants (with full GESI considerations) and crop selections to demonstrate; compile implementation plans for each target location; negotiate community conservation agreements;

3.4.9 Provide training and technical support for participating communities in collaboration with agriculture, horticulture departments and other related government agencies on subjects including business planning and marketing, integrated pest management, agronomic techniques, marketing, etc. – targeting 250 families (includes cofinancing support from local government agencies);

3.4.10 Continuous monitoring and follow up with adaptive response mechanisms through village level volunteers/point persons.

***Output 3.5: Targeted interventions in HWC hotspots to implement mechanisms for the prevention and management of HWC adjacent to PAs and corridors.***

**Purpose:** To demonstrate innovative and systematic mechanisms for preventing and managing HWC in targeted hotspots adjacent to PAs and wildlife corridors.

**Approach:** Baseline assessments during project preparation identified HWC hotspots in each of the landscapes. The nature of HWC varies between locations, including the wildlife species involved, the impacts on crops, livestock and people, and the socio-economic context for intervention. Consequently, the project response in each targeted area will be participatory and locally-specific, beginning with SAFE System<sup>71</sup> workshops with communities to identify the issues and potential solutions, and subsequent investment in community-based HWC solutions identified during the workshops (such as solar electric fencing or lighting, corral reinforcement, etc.). The workshops will emphasize self-help measures to minimize conflict (e.g. clearing of vegetation near homes to reduce leopard attacks). The project approach will be coordinated with existing baseline efforts by NGOs and aligned to support or improve on government schemes. The implementation of HWC response measures will be continuously followed up through village level volunteers/point persons to lead systematic community-based reporting in order to ensure that the response mechanisms are adaptive to changes in the local situation. The project will also provide systemic support towards improving government compensation procedures through advocacy and para-legal assistance to affected communities.

**The overall project approach to HWC prevention and management includes the following activities:**

3.5.1 Confirm the targeted areas and communities impacted by HWC that were identified during the baseline assessment and update the situation assessment for each site. Selection of communities will be based on criteria to be confirmed during project inception, but including: severity of HWC impacts on the community, relevance to small wild cat conservation efforts, feasibility and safety of operational engagement during implementation;

3.5.2 Convene SAFE workshops for two targeted areas in each landscape (see below – four workshops in total) to review the key species involved, the impacts of HWC, and local context, and to identify strategic, community-based approaches to HWC mitigation, including both preventative and response measures. The workshops will develop local HWC prevention and response plans;

3.5.3 Provide project sub-grants to support the implementation of the local HWC plans (including financing of responses such as solar fencing, alternative crop trials, crop proofing, alarm systems, toilets, etc.); these should coordinate with ongoing efforts by NGOs, align and bring convergence with govt schemes;

3.5.4 Develop and pilot community-based reporting of HWC led by village volunteers and facilitate continuous follow up with adaptive response mechanisms;

3.5.5 Facilitate monitoring and reporting of strayed small cats and assist forest dept in rescue operations (as required);

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<sup>71</sup> WWF Tigers Alive initiative has developed the SAFE System concept, see:

[http://zeropoaching.org/pdfs/HWC\\_concept\\_note.pdf](http://zeropoaching.org/pdfs/HWC_concept_note.pdf) It has been piloted in Bhutan, see:

[http://d2ouvy59p0dg6k.cloudfront.net/downloads/final\\_report\\_hwc\\_safe\\_system13\\_feb\\_for\\_printing\\_2.pdf](http://d2ouvy59p0dg6k.cloudfront.net/downloads/final_report_hwc_safe_system13_feb_for_printing_2.pdf)

3.5.6 Provide systemic support towards improving access to and simplifying government compensation procedures through advocacy, para-legal assistance to affected communities, awareness raising on the compensation procedures and government schemes available to provide support for HWC mitigation (e.g. rural electrification, animal husbandry, etc), and capacity development where necessary<sup>72</sup>; this would focus on the targeted villages, but also aim to benefit other affected communities within the project landscapes;

3.5.7 Evaluate the response for each target area and share the lessons learned through project supported knowledge management mechanisms (**Output 4.4**).

#### **Landscape Considerations for Activities:**

##### ***Dudhwa Landscape***

Sugarcane farming communities around Dudhwa NP – reduction of crop damage by ungulates near the forest edge (solar fencing, alternative crops options) – especially for smallholders; establish procedures for reducing risks from leopard and tiger conflict (early warning systems, notification of authorities, avoidance, lighting etc).

Tharu communities on northern side of Dudhwa NP – reduction of risks of leopard attacks (toilet and water provision, lighting, vegetation clearance, etc); reduction of crop damage by ungulates near the forest edge (solar fencing option).

Rural communities adjacent to Katerniaghat WLS – reduction of risks of leopard attacks (toilet and water provision, lighting, vegetation clearance, etc); reduction of elephant damage (solar fencing, alarm systems, alternative crops, etc).

Organize SAFE workshops for Katerniaghat & Dudhwa TR.

Facilitate monitoring and reporting of strayed small cats and assist forest dept in rescue operations (as required).

##### ***Pakke-Eaglenest Landscape***

Pakke – reduction of risks of tiger and leopard attacks (toilet and water provision, lighting, vegetation clearance, etc); reduction of elephant damage (solar fencing, plantations of low maintenance crops like Areca nut and lemon, alarm systems).

Eaglenest / Bugun – increasing elephant damage due to new migration routes responding to habitat degradation in the foothills, causing serious damage to community forests, houses, bamboo plantations affecting summer patrolling activities<sup>73</sup>. There is a need to simplify HWC compensation procedures and review compensation amounts, capacity development for line departments to support compensation assessment, provide materials for locals on compensation procedures.

Convene SAFE workshops for at least 2 localities impacted by HWC (one for Pakke Buffer Zone, and one for Eaglenest Buffer Zone) to review the key species involved, the impacts of HWC, and local context, and to identify strategic, community-based approaches to HWC mitigation, including both preventative and response measures. The workshops will develop local HWC prevention and response plans.

Support HWC response activities in line with SAFE plans with communities for addressing their concerns such as HWC (solar fencing, solar lights) and social welfare activities - Solar Fencing of around 8 kilometers, Solar Home lights (10 per village for 26 villages), Solar Street Lights (2 per village for 26 villages).

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<sup>72</sup> Participants from Bhutan/Nepal will join training activities when these are in line with the transboundary roadmap-identified areas for collaboration.

<sup>73</sup> This is a generalized statement, relating to a very complex situation. For further information, see for example: [https://www.researchgate.net/publication/234100620\\_Assessment\\_of\\_habitat\\_loss\\_in\\_Kameng\\_and\\_Sonitpur\\_Elephant\\_Reserves#:~:text=The%20Kameng%20and%20Sonitpur%20Elephant,habitat%20loss%20in%20recent%20years.&text=High%20deforestation%20has%20resulted%20in%20high%20man%20Delephant%20conflicts.](https://www.researchgate.net/publication/234100620_Assessment_of_habitat_loss_in_Kameng_and_Sonitpur_Elephant_Reserves#:~:text=The%20Kameng%20and%20Sonitpur%20Elephant,habitat%20loss%20in%20recent%20years.&text=High%20deforestation%20has%20resulted%20in%20high%20man%20Delephant%20conflicts.;); [https://www.wfindia.org/about\\_wwf/critical\\_regions/north\\_bank/interventions/](https://www.wfindia.org/about_wwf/critical_regions/north_bank/interventions/)

## Component 4. Partnerships, knowledge management and M&E

*Outcome: Effective partnerships, communications, knowledge management and M&E for wild cat conservation*

[Component 4 will be supported by UNDP as GEF Agency]

### **Without GEF Intervention (Baseline):**

82. While there are some existing partnerships in place in the landscapes, including WWF landscape programmes that collaborate with government, and diverse NGOs working with local communities such as TigerWatch at Ranthambhore, WWF at Dudhwa and Nature Conservation Foundation at Pakke. However, these partnerships tend to focus on specific locations or issues and are insufficient to address landscape conservation needs for small cats. In addition, the corporate sector is largely not involved, despite strong potential for engagement in conservation action and financing for conservation programmes evidenced under the earlier World Bank-supported initiative to establish an Indian National Wildlife Business Council<sup>74</sup> including regional workshops and c.30 companies, some of which took up Corporate Social Responsibility (CSR) activities. However, that initiative was too centralized to fully succeed. The formation of the India Wildlife Business Council was done through a MoU between the World Bank and the Confederation of Indian Industry (CII), where a grant was given to CII to initiate a conversation, but everything was managed by CII, which directly did not have much experience of handling wildlife related work. While the industry was on board to some extent, the helping end from the other side (i.e. the conservation fraternity and the government) was not involved to a major extent and kept out of the process.

83. The Biodiversity Finance Initiative (BIOFIN) is a global UNDP programme that has been implemented in India since May 2015 by the MoEFCC through the National Biodiversity Authority (NBA). BIOFIN offers a sophisticated and country-specific methodological framework to assess current expenditures and finance needs for implementing the NBAP and suggests innovative and scalable financial solutions to fill the finance gap for achieving the National Biodiversity Targets. Data gathered through detailed country level assessments based on a wide range of consultations has supported the preparation of country specific Biodiversity Finance Plan<sup>75</sup> (BFP) in 2019, which suggests a range of potential financial solutions suited to fill the finance gap for implementing the NBAP. Public-Private Partnerships (PPP) and CSR programmes are identified as key strategies in the BFP, noting that India ranks high in terms of operational maturity of PPP and creating an ideal environment for PPP projects (especially in the infrastructure sector). During 2006-07 to 2015-16, spread across sectors of roads, civil aviation, housing, ports, railways, sports and tourism, as many as 287 projects have been undertaken adopting PPP model involving a total investment of about USD 4.59 billion<sup>76</sup>. The Draft Forest Policy, 1988 recognizes location-specific PPP models involving Forest Departments, Forest Development Corporations, Communities, Public limited companies, etc for achieving the target of increased forest & tree cover in the country’.

84. In India, CSR has become mandatory since 2014, and corporates meeting specified turn-over criteria are required to earmark and spend 2% of their average net profits over the last three years to discharge this responsibility. Eligible activities that could be funded under CSR include livelihoods and environment. CSR funds could be accessed by various societies, NGOs, educational institutions, trusts, community-based organizations, or corporates could spend the money on their own on specified activities. According to the BIOFIN assessments, less than 3% of CSR funds are currently spent on biodiversity-related activities, out of a total of CSR expenditure during 2017-18 of about USD 80 million. According to the BFP, greater awareness

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<sup>74</sup> Indian Wildlife Business Council: Case Studies of Interventions by Industry

<https://cii.in/PublicationDetail.aspx?enc=lop5Lo8/fAGuPzaCO5LRUSzYgTXWm3GclqYbN4weLFTAfWPbNJBZaciX9Fy3JltfiD21mwGuxKNhTN/K5o0Ane+CpVrOjLRPeZuoBwMNs96dXnpO1zjDRgeMZKDZOuY9InrX/VR/GJKP3IXEMVDAldt+cyl+OKE1JlxEtP2EVNvFEIB6nkScyXxopV5wk9>

<sup>75</sup> National Biodiversity Authority (NBA), 2019. Biodiversity Finance Plan (Working Document). GoI-UNDP project on Biodiversity Finance Initiative (BIOFIN).

[https://www.biodiversityfinance.net/sites/default/files/content/knowledge\\_products/Biodiversity%20Finance%20Plan%20Report%20Updated%20and%20Final%20%28Digital%20Presence%20-%20Low%20resolution%29%2008-07-2019.pdf](https://www.biodiversityfinance.net/sites/default/files/content/knowledge_products/Biodiversity%20Finance%20Plan%20Report%20Updated%20and%20Final%20%28Digital%20Presence%20-%20Low%20resolution%29%2008-07-2019.pdf)

<sup>76</sup> <https://www.unescap.org/sites/default/files/Day%201%20-%20Session%202.2%20-%20India%20PPP.pdf>

of needs for biodiversity conservation, availability of project proposals to support, and inter-ministerial coordination to guide CSR policy could increase CSR provision towards biodiversity conservation.

85. Awareness of the status and conservation needs of small wild cats is generally low amongst stakeholders, with the exception of certain groups at local level (see baseline awareness assessment results in **Annex 18**). However, given that several small cat species are globally threatened and near-threatened and the status of some others is of national concern (e.g. caracal) or poorly known (most small cats), the lack of awareness and scientific understanding of these species and the threats affecting them is an issue for conservation. While various scientific studies have been carried out, some with community engagement (e.g. on fishing cats<sup>77</sup>), there has been little attempt so far to foster awareness of small cat species. Finally, transboundary collaboration for landscape and species conservation, including reduction of poaching and trafficking of wildlife / wild cats (e.g. clouded leopard skins), and to support knowledge exchange and transfer, is limited and should be strengthened especially at the local level where bureaucratic constraints can be limited. Current global / regional tiger conservation initiatives do not currently cover small cat species, which could be incorporated through minor changes and capacity development regarding small cat monitoring and research, etc. Relevant transboundary IWT enforcement issues relating to small cats need to be documented and raised bilaterally or through the South Asia Wildlife Enforcement Network (SAWEN)<sup>78</sup>.

**With GEF Intervention (Project Alternative):**

86. **Component 4** will build the necessary partnerships and platforms for integrated and collaborative wild cat conservation. The establishment and initial operation of a national-level platform for green business including development of a corporate-sector fund will be supported (**Output 4.1**) to engage the private sector in wild cat conservation and facilitate the identification of sustainable financing options to maintain community stewardship outside the core tiger habitats in PAs. This is expected to include regional dialogues between government, industry and conservation partners operating within the project landscapes and the development of partnerships with agricultural (e.g. sugar production at Dudhwa) and tourism businesses operating within the project landscapes, supporting pilot conservation and livelihood initiatives under Component 3. In contrast to the previous experience with the former Indian Business Council, in this case the Global Tiger Forum, as an implementing arm of the GTI Council and an inter-governmental agency, will facilitate a platform, and will be able to successfully work with multiple confederations, industries, government agencies, as well as find synergies with ongoing government schemes, especially on livelihood and community welfare in wild landscapes. Thus, apart from orienting business leadership, GTF will also provide a platform to handhold and assist the initiatives that these agencies want to support. The MoEFCC's presence as Implementing Partner for the project will also contribute greater sustainability and ownership to the efforts implemented by the business groups, in close coordination with conservation agencies.

87. Targeted communications and outreach (**Output 4.2**) will be deployed to targeted audiences at national and subnational levels to address threats and build support for project actions at community level in the landscapes. Indian support for transboundary and regional collaboration on wild cat conservation, including with tiger range states, will be enhanced through integrating small wild cat concerns into existing agreements (e.g. on tigers), and strengthening local level collaboration under existing agreements for the sharing of information and knowledge on wild cat conservation, collaborative training activities, and identification of areas of common concern and agreed joint actions for monitoring, species conservation and combating illegal trafficking (**Output 4.3**). This will explicitly support collaboration with the WWF/GEF-6 Integrated Landscape Management in the Terai Arc Landscape of Nepal, and the UNDP/GEF-7 GWP tourism project in Bhutan. Web-based knowledge platforms and e-networks will be established (**Output 4.4**) to facilitate knowledge sharing and information dissemination between landscapes, States and through the Global Wildlife Program, while an effective M&E system (**Output 4.5**) will help ensure project impact and adaptive management and adequate consideration of gender mainstreaming and social and environmental

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<sup>77</sup> <https://scroll.in/article/901975/fishing-cat-researchers-are-trying-to-shed-light-on-the-little-known-felines-that-swim-for-food>

<sup>78</sup> SAWEN provides a platform for its member countries to cooperatively work together in the fight against wildlife crime. It focuses on harmonization of policies and laws; strengthening institutional capacity; sharing of knowledge, experiences and technologies among the member countries; and promoting collaboration with national, regional and international partners to enhance the wildlife law enforcement in the region. See: [www.sawen.org](http://www.sawen.org)

safeguards. With the exception of Output 4.3, the Outputs will cover all three project landscapes including Ranthambhore.

***Output 4.1: National-level Green Business platform developed for enhancing corporate sector engagement for community-based wildlife conservation***

**Purpose:** To engage the corporate sector in conservation initiatives benefiting wild cats and facilitate the development of partnerships and sustainable financing options to maintain community stewardship outside PAs in the demonstration landscapes and elsewhere.

**Approach:** The project will aim to operationalize a national level Green Business platform, with Terms of Reference, broad membership and investment in project-related activities. This will build on earlier experience with the Indian Wildlife Business Council (see above Baseline and GEF Alternative text). Through a process of advocacy, regional dialogues and fostering local ownership based on community needs, the project will facilitate the development of business partnerships in support of the landscape master plans, multi-sector engagement and sustainable financing of community-based conservation programmes. Through these partnerships, the project will facilitate corporate involvement in local level conservation actions for targeted sectors in each landscape, such as sugar production in Dudhwa; horticulture and tourism in Pakke-Eaglenest; and tourism in Ranthambhore. The project will also support the Green Business platform to develop a Corporate Sector Conservation Fund/Financial Instrument to mobilize funding for local level partnership investment. This Output will be led by GTF as a sub-level Responsible Party.

Further details on the concept and scope of this platform are as follows:

The India Wildlife Business Council was an initiative which explored the possibility of partnerships with industries/private sector to incorporate wildlife conservation concerns into business sectors where this was not a primary goal. While the Wildlife Business Council is no longer functional, this aim remains relevant in that the importance of green business and compliance by business groups/industries has become a necessity owing to long-term business gains as well as legitimacy and need flagged by the government.

Lessons learned from the Wildlife Business Council include the following points, which will be taken into consideration in the development of the Green Business platform under the current project:

- Need for codification and mainstreaming of environmental concerns in CSR practices;
- Institutionalizing the exchange of green safeguards/green business practices among business groups to evolve broad SOPs; and
- Use of "bioremediation" techniques in sync with the natural ecosystem as a component of smart green infrastructure/restoration of altered landscapes.

Past experience in the context of the Wildlife Business Council has also highlighted the redundancy of a separate administrative architecture, while emphasizing the need for a steering mechanism/platform involving government agencies and non-governmental organizations, including stakeholders.

The Green Business platform as envisaged under the project would complement or have linkage with other like-minded multi-stakeholder platforms engaged with targets aligned to the CBD and Post-2020 Biodiversity Framework. This would strengthen initiatives for national level actions, vis-a-vis commitments for long term investment, apart from having demonstration value. Broadly, initiatives envisaged in this context include:

- Enhancing outreach towards sensitization of industries operating in green landscapes (garnering support for wildlife conservation);
- Sharing good practices for incorporation in site-specific planning of smart green infrastructure;
- Commitment for innovating micro-business models for gains to community stewardship (village level funds);
- Support for capacity building through state of the art cost-effective technology, as and when required; and

- Landscape level facilitation for evolving green actions (proactive as well retrofitting) to serve as biofilters for safeguarding corridor connectivity.

The Government of India, in its latest National Wildlife Action Plan (2017-2031) prioritizes private sector engagement, while seeking direct corporate support for targeted conservation campaigns. It also promotes “Corporate Environmental Responsibility” along the lines of “Corporate Social Responsibility” Programmes. The development of such a green business platform, building on experience gained through the former India Wildlife Business Council would codify and institutionalize a sustainable mechanism through the current project led by the Government of India, MoEFCC, in collaboration with the Global Tiger Forum (GTF), with outreach and partnerships with the private sector secured by WWF and UNDP. Several business groups had expressed interest in the India Wildlife Business Council previously, which will be followed up.

The envisaged platform will enable much needed corporate partnership at a landscape scale, resulting in centrifugal stakeholder involvement, complemented with multiple governmental and non-governmental sectors operating in the landscapes or at national level, with an interest to support in-situ conservation. Such an endeavour is important for building up the composite portfolio of actions with mutual gains based on reciprocity to achieve the goal of conservation beyond protected areas.

The envisaged “Green Business Platform” is important to the project objectives owing to its great potential to strengthen environmental and socio-economic sustainability and would broadly carry out the following:

- Identify private sector/business groups operating within the project landscapes (eg involved in ecotourism, processing and marketing of eco-friendly agricultural / herbal / medicinal products, etc);
- Take steps to mitigate intensive land use actions of industries as “eco-filters” to prevent biodiversity loss;
- Innovate site-specific business models/micro-enterprises with support for reducing the forest resource dependency of local people through assured, eco-friendly livelihood options (socio-economic buffering);
- Put in place safeguards against pollution by industries (sanitary buffering);
- Support the implementation of the landscape master plans by making available resources from business houses/groups through CSR commitments or village level funds (strengthening existing institutions like eco-development committees or similar structures);
- Propagate the experiences gained in the project landscapes to other areas for replication.

No new institutional architecture is envisaged for the green business platform, since it is important to initiate this from the existing institutional framework of the project state, Government of India (MoEFCC), and the GTF by constituting a steering committee as follows:

- Chair – Representative of MoEFCC (Wildlife Division)
- Representative of project states – Member
- Representatives of Industries/Business groups/Public enterprises/Industry Consortiums/GTI Council/similar bodies
- Project Representatives – WWF, UNDP
- Member Convenor – GTF

The above committee will decide its own rules of procedure, including quorum and periodicity of meetings, with the terms of reference aligned with the project objectives.

The scope of the green business platform has been envisioned to encompass contours of:

- Biodiversity conservation
- Safeguarding ecosystem services
- Reduction of forest resource dependency through payment for ecosystem services in the form of community stewardship
- Ecosystem-based adaptation to climate change

As such, it goes beyond “wildlife” in the context that this project construes wild animal species as indicators of ecological integrity, and securing such integrity would result in obvious gains for wildlife conservation, while ensuring its safeguards against human-wildlife interface problems, targeted killings, and depauperization of habitat values.

Both the business partnerships and investments will be screened for social and environmental risks and an exclusionary process applied for high-risk sectors in accordance with UNDP Private Sector Partnerships policy and UNDP Private Sector Risk Assessment Tool.

#### **Indicative Activities:**

4.1.1 Work with GTF, Confederation of Indian Industry (CII) and State authorities to approach heads of business and industry, including corporate bodies that have an interest in supporting wildlife conservation (e.g. Sanofi, North Star Asia, Tata group companies, HSBC India, Aircel, etc.) through a series of regional sensitization meetings for raising conservation awareness and promoting Corporate Social Responsibility (CSR) or direct investments for supporting community livelihoods and income generation. Build support for establishment of the national level Green Business platform and provide input to its design and operations;

4.1.2 Provide technical assistance for the development of a feasibility strategy for a Corporate Sector Conservation Fund/Financial Instrument to be managed by the green business platform. Through this platform, the project will help in reaching out to corporate bodies and government agencies operating in the landscape to extend support to wildlife conservation, especially on issues like planning of smart green infrastructure, innovative business models for community stewardship, as well as deployment of state-of-the-art cost-effective technology, where required. Once the project team is able to gauge the interest, it will work with the local government and interested industry representatives to facilitate technical support and on-site project development for potential initiatives, while aiming to start pilot initiatives through public-private partnerships;

4.1.3 Facilitate the development of a scheme for the generation and disbursement of small community grants (not from GEF funds) by the Green Business Platform including governance mechanism, prospectus, support for leveraging funds and fund raising activities (through fund-raising events, dialogue with private sector/donor agencies, crowd funding initiatives). Proposed projects will be screened for safeguards and climate change adaptation requirements;

4.1.4 Conduct an advocacy programme to mobilize corporate partnerships and CSR funds, and facilitate the development of business partnerships and implementation of pilot initiatives for relevant sectors, including capacity development for the partners involved<sup>79</sup>. The pilot initiatives will support community stewardship, skill development and livelihood-based initiatives, through adoption, procurement and marketing of derived products, including local crafts, horticulture and medicinal and aromatic plant cultivation, among others (in all landscapes);

4.1.5 Publicize the benefits of the active business partnerships through local, national and international channels (see **Output 4.4**) in order to attract further investment.

#### ***Output 4.2: Awareness raised for wild cat conservation at national and sub-national levels through communications strategy and action plan implementation***

**Purpose:** To raise awareness among key target groups at national and subnational levels in order to build their support for wild cat conservation

**Approach:** The communications programme will be based on a communications strategy and action plan that focuses on raising awareness of the diversity of wild cats in India, their cultural and ecological values (e.g. rodent control), and their conservation status and prevalent threats including poaching, IWT and HWC. This Output will focus on national and landscape-level awareness raising activities that are mutually supportive of the community awareness and education activities in **Output 3.2**. It will target specific groups, including: government agencies and line departments, elected representatives, institutions (academic and research), CSOs, media, private sector, etc.

#### **Indicative Activities:**

4.2.1 Establish a communications Task Force including WWF, UNDP, GTF and other experts to convene communications planning meetings and lead the development of a communications strategy and action plan

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<sup>79</sup> Capacity building for corporate managers, local community partners and other duty bearers on wildlife conservation business operation of corporate sector bodies.



that focuses on building support for wild cat conservation and reducing key threats nationally and especially in the project landscapes. The strategy should include the engagement of partners in each landscape for more effective delivery. Update the action plan annually and coordinate and synergize with initiatives and events on wildlife crime, HWC and wildlife conservation conducted nationwide by Govt agencies, institutions and NGOs. Incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation);

4.2.2 Conduct national- and landscape-level campaigns towards the conservation of small cats, aiming to sensitize specific target groups in line with the communications strategy and action plan (with local actions being covered in Output 3.2). These campaigns will connect wild cat conservation with key environment days, tiger conservation events, other public events and traditional festivals in the project landscapes;

4.2.3 Develop educational and awareness materials that address the targeted audiences and messaging identified in the strategy, such as: printed materials, online materials via websites such as short films on website / YouTube channel;

4.2.4 Monitor changes in awareness of targeted groups through use of KAP assessments, where appropriate.

#### ***Output 4.3: Transboundary joint action and collaboration on wild cat conservation***

**Purpose:** To address the Indian side of specific transboundary conservation issues including poaching, illegal wildlife trade and human-wildlife relations that impact the project landscapes and small wild cat conservation.

**Approach:** Dudhwa and Pakke – Eaglenest landscapes lie on India’s international borders with Nepal and Bhutan respectively. There are existing transboundary cooperation agreements concerning wildlife conservation at national level under MoEFCC. In addition, local collaboration with adjacent PAs and administrations takes place on an unofficial basis (e.g. participation in workshops, joint monitoring and information exchange). The project will develop a roadmap for strengthening implementation of Indian commitments to transboundary cooperation on wild cat conservation in South Asia through consultations based on experience with tiger conservation. These will be conducted through the MoEFCC, recognizing that official mechanisms are addressed at central government level. The main emphasis would be to advocate extending the existing transboundary partnerships in the Tiger Programme to include small wild cats, while supporting Indian participation in the South Asian Wildlife Enforcement Network (SAWEN) for control of wildlife crime. A social and environmental safeguards screening process will be put in place to assess and manage potential downstream impacts arising from implementation of the transboundary conservation roadmap.

At the landscape level, the project will facilitate Indian collaboration, and information and knowledge exchange under existing agreements in order to strengthen the capacity and collaboration of local PA staff and local level transboundary coordination meetings on HWC, poaching and IWT issues. This will also include collaboration with the WWF/GEF project on Integrated Landscape Management for the Terai Arc Landscape in Nepal, which addresses very similar issues to the current project and is contiguous with the Dudhwa landscape, and the GWP GEF-7 project in Bhutan, which includes Sakteng Wildlife Sanctuary on the border adjacent to Eaglenest. This Output will be led by GTF as a sub-level Responsible Party.

#### **Indicative Activities:**

4.3.1 Conduct consultations with experts and country representatives to identify areas of common concern and possible joint actions for monitoring, species conservation and combatting illegal trafficking, and develop an agreed strategy for Indian support towards transboundary conservation for wild cat conservation in South Asia. This will include Indian inputs on the present distribution of wild cats, priority sites, priority actions, agreement at country level, and joint efforts on wild cat conservation in line with existing bilateral instruments;

4.3.2 Conduct advocacy in India to integrate small wild cats into bilateral agreements for tiger conservation via NTCA, their inclusion in the South Asian Wildlife Enforcement Network (SAWEN) for control of wildlife crime

(e.g. trade in cat skins and bones) and the forthcoming ICCWC Guidelines for Wildlife Enforcement Networks<sup>80</sup>, and through international big cat organisations (GTF);

4.3.3 Within the framework of existing transboundary agreements and the proposed roadmap, invite staff from neighbouring PAs in Nepal (Shuklaphanta, Bardia and Banke NPs) and Bhutan (Sakteng Wildlife Sanctuary - a project site under the GWP GEF-7 project in Bhutan, providing potential for coordination and knowledge exchange between GWP projects) as well as SSB staff to participate in project activities<sup>81,82</sup>;

4.3.4 Within the framework of existing transboundary agreements and the proposed roadmap, facilitate local level transboundary coordination meetings on HWC, poaching and IWT between PA management staff, SSB and local administration leaders to facilitate information sharing and targeting of enforcement operations;

4.3.5 Within the framework of existing transboundary agreements and the proposed roadmap, coordinate with Nepalese Govt /WWF to allow Dudhwa landscape staff to participate in annual TAL stakeholder forums, conduct study visits to Nepal TAL to learn about Community-Based Anti-Poaching Unit operations (CBAPUs), Wildlife Crime Control Bureaus and other related activities of the WWF/GEF Project *Integrated Landscape Management for the Terai Arc Landscape* in Nepal; reciprocal invitation of Nepalese GEF project staff to participate in Dudhwa project meetings and activities.

**Output 4.4: Knowledge management coordinated between landscapes, across India, neighbouring countries and with the Global Wildlife Program, including sharing of best practices and lessons learned**

**Purpose:** To ensure that project results, knowledge, experiences and lessons learned are made available to relevant audiences locally, nationally and internationally to inform conservation efforts elsewhere.

**Approach:** This project is a child project under the GEF-7 Global Wildlife Program, which provides a mechanism for coordination and knowledge sharing. During the PPG, the project was represented at the GWP in-person knowledge exchange event in South Africa in October-November 2019. The project is also well aligned with the Global Tiger Initiative / Global Tiger Forum, providing another avenue for international knowledge sharing further to WWF and UNDP's networks. In addition, the project incorporates activities that will strengthen the country's knowledge management system and capacity. This includes the use of a project website/regional knowledge platform for sharing of news and materials online; the publication of project technical reports, awareness materials and technical briefs, which will form part of a project communication strategy; and annual project meetings for stakeholders at landscape level. Knowledge gained through GWP events will be shared across India including the project demonstration landscapes through regional knowledge platform, IUCN Cat Specialist Group and NTCA and GTF channels.

**Indicative Activities:**

4.4.1 Develop a project knowledge management plan to ensure that project news and results are shared with project stakeholders and related initiatives (e.g. GTI) and updated annually. This will incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation);

4.4.2 Collaborate with and support small cat interest groups for networking and knowledge-sharing;

4.4.3 Develop a project website / regional knowledge platform to share project news, results and information with stakeholders, the wider public in India and international audiences especially across South Asia;

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<sup>80</sup> See:

[https://cites.org/eng/Wildlife\\_enforcement\\_networks\\_from\\_around\\_the\\_world\\_meet\\_to\\_further\\_strengthen\\_collaborative\\_efforts\\_against\\_wildlife\\_crime\\_26082019](https://cites.org/eng/Wildlife_enforcement_networks_from_around_the_world_meet_to_further_strengthen_collaborative_efforts_against_wildlife_crime_26082019)

<sup>81</sup> This may include participation in WWF-supported activities, for example in Output 2.2 (training of frontline staff), Output 3.3 (monitoring of small wild cat species) and Output 3.5 (HWC management). These activities will be delivered in accordance with safeguards policies and requirements of WWF as GEF Agency for Components 2 and 3.

<sup>82</sup> Note: Nepal TAL Project Output 3.3.2 will provide training and operational support to government staff for wildlife crime management. This will target Banke and Bardia national park staff, District Forest Officers, rangers, Wildlife Crime Control Bureaus, and government investigation officers. It includes: Transboundary coordination, including travel to India to exchange information on wildlife crime issues.

- 4.4.4 Share technical reports, news articles and awareness materials arising from project activities with stakeholders at all levels through project website/regional knowledge platform, media, etc, and provided to the GWP Secretariat through GWP qualitative reports and coordination meetings;
- 4.4.5 Develop case studies on key issues relating to landscape conservation for wild cats including stakeholder workshops, and publish them on the regional knowledge platform as technical briefs covering integrated management of ESZs to benefit wildlife, management of targeted wasteland habitats, small wild cat – friendly agriculture practices, HWC management in targeted communities, community-based monitoring of small wild cats, gender mainstreaming and traditional knowledge relevant to small wild cats / habitats;
- 4.4.6 Hold annual project meetings for updating and consulting with stakeholders (government agencies, NGOs, scientists etc.) on project progress, results and lessons learned, including a wrap up/handover meeting in Year 6;
- 4.4.7 Project participates in GWP virtual and face-to-face knowledge management events, and shares results nationally through the regional knowledge platform, IUCN Cat Specialist Group and MoEFCC/NTCA and GTF channels;
- 4.4.8 Present project results at national/ international conferences (e.g. CITES COP side events);
- 4.4.9 Publish and disseminate the project terminal report in both hard copy and electronic formats.

In terms of lessons learned on the landscape approach, experience gained elsewhere in India on the *in-situ* management of big cat species has highlighted the need for a centrifugal approach, to work with related stakeholders while understanding the movement and disturbance ecology of big cat species in order to address human-big cat interface. Such an inclusive approach entails a portfolio with overarching contours of community stewardship, while actively engaging other stakeholders and ensuring mutual gains and reciprocal commitments for fostering in-situ conservation efforts beyond source areas. The situation analysis done for the High Altitude Tigers in an IUCN supported project led by the GTF across India, Bhutan and Nepal is a case in point. Likewise, the WWF and GTF ongoing engagement in the Pilibhit TR landscape of Uttar Pradesh has also flagged the importance of engagement at a larger scale "zone of influence". The ongoing engagement of GTF, under the GOI-GEF-UNDP SECURE Himalayas project has further underlined the imminent need to work at a scale beyond source areas, with master planning for the region having portfolios to address various stakeholders towards big cat landscape transformation, resulting in several altered states of landscape condition (see Output 1.1).

***Output 4.5: M&E system incorporating gender mainstreaming and safeguards developed and implemented for adaptive project management***

**Purpose:** To ensure that project implementation meets UNDP, WWF and GEF requirements for Results-based Management, gender mainstreaming, social inclusion and social and environmental safeguards, and is adaptive to changing circumstances.

**Approach:** Key M&E activities will include the annual project implementation review exercises, mid-term and final project review, for each of which there will be one combined process/report for the project, with UNDP as lead GEF Agency for submission to GEF (as UNDP is leading on Component 4, but with equal inputs from WWF). Monitoring and evaluation activities will include the regular review and updating of the project M&E plan with indicators, baselines and targets, annual work plans and budgets and the generation of comprehensive monitoring and progress reports. The project will ensure that gender mainstreaming and SESP requirements are met as an integral part of the project planning, implementation and M&E cycle. Regular Project Steering Committee meetings will enable key stakeholders to be actively involved in the M&E process. Lastly, the project will conduct a Mid-term Review and Terminal Evaluation to take stock of progress and the implementation process, emerging constraints and (at mid-term stage) to formulate possible remedial measures or adaptive management to ensure optimal implementation efficiency and knowledge generation. Overall, project implementation, monitoring and evaluation will be closely coordinated with the Global Wildlife Program. The project will also build the capacity of project staff for effective project management at all levels of organization through establishment and sharing of clear procedures, orientation and training in line with UNDP and WWF requirements as GEF Project Agencies. Safeguards requirements for UNDP-led Components (1 and 4) are covered here, while those for WWF-led Components (2 and 3) have been incorporated into those sections.

The regional knowledge platform will ensure widespread dissemination of information on project results and best practices on wild cat conservation. The platform shall be anchored with the MoEFCC and will be moderated by the GTF. The platform will be universally accessible and would be especially useful for national and global audiences including local governments, international organizations, civil society organizations, academic and research institutions, the private sector, and community level institutions. The platform will serve as a reference point and bring together global, regional and national level reports, technical guidelines, protocols, and informational resources, as well as the main activities, news, publications, videos, infographics, websites and databases related to wild cats conservation. The platform would also promote citizen science to spearhead outreach and awareness generation and identify nature-based solutions for conservation of wild cats and its habitats.

#### **Indicative Activities:**

- 4.5.1 Review and update M&E plan including results framework baselines during project inception phase;
- 4.5.2 Training for project staff, clarification of stakeholder roles and planning processes at the project Inception workshop;
- 4.5.3 Coordinate the development, implementation and monitoring of UNDP-related safeguards assessments, plans and reports, and coordinate safeguards approaches between the two GEF Agencies (Note: WWF-related safeguards inputs for project landscapes are covered in Components 2 and 3);
- 4.5.4 Conduct annual review/adaptive management sessions to review M&E data and prepare for each coming year, linked to annual work plan preparation (and mid-year review) as key tools for adaptive management of project activities;
- 4.5.5 Periodic and joint monitoring visits to field sites;
- 4.5.6 Conduct Mid Term Review and Terminal Evaluation in line with UNDP/GEF requirements, and incorporate recommendations of MTR into revised project plans (management response, including a stakeholder consultation workshop) following Project Steering Committee approval, and monitor their implementation;
- 4.5.7 Project Manager to oversee implementation of the Gender Action Plan (**Annex 8**), appoint Gender Focal Points for all project offices, and recruit a Gender Expert to advise on its implementation;
- 4.5.8 Gender Expert to advise on implementation and monitoring of the Gender Action Plan, train project management staff on gender equality, and provide technical support to integrate gender into project implementation plans, such as bi/annual work plans and processes;
- 4.5.9 Project Manager to develop the protocol for collecting detailed gender information/data including the project affected people, project beneficiaries, participants of each project activity, etc., and subsequently be responsible for interpreting the information.

#### **Partnerships**

80. The overall coordination of the GEF project will be led by the MoEFCC as the Implementing Partner for the project, through its Wildlife Division. In view of the fact that Tiger Reserves form the main protected areas within each project landscape, the NTCA will play an important role in mainstreaming wild cat conservation into existing tiger conservation plans and processes. Similarly, GTF will facilitate wild cat conservation through its role nationally and internationally in tiger conservation. Given the project's landscape conservation approach and the relatively large geographical areas included in the project's demonstration landscapes in Rajasthan, Uttar Pradesh and Arunachal Pradesh, it will engage with a wide range of government agencies and other stakeholders at all levels, and will both build on the results of, and intersect with several significant initiatives.

81. At the landscape level, implementation will be led by State Project Management Units under the State Wildlife Department working in close collaboration with the District Forest Divisions, the Tiger Reserves and other PAs located in the landscapes. Engagement with State line agencies, NGOs and institutes, as well as WWF and UNDP will take place through the State Steering Committees, and with local stakeholders including relevant line departments, heads of Gram Panchayats, Community based organizations and Community Level Institutions through the Landscape Level Advisory Committees led by the relevant District Collector.

82. The GTF is working closely with the central and state governments for implementing landscape level plans across tiger/wildlife habitats, including the terai region of Uttar Pradesh, which includes the Dudhwa landscape under its ongoing engagement with the state, NTCA and WWF India to reduce human wildlife interface in the state. Regular coordination and project update meetings are held at NTCA (national level), state headquarters, district headquarters, and the field/landscape level interactions and training. This engagement also includes a detailed capacity building component for frontline and community stewards, thus the activities in the current project will be aligned with ongoing work in the state with due coordination at all levels. Similarly, work across national level deliverables and other landscapes of the project will be ensured through due coordination and synergy between ongoing GTF led initiatives.
83. The outputs, learnings and conservation benefits of the project will be shared across project meetings, monitoring and coordination workshops/consultations on ongoing initiatives and national/state/international level events such as:
- Tiger Range Country level ministerial and senior officers meeting
  - Regional capacity building programmes
  - Bilateral dialogues/Regional Tiger Range Country meetings
  - Standing Committee/General Assembly meeting of the GTF
  - Refinement of the ranger training curriculum across India, with a special focus on strengthening wildlife protection
  - National level tiger initiatives such as the implementation of Conservation Assured Tiger Standards (CA|TS) and Security Audit, among others
  - Global/National/State/District level events with GTF as special invitee/committees etc.
  - Cross-learning and sharing of best practices between other ongoing GTF initiatives under UNDP-GEF projects, including the big cat master planning collaboration under the SECURE Himalaya project and conservation of high altitude tiger habitats under the Integrated Tiger Habitat and Conservation Program (ITHCP) of the IUCN.
84. The GTF is in the process of firming up strategic partnerships to ensure livelihood and productive green business models for farming communities, with agencies like the Government-owned National Agricultural Cooperative Marketing Federation of India (NAFED) and like-minded corporations in and around tiger landscapes, especially in high wildlife human interface conflict habitats. It is pertinent that such partnerships are extended in the current project landscapes, and monitored through the existing framework of ongoing projects. The existing programmes and governance structures across the project states and the central government will be regularly apprised of the activities of the current project, as well as through other regular consultations and initiatives as mentioned above to facilitate coordination and synergies.

#### *GEF Projects*

85. The current GEF project is a child project of the GEF-7 Global Wildlife Program, its contributions to which are described in **Table 5** above. As such, it will participate in GWP knowledge sharing events and platforms in Component 4 to disseminate lessons learned and project results and experiences globally. At the national level, coordination will be established with the following GEF biodiversity projects.
86. *UNDP/GEF SECURE Project – Securing livelihoods, conservation, sustainable use and restoration of high range Himalayan ecosystems (2017-2024)*. This project takes a landscape approach to the conservation of Himalayan ecosystems, focusing on KBAs, buffer zones, corridors and areas outside traditional protected areas that are of importance for snow leopard are managed in tandem with sustainable use of natural resources and diversification of local livelihoods. As a child project of the GWP, the SECURE project is designed in full accordance with both the GWP and the GEF-supported Global Snow Leopard and Ecosystem Protection Program (GSLEP, 2013) a collaborative program involving the governments of 12 Snow Leopard range states. Consequently, its approach is similar to the current project and although the target landscapes are in different states and at higher altitudes, there are commonalities that would benefit from knowledge exchange. The SECURE project has experiences on implementation of a landscape approach, multi-level project governance (national, State, landscape-level PMU), impacts of COVID-19 on local communities and livelihoods, and on knowledge management and communications from national to local level that have informed the design of this project and will be helpful during inception as COVID-19 impacts on project

landscapes are identified in more detail. The current project will draw on experiences and learnings from the SECURE Himalaya project especially on the landscape-based approach to conservation. Both projects are national child projects under the Global Wildlife Programme and anchored with the Wildlife Division of the MoEFCC. Coordination and convergence will be ensured through cross-representation on the Steering Committees at the national level, and representation of the SECURE Himalaya project in the inception workshop, knowledge events and workshops and quarterly and annual review meetings (organized by the UNDP CO).

87. *UNEP/GEF Integrated Management of Wetland Biodiversity and Ecosystem Services (IMWBES) Project* (July 2015 – June 2020). The project aims to complement and build on the existing policy and practice frameworks in order to improve the management effectiveness of nationally and internationally important wetlands in India and to secure the socio-economic and environmental benefits through wetland wise use. Its components address national wetland biodiversity knowledge management, national scale capacity building for applying integrated wetland management, and demonstration of integrated wetland management at sites in Bihar, Kerala and Punjab sites. Its main relevance to the current project concerns the conservation of wetlands for fishing cat nationally, and improved management of Terai wetlands in Dudhwa landscape. However, the wetland project will close before implementation starts later in 2021. Consequently, the main coordination required is to take note of the UNEP/GEF project deliverables in planning for fishing cat conservation measures in Outputs 1.2 and 2.1.
88. *FAO/GEF Transforming Indian agriculture for global environmental benefits and the conservation of critical biodiversity and forest landscapes* (2018-2025). This project aims to mainstream biodiversity, climate change, and sustainable land management objectives and practices into the Indian agricultural sector. Its overall objective to “catalyse transformative change of India’s agricultural sector to support achievement of national and global environmental benefits and conservation of critical biodiversity and forest landscapes”. In addition to national mainstreaming, harmonized multi-sectoral approaches to mainstreaming environmental and resilience considerations into agriculture and landuse will be implemented at five landscapes in five States of India: in i) Madhya Pradesh (Chambal landscape – riverine wetlands), ii) Mizoram (Dampa Tiger Reserve), iii) Odisha (Simlipal Tiger Reserve and Asian Elephant Habitat), iv) Rajasthan (Desert National Park) and v) Uttarakhand (Corbett and Rajaji Tiger Reserve and Asian Elephant Habitat). The landscapes selected in these States are anchored around at least one protected area that has significant biodiversity of global importance. Given that three tiger reserves are included in the landscapes, there is potential for exchange of experiences and knowledge between these two projects, especially regarding wild cat-friendly agricultural practices in buffer zones outside protected areas.
89. *WWF/GEF Integrated Landscape Management for the Terai Arc Landscape (Nepal)* (2020-2026). This project in Nepal has a similar landscape conservation approach to the current project, and addresses very similar issues and threats to Dudhwa, which is located in the Indian side of the Terai Arc Landscape, right on the Indian-Nepalese border. As such transboundary collaboration on issues such as anti-poaching patrols, combatting IWT and HWC, and movements of wildlife such as tigers and elephants across the border has been included in both projects and direct cooperation between project PMUs and relevant government authorities is anticipated. WWF will facilitate coordination between the projects as GEF Agency for the Nepal TAL project and joint GEF Agency for the current project, ensuring that linkages are considered during the development of workplans and meetings for the Dudhwa landscape.
90. *UNDP/GEF Global Wildlife Program Phase 2 Child Project: Mainstreaming biodiversity conservation into the tourism sector in Bhutan* – this project is also at PPG stage, with Sakteng Wildlife Sanctuary as one of the project sites. Sakteng is immediately adjacent to Eaglenest Wildlife Sanctuary across the Bhutan-Arunachal Pradesh border, therefore transboundary collaboration between these two projects through direct cooperation between project PMUs and relevant government authorities is anticipated.
91. *GEF Small Grants Program India*<sup>83</sup> - SGP India has been recognized as one of the upgraded country programmes. As such, SGP India supports vulnerable communities through people-led approaches towards environmental conservation and livelihood enhancement with special focus on thematic areas such as

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<sup>83</sup> [https://www.sgpindia.org/sgp\\_india.html](https://www.sgpindia.org/sgp_india.html)

Biodiversity, Climate Change, Land Degradation, International Waters and Persistent Organic Pollutants. SGP India is being implemented by UNDP in partnership with the MoEFCC. The Centre for Environment Education acts as the National Host Institution (NHI) and has been coordinating and facilitating SGP in India since 2000. 443 community-based action projects have been supported by SGP. The grants are given to CBOs and NGOs for promoting innovation-led rural programmes that are connected to environment and energy conservation, poverty alleviation, sustainable livelihood, gender mainstreaming and inclusive community empowerment. To date, SGP benefits have reached more than 600,000 people across India. Coordination with SGP India to build on completed SGP projects and achieve synergy in community-level actions in the project landscapes will be important for Component 3 in particular. The project will ensure coordination with the SGP through representation of the host institution on the Steering Committee at the national and landscape level. The CSOs engaged under the project shall also be consulted to draw learnings on the innovative low-cost grassroots solutions for conservation and promoting nature-based livelihoods.

92. Recently closed GEF Biodiversity projects of relevance include the *UNDP/GEF project Developing an effective multiple-use management framework for conserving biodiversity in the mountain landscape of the High Ranges, the Western Ghats, India (2013-2018)*, and *UNDP/GEF Mainstreaming conservation and sustainable use of medicinal plant diversity in three Indian states (2008-2015)* – which is relevant to the potential cultivation of medicinal and aromatic plants as a livelihood and response to HWC problems, especially in NE India.

#### *Other Initiatives*

93. *World Bank India Ecosystems Service Improvement Project (P133803) (2017 – 2022)*<sup>84</sup>. With MoEFCC as the IA, the project aims to strengthen the institutional capacity of the Department of Forestry and community organizations to enhance forest ecosystem services and improve the livelihoods of forest dependent communities in Central Indian Highlands (in the States of Madhya Pradesh, Chhattisgarh and Goa). The project components will strengthen capacity and skills of government institutions for Effective Delivery of Forestry and Land Management Programs; improving forest quality and productivity; and scale up integrated SLEM approaches for reducing land degradation and desertification. As such the WB project is somewhat relevant to the project intervention in the Pakke-Eaglenest landscape, which consists of forested foothills and highlands, although the monsoonal evergreen tropical forest there has different characteristics. Coordination of efforts will primarily occur through MoEFCC as the IA for both projects, including representation of the WB project during the project inception workshop and coordination meetings.
94. *USAID/India Forest-Plus 2.0 (Forest For Water And Prosperity)* was launched in September 2019. Under the joint program, USAID will provide technical assistance to MoEFCC to improve management of forested landscapes in the states of Bihar, Kerala, and Telangana. The program will focus on developing tools and techniques to strengthen ecosystem-based management and the inclusion of ecosystem services in forest landscape management, and to enhance the inclusive economic opportunities that emerge from improved landscape management. While working in different landscapes from the present project, there is scope for adopting best practices in inclusive forest management practices, especially for the NE India landscape, where there is a need for strengthening community-based SFM practices. Coordination of efforts will primarily occur through MoEFCC as the IA for both projects, including representation of the WB project during the project inception workshop and coordination meetings.
95. *USAID's Tiger Matters project* with the Wildlife Conservation Trust (WCT) shows how a focused project can have wide impacts in rural development. For example, WCT identified the corridors tigers use to move between tiger reserve areas in the central India, and this has enabled the Government of India to establish new tiger conservation areas and select villages for rural development programs. Tiger Matters, in partnership with the Global Tiger Forum, also builds capacity in the tiger range countries in Asia and supports transboundary efforts to stop illegal wildlife trade and trafficking. The WCT-USAID project is focussing on the Central Indian Landscape that includes the states of Maharashtra, Madhya Pradesh, Rajasthan and Chattisgarh. The scope of work includes: Training of mid-level and senior-level park management in patrolling strategies and crime control; Monitoring of tiger corridors using remote sensing; Monitoring tiger populations along vital corridors using Camera Trapping; Multi-stakeholder Consultations in the CIL, Sundarbans (Indo-Bangladesh) and Terai region (Indo-Nepal); Development and distribution of training

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<sup>84</sup> <https://projects.worldbank.org/en/projects-operations/project-detail/P133803>

material for frontline forest staff; Equipping Anti-Poaching Camps in various Protected Areas; and building capacity among field staff to tackle conflict situations and rescue animals: A fully-equipped and staffed WCT Rapid Response Unit will be moving through the CIL training forest officials in wildlife rescue and conflict mitigation. This project is highly relevant to interventions in the Ranthambhore landscape, and also has some connection to Dudhwa in the Indian Terai Arc Landscape. WCT and other partners associated with the USAID project will be consulted and engaged in technical workshops and meetings of the project to draw on the learnings and experiences on landscape level planning and combating IWT. Coordination and convergence will also be ensured through WCT representation in key events and workshops – inception workshop, technical committee meetings at the state level and national level and implementation review meetings.

96. *WWF India's landscape conservation programme* - In 2002-03, the landscape approach to conservation was adopted by WWF-India to revolutionize the overall conservation strategy to one that harmonized the needs of wildlife with the needs of local communities. The new approach represented a paradigm shift in focus from one that was selective in its focus only on Protected Areas to one that encompassed vast regions represented by a string of Protected Areas connected through Reserve Forests and human dominated areas. Within and around these critical landscapes, WWF-India has aimed to involve local communities, NGOs and government agencies as major stakeholders in its overall conservation goals. Currently, WWF-India is addressing species conservation through field level activities in different landscapes as well as through direct interventions aimed at conserving a particular species. These programmes focus on threats to wildlife and the issues surrounding these threats. Prominent among these are poaching, human-wildlife conflict, trade in wildlife parts, habitat destruction and legal support. The project activities are carried out at field as well as policy levels. The overall objectives under which these activities are undertaken include the conservation of tiger, elephant and rhino populations in priority landscapes including the Terai Arc Landscape; innovative and scalable models of community based conservation, sustainable livelihoods, and institutional partnerships are established in all landscapes; and landscape and forest conservation priorities are integrated into state development plans and policy advocacy undertaken for forest, species and habitat conservation. WWF India will integrate coordination with MoEFCC, State Forest Departments, UNDP, GTF and other partners during the conservation landscape strategy and implementation discussion meetings. This will help to strengthen the landscape conservation argument.

97. *WWF India's programme in the Terai Arc Landscape* (including Dudhwa) focuses on: monitoring of wildlife and key corridors; reducing the dependence of villages on forest resources such as fuel wood; managing human-wildlife conflict; policy and advocacy work promoting broad-based support for conservation by involving the Forest Department, local political leaders and communities; and raising awareness among local communities. In Western India, the landscape programme includes Ranthambhore, focusing on reducing habitat loss, fragmentation and degradation due to developmental projects and encroachment by villagers; addressing human-wildlife conflict; and reducing poaching of tigers, co-predators and prey species. During the PPG, the project team worked closely with the WWF landscape teams and headquarters staff and utilized their in-depth knowledge in the design of the intervention strategies. Continued coordination will be required throughout implementation as indicated in the above paragraph.

98. *The Global Tiger Forum (GTF)*<sup>85</sup> is an inter- governmental international body established in 1993 with members from willing countries to embark on a global campaign to protect the remaining 5 sub-species of Tigers distributed over 13 Tiger Range countries of the world. It utilizes co-operative policies, common approaches, technical expertise, scientific modules and other appropriate programmes and controls. GTF also aims to provide financial capabilities for tiger conservation and to develop a trust fund to support programme implementation. GTF has been assisting the government of India in preparation of management plans/Tiger conservation plans, capacity development, implementing with WWF-India the Conservation Assured Tiger Standards (CA|TS) assessment in 4 states, working collaboratively and raising awareness, sharing information, best practices and technical expertise to increase cooperation and partnership among Tiger Range Countries including organizing bilateral meetings between India and its neighbours Nepal, Bhutan and Bangladesh. USAID's Tiger Matters project, in partnership with GTF, builds capacity in the tiger range countries in Asia and supports transboundary efforts to stop illegal wildlife trade and trafficking. GTF has published a Manual on Protocols for monitoring habitat quality and wildlife populations in tiger

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<sup>85</sup> <http://globaltigerforum.org/about-gtf/>



landscapes, targeting frontline staff and their trainers, and also a Manual on Technical guidelines for habitat, prey and tiger recovery across tiger range countries. Based on the above experience, GTF will play a key role in supporting project implementation, with specific inputs to all four project Components and the PMU as an Executing Agency.

99. *The Salim Ali Centre for Ornithology and Natural History (SACON)* undertakes research on small cats nationally and in specific landscapes and sites, and provided significant input to the PPG process. Relevant research has included ecological species sorting in relation to habitat structure in the small cat guild of Eaglenest Wildlife Sanctuary, research on activity patterns of small and medium sized cats in the Pakke-Eaglenest landscape analysing some 783 records of Leopard Cat, Asiatic Golden Cat, Marbled Cat and Clouded Leopard from 10 locations; national survey of the fishing cat identifying conservation issues, including Dudhwa and Katarniaghat as surveyed sites; and IUCN Red List assessments for species including Fishing Cat, Rusty-spotted Cat, Asiatic Golden Cat, Jungle Cat and Leopard Cat. See **Annex 24** for additional information.

100. *The Wildlife Trust of India (WTI)*<sup>86</sup> runs conservation programmes at national and landscapes levels of relevance. Relevant projects include: *The Wildlife Crime Control Division (WCCD)* - consisting of three projects that complement one another, assisting and supporting various government agencies and aimed collectively towards the reduction of crime against wildlife and its habitats across India: The Litigation Project aims to ensure litigation success in cases involving wildlife-related crime; *Guardians of Wild or the Van Rakshak Project* was started in 2001 with the goal of assisting the government in creating a strong, well-equipped and motivated force of frontline field staff, to curb poaching and habitat degradation across the Protected Area network; and *The Wildlife Trade Control Project* comprehensively addresses issues related to the illegal trade in wildlife and its derivatives, ensuring that the government machinery is being empowered and trained enough to sustainably manage wildlife crime in the long run. In the NE India project landscape, WTI supports *The Centre For Bear Rehabilitation And Conservation (CBRC)*, established under the Pakke Conservation Project, which is the first specialised rehabilitation centre for Asiatic black bears in India. Supported by the Ministry of Environment and Forests (MoEF), the centre was established jointly by the Arunachal Pradesh Forest Department, International Fund for Animal Welfare (IFAW) and Wildlife Trust of India (WTI) in 2002, with an aim to rehabilitate displaced cubs back into the wild. In Dudhwa landscape, WTI has provided a lot of support over the years, including distribution of first aid kits to frontline staff as part of its Rapid Action Project, and developing strategies to mitigate HWC involving big cats under WTI's Terai Tiger Project – such as integrating local community volunteers into conflict mitigation activities through the formation of Primary Response Teams (PRTs). The project's landscape level activities to build frontline staff capacity, address HWC hotspots and engage communities in anti-poaching and wildlife crime will need to build on and be coordinated with WTI efforts.

101. *The Confederation of Indian Industry (CII) -ITC Centre of Excellence for Sustainable Development*<sup>87</sup> aims to catalyse innovative ideas and solutions, in India, and globally, to enable business, and its stakeholders, in sustainable value creation. Instituted in 2006, the CII-ITC Sustainability Awards recognise and reward excellence in businesses that are seeking ways to be more sustainable and inclusive in their activities – to date, 304 businesses have been recognised. The CII will be a key partner in establishing an wildlife business council platform and mobilizing corporate sector funding for wildlife conservation.

**Table 7. Intersection of related initiatives with project outputs**

| Related Initiative                             | Intersections with Components and Outputs of the Present Project |     |     |          |
|--|--|-----|-----|----------|
|  | C1   | C2  | C3  | C4       |
| UNDP/GEF GWP SECURE                            | All Outputs  |     |     | 4.4      |
| UNEP/GEF Wetlands - IMWBES                     |  | 2.1 |     | 4.4      |
| FAO/GEF Transforming Agriculture for GEBs & BD |  | 2.1 | 3.4 | 4.4      |
| WWF/GEF ILM for TAL Nepal                      | 1.5  | 2.2 | 3.5 | 4.3, 4.4 |

<sup>86</sup> <https://www.wti.org.in/>

<sup>87</sup> <https://www.sustainabledevelopment.in/>

|   |             |             |             |               |
|---|-------------|-------------|-------------|---------------|
| UNDP/GEF GWP Bhutan<br>Tourism Child Project                |             |             |             | 4.3, 4.4      |
| GEF SGP India   |             | 2.1         | All Outputs | 4.4           |
| WB India Ecosystems Service<br>Improvement Project          |             |             |             | 4.4           |
| USAID/India Forest-Plus 2.0                                 |             |             |             | 4.4           |
| USAID/WCT Tiger Matters<br>project                          |             | 2.2         | 3.5         | 4.4           |
| WWF India   | All Outputs | All Outputs | All Outputs | All Outputs   |
| GTF   | All Outputs | All Outputs | All Outputs | All Outputs   |
| SACON   | All Outputs | 2.1         | 3.2, 3.3    | 4.2, 4.3, 4.4 |
| WTI   | All Outputs | All Outputs | All Outputs | All Outputs   |
| CII-ITC Centre of Excellence for<br>Sustainable Development |             |             |             | 4.1, 4.4      |

## Risks

88. The risks for the project have been collectively assessed and identified according to the division of responsibilities for this joint agency GEF project. Under this arrangement, UNDP leads as GEF Agency for Components 1 and 4, following the standard UNDP approach towards assessing and managing risks (see below). Similarly, the risks for Components 2 and 3 were assessed following the WWF GEF Agency procedures (see below). The identified project risks, their overall rating and the mitigation actions required during project implementation are given in **Annex 5**. The assumptions on which these project risks depend are listed in the project's Theory of Change (**Table 4**), with assumptions applied to the project indicators also described in the Monitoring Plan for the project Results Framework (**Annex 3**). Risks are only shown if their rating is considered to be Moderate or High, with the exception of risks identified in the Social and Environmental Screening Procedure (SESP, **Annex 4**) which are all described. As per standard UNDP requirements, the Project Manager will monitor risks quarterly and report on the status of risks to the UNDP Country Office. The UNDP Country Office will record progress in the UNDP ATLAS risk log. Risks will be reported as critical when the impact and probability are high. For WWF, risks, including safeguards risks identified in the IPPF, will be monitored by the GEF Agency via quarterly budget and issue reports, six-monthly project progress reports (which include attention to risk identification and mitigation) and the yearly supervision missions. Management responses to critical risks will also be reported to the GEF in the annual PIR.

89. *COVID-related risks to the project:* One Moderate and two High rated risks are directly related to the potential impacts of the ongoing COVID-19 Pandemic, while an additional two Substantial and two Moderate risks may be exacerbated by COVID19 impacts, therefore additional mitigation measures have been incorporated into the project design. The most significant direct risks concern first, the ongoing COVID-19 Pandemic affecting project implementation (#13), most significantly through government movement restrictions that would impact meetings and interrupt field activities. Secondly, the economic impacts of the pandemic could cause exchange rate fluctuations or economic recession that impact the GEF budget available to support implementation,, while strategic shifts in government spending priorities could affect the delivery of cofinancing commitments for project implementation. In addition, the movement control orders in India as well as in tourist origin countries have significantly reduced tourist visitation, with a risk of undermining project plans to support ecotourism development, although the government and tourism operators are looking towards tourism recovery measures. HWC and hunting could increase locally due to COVID19-induced movements of people (eg returning to villages from cities(#6)); changes in government priorities related to COVID19 may favour regional development plans that take precedence over conservation (#7); COVID19 impacts on local economies could also exacerbate the risk that livelihood incentives are insufficient to change behaviour towards achieving conservation outcomes (#9).

90. *Potential mitigation measures:* The project will comply with government directives in order to reduce health risks to project staff and stakeholders. Project start up could be delayed if necessary and flexibility has been provided in the project budget through allowing a six-month buffer at each end. Implementation may be paused if necessary in affected areas and resumed at a later time if feasible. The Project Steering Committee

will guide project responses remotely as required. Revision of the project workplan may be necessary, and an extension request may be required if implementation is substantially delayed. Some adaptive adjustment may be needed to project strategy (e.g. on ecotourism development, business partnerships, or local hunting issues) if necessary – for example, by shifting emphasis towards other forms of sustainable livelihoods support. Project support will be provided for PPE and IT communications to facilitate remote working. Financial impacts will be addressed through review of the GEF budget during project inception to address any shortfalls due to exchange rate fluctuations between the GEF approved budget and project start up. Annual budget reviews should track and respond to subsequent fluctuations. The Project Steering Committee should monitor and address any significant financial constraints arising due to exchange rate fluctuations and any delays or failures in the delivery of government cofinancing and business partnership financing delivery. Changes in the scope or timing of planned activities may be necessary through workplan adjustments.

91. *Potential opportunities identified:* The project's support for sustainable livelihood development and reduction of HWC impacts will contribute towards the resilience of local communities to increased economic stresses related to the pandemic. The government's interest in supporting post-pandemic tourism recovery may align well with project plans for ecotourism development. The overall thrust of the project towards integrated landscape management should also contribute towards more resilient governance through the landscape master plans and related capacity development that takes into account the value of ecosystem services underpinning the local economy, ecosystem-based adaptation to climate change, and sustainable natural resource use.
92. Of the fourteen project risks listed in **Annex 5** that are not related to the SESP or IPPF, two are rated High, three are Substantial, five are Moderate and four are Low. Two High risks and one Moderate risk are directly related to potential impacts of the ongoing COVID19 Pandemic, as follows:
93. Risk #12 (Moderate): *Risk of COVID19 impacts undermining project plans to support ecotourism development in project landscapes.* Project plans for ecotourism development will continue in tandem with other livelihood diversification efforts. These intervention plans should be reviewed on a regular basis once implementation starts in consultation with national and state tourism authorities, and if necessary, other options for sustainable livelihoods considered in the event that the economic viability of ecotourism development in the project landscapes is considered a major risk. Support for ecotourism development will prioritize alternatives to homestays, such as camp and basic chalet facilities that allow mitigation of COVID-19 risks through measures such as social distancing).
94. Risk #13 (High): *Risk of ongoing or new human disease outbreaks such as the COVID-19 Pandemic affecting project implementation.* The project will comply with government directives in order to reduce health risks to project staff and stakeholders. Project start up could be delayed if necessary due to ongoing health risks and operational constraints caused by social distancing, self-isolation and other measures. Flexibility has been provided in the project budget through allowing a six-month buffer at each end for project start-up and completion delays. Implementation may be paused if necessary in affected areas while government disease prevention or control measures are implemented, and resumed at a later time if feasible. The Project Steering Committee will guide project responses through email correspondence for ongoing situations, as required. Revision of the project workplan may be necessary, and an extension request may be required if implementation is substantially delayed. Some adaptive adjustment may be needed to project strategy (e.g. on ecotourism development, business partnerships, or local hunting issues). Project support for PPE and IT communications to facilitate remote working will be provided through Outputs 4.2 and 4.4.
95. Risk #14 (High): *Impacts of exchange rate fluctuations on the budget available to support implementation plans, global economic recession and changes in government priorities impacting delivery of cofinancing commitments for project implementation.* The delivery of government co-financing support for the project may be impacted by government prioritization of support for COVID19 response measures, in terms of the flow of funds through the consolidated fund of Government of India following the National Implementation Modality has the potential to cause delays during the implementation of the project. The GEF budget will be reviewed during project inception and any necessary measures taken to address any shortfalls due to exchange rate fluctuations between the GEF approved budget and project start up. Annual budget reviews should track and respond to subsequent fluctuations. Changes in the scope or timing of planned activities

may be necessary through workplan adjustments. The Project Steering Committee should monitor and address any significant financial constraints arising due to exchange rate fluctuations and any delays or failures in the delivery of government cofinancing and business partnership financing delivery.

96. An additional two Substantial and two Moderate risks may be exacerbated by COVID19 impacts, therefore additional mitigation measures have been incorporated: Risk # 6 (Substantial): *Increasing human populations combined with increasing wildlife populations in PA, buffer and corridor areas will increase the prevalence of HWC, potentially exacerbated by COVID19-induced movements of people*; Risk #7 (Substantial): *Regional development priorities for settlements, agricultural and irrigation schemes, transportation infrastructure, hydropower and industry take precedence over conservation and NRM plans supported by the project, potentially exacerbated by changes in government priorities related to COVID19 recovery*; Risk #9 (Moderate): *Risk that livelihood incentives are insufficient to change behaviour towards achieving intended conservation outcomes, potentially exacerbated by COVID19 impacts*; and Risk #10 (Moderate): *Risk that targeted communities are not motivated to participate in sustainable livelihood activities that support landscape conservation for the benefit of small cats and other wildlife, potentially exacerbated where increased access to natural resources is important to buffer livelihood impacts due to COVID19*.
97. The other risks identified as Substantial were: #3: *Complex fund flow mechanisms and low capacity to disburse project funds efficiently*; while other Moderate risks were: #1: *Lack of ownership and support of different levels of government institutions could obstruct project implementation*; and #4: *Institutions governing PA buffer areas, Eco-Sensitive Zones and adjacent production landscape areas have inadequate capacity or resources for integrated natural resource planning and management*. See **Annex 5** for further details and mitigation measures.

### **Social and Environmental Safeguard Risks**

98. Potential social and environmental risks for **Components 1 and 4** are captured under the UNDP Social and Environmental Screening Procedure (SESP). The SESP was finalised during project preparation, as required by UNDP's Social and Environmental Standards (SES). The SESP identified **10 risks** for Components 1 and 4 of this project that could have potential negative impacts in the absence of safeguards, **all of which are rated as Moderate. Therefore, the overall SESP risk categorization for the project is Moderate**. The following safeguards are triggered: Human Rights; Gender Equality and Women's Empowerment; Biodiversity Conservation and Natural Resource Management; Climate Change Mitigation and Adaptation; Community Health, Safety and Working Conditions; Displacement and Resettlement; and Indigenous Peoples. The **Moderate risks** related to **Components 1 and 4** of the project that will be supported by UNDP as GEF Agency are described as follows (see **Annex 4** for additional details). While risk management measures have been comprehensively included in the project design, it needs to be stated up front that no resettlement will take place with the use of GEF financing under this project.
99. *Risk 1: Upstream risk of restriction of access/use of natural resources and displacement of IPs/ tribal communities through preparation of landscape-level master plans including management of ESZ for wild cat conservation.* A Strategic Environmental and Social Assessment (SESA) approach will be integrated and apply in the landscape planning approach and processes to avoid and prevent potential social and environmental impacts linked to development and implementation of landscape master plans. If the SESA considerations as part of master plan development determine that Free, Prior and Informed Consent (FPIC) would be required to be compliant with UNDP SES then the measures outlined in the WWF IPPF will be followed (after confirmation that they adhere to UNDP SES requirements). A comprehensive Stakeholder Engagement Plan (SEP) (**Annex 7B**) along with Project-specific grievance redress mechanism will be followed during all phases of the project. The master planning process under Output 1.1 will follow a participatory approach engaging District/State level stakeholder in each landscape including Eco-Sensitive Zones with consultation on existing policies, plans, state & national level schemes, defining clusters at Dudhwa TR, Pakke TR and Eagle Nest WLS. The planning process will also include primary data collection using community focus group discussions, participatory mapping and assessment through deployment of field teams.
100. *Risk 2: Risk of curtailing of customary natural resource management rights of tribal communities in project landscape through operational policy and plans for wild cat conservation.* A targeted SESA approach will be integrated into the policy development/revision and planning process for small cat conservation to

identify and consider potential social and environmental risks linked to implementation of the different operational plans and policies. In parallel, the project will include capacity development activities to support the effective implementation of operational policies and SOPs. This is included under Output 1.5 for areas relevant to landscape management. Supported by WWF as GEF Agency, Output 2.2 includes training for frontline staff including community engagement and gender, delivery of a human rights-based approach to site-based wildlife law enforcement, field techniques for monitoring small cats etc. Further, evaluation workshops will be conducted to confirm best practices in implementation and identify lessons learned for implementation of guidelines and SOPs.

101. *Risk 3: Risk of low capacity to implement project activities which could impede compliance with UNDP social and environmental safeguards.* Capacity assessment of implementing agencies for components 1 & 4 has been integrated into project design/preparation and will be considered while doing implementation planning. Capacity development programs are included in Output 1.5 on landscape management considerations, in Output 2.2 under WWF as GEF Agency for frontline staff, and under Output 4.1 for private sector engagement and sensitization. The PMU has a National Safeguards and M&E Officer that will support these processes and provide sensitization training on SES requirements and ensure adequate consideration of SES within these activities.
102. *Risk 4: Project may exclude marginalized/vulnerable groups from participatory processes and/or project benefits due to lack of effective community engagement and support.* A participatory process and stakeholder engagement plan will be in place as an integral part of project planning and implementation. The stakeholder engagement plan will be implemented, and regularly reviewed and updated as needed. The master planning process under output 1.1 will follow a participatory approach including community focus group discussions, participatory mapping and assessment through deployment of field teams. Capacity of the implementing agencies will be developed for effective and inclusive community engagement including engagement of local and indigenous communities and vulnerable groups (e.g. Outputs 1.5, 2.2).
103. *Risk 5: Project activities and approaches to landscape-level planning, operational policy updates, capacity building and corporate sector engagements might not fully incorporate or reflect views of women and girls and ensure equitable opportunities for their involvement and benefit.* A gender analysis based on specific consultations with women and girls in the demonstration landscapes and review of literatures has been conducted during the PPG (see **Annex 8**). The Gender Action Plan (**Annex 8**) will be implemented with proposed activities to ensure project opportunities and benefits flow to women and girls. The SEP (**Annex 7B**) along with Project-specific grievance redress mechanism will be followed during all phases of the project.
104. *Risk 6: Project landscapes could be impacted by the Supreme Court's ruling that over a million claims of Scheduled Tribes and other Traditional Forest Dwellers are not valid and shall be evicted from forests by July 2019. Though the court later stayed its order to give states more time to examine the rejected land claims, this could potentially result in conflict in demonstration landscapes and disrupt project implementation.* This risk is not related to the project or any of the co-financing but if the Supreme Court's ruling was strictly implemented it may trigger conflicts between State governments and Scheduled Tribes and other Traditional Forest Dwellers which could disrupt project implementation. The SEP (**Annex 7B**) along with Project-specific grievance redress mechanism will be followed to minimize the possible disruption if created due to Supreme Court's decisions.
105. *Risk 7: Entry of the private sector in conservation (enhancing corporate sector engagement for community-based wildlife conservation) could result in risk through partnership with private sector entities that have poor SES track record or risk altering traditional patterns of natural resource use and social power due to market orientated motives.* Any corporate partnerships will be screened and due diligence and an exclusionary process applied for high-risk sectors in accordance with UNDP Private Sector Partnerships policy and UNDP Private Sector Risk Assessment Tool. In addition, the activities under Output 4.1 to develop partnerships and implement pilot initiatives with private sector (e.g. Green Business Platform/investment fund/CSR fund/grants etc. made available through private sector investment) will include a screening process to ensure consideration of social and environmental impacts, adherence to SES and exclusion of potential high-risk activities. The SEP (**Annex 7B**) along with Project-specific grievance redress mechanism will be followed during all phases of the project.

106. *Risk 8: Risk of the project supporting private sector entities that could be noncompliant with national and international labor standards (i.e. principles and standards of ILO).* Screening/exclusionary process for partnering with private companies will be a part of project planning and designing of private sector investment in accordance with UNDP due diligence for private sector partnerships (see above risk), including risk of potential noncompliance with ILO standards. Any activities proposed by private sector investment linked to the project will be subject to screening processes and an exclusionary process will apply to ensure that grants/grantees do not cover activities that would be in noncompliance with UNDP SES. Compliance with private sector due diligence will be monitored during implementation by the National Safeguards and M&E Officer in the PMU.
107. *Risk 9: The intended outcomes of (Outputs 1.1, 1.2, 1.3, 1.4 and 4.1) of the Project could be sensitive or vulnerable to potential impacts of climate change and variability, and natural disasters.* A climate risk screening has been completed (**Annex 26**). The potential climate change impacts/vulnerabilities will be considered while selecting corporate investments and designing master planning process for landscape conservation through: 1) Screening of climate change vulnerabilities for all corporate sponsored projects in Output 4.1 and master planning process (1.1), preparation and implementation of recovery action plans (1.2), protocol and standard operating procedures (SOPs) (1.3) and Site-specific guidelines for small cat conservation (1.4); and 2) Integration of inputs from Eco-Sensitive Zone management and climate-smart land use considerations.
108. *Risk 10: Risk that coordination and knowledge exchange actions on transboundary conservation could perpetuate potential safeguards risks linked to law enforcement.* A screening process will be put in place to identify and avoid potential safeguards impacts of the 'roadmap' developed for transboundary coordination on law enforcement for conservation. As needed mitigating measures such as need for capacity development and agreement on law enforcement approaches and standards will be captured in the roadmap development.
109. Potential social and environmental risks for **Components 2 and 3 were determined through the WWF GEF Agency safeguards assessment procedure**. A framework approach to risk and impact assessment and mitigation has been adopted for these components, since specific intervention sites within the landscapes (targeted buffer zones and corridors) where activities will be financed will be chosen during project implementation. Mitigation plans such as Indigenous Peoples Plans (IPPs) and Livelihood Restoration Plans (LRPs) are usually prepared upfront if the exact location and activities are confirmed and known. Thus, an Indigenous Peoples Planning Framework / Process Framework (IPPF/PF) has been prepared and disclosed before GEF Agency approval (**Annex 25**). IPPs/ LRP (if needed to support access to other resources/livelihoods) will be prepared as necessary during implementation, when specific details on exactly where interventions will be executed and which communities are involved are known.
110. The IPPF (**Annex 25**) provides guidance for the screening and assessment of impacts on indigenous peoples along with requirements and processes to obtain FPIC from affected indigenous and tribal peoples and for the preparation of IPPs for components 2 & 3 subprojects/ activities that are identified and prioritized during project execution. The Process Framework (PF) describes a process to be established by which members of potentially affected communities (due to restriction of access to resources) participate in designing, implementation and monitoring of relevant project activities to mitigate the impacts.
111. The IPPF/ PF has identified the steps for detailed screening and assessment for the project's potential social and environmental risks including project restriction of access to resources and livelihood, and for preparing and approving the required management plans for avoiding, and where avoidance is not possible, reducing, mitigating and managing these potential adverse impacts. The screening, social assessment, planning and implementation of the management plans (IPPs and LRPs) and their monitoring and evaluation will be the responsibilities of the PMU using the project budget allocated for Components 2 and 3.
112. Community and stakeholder engagement during the project preparation period followed a PPG Stakeholder Engagement Plan (SEP) consistent with WWF requirements, listing the consultations required for each stakeholder and a description of the stakeholder engagement process. The PPG team members conducted site visits to each of the project landscapes and carried out community and stakeholder consultations between mid-July and late September 2019. The inputs received from the consultations

provided basis for the selection of the targeted intervention areas within the proposed landscapes. Similarly, three community consultations in Dudhwa and Pakke- Eaglenest landscapes were organized as part of preparation of IPPF and PF. The objectives of consultations were mainly to:

- inform affected indigenous/tribal communities about project objectives and activities;
- discuss and assess possible adverse impacts and collect their views to avoid or mitigate them;
- discuss and assess potential project benefits and how these can be enhanced; and
- develop a strategy for Indigenous/Tribal People's participation during project design and implementation and to ascertain communities' broad support for the project.

113. All the concerns, comments and feedback provided by the participants of each consultation meeting have been noted and reflected in the **IPPF/PF (section 7.2)** prepared for the project (**Annex 25**). Most of the issues raised have been incorporated under project-related livelihood development and other opportunities proposed under Component 3, Output 3.4. In the case of project restriction of access and impact on livelihood, participants in Pakke Eaglenest landscape in particular, demanded for an agreement on alternative arrangements to support livelihoods and continue access. For this, they requested for MoU in their language. The "MoU" between project and IP/Tribal communities should be understood to be in the spirit of FPIC, and the project will obtain it accordingly. The participants demanded for income generating activities, livestock shed improvement and community-based insurance scheme for livestock and crops. These demands along with other eligible and genuine concerns emerged during screening and social assessment will be addressed through IPP and LRP mechanisms. It should be noted that the livelihood development planned and budgeted under Output 3.4 has taken consideration of such needs to mitigate any potential restriction of access to natural resources.

114. A comprehensive Stakeholder Engagement Plan (SEP) has been prepared (see **Annex 7B**), incorporating the project's grievance redress mechanism and will be implemented during designing and implementation of the project.

#### **Stakeholder Engagement and South – South Cooperation**

115. Stakeholder engagement during the project preparation period followed a PPG Stakeholder Engagement Plan consistent with UNDP and WWF requirements (see **Annex 7A**), listing the consultations required for each stakeholder and a description of the stakeholder engagement process. See Annex 02 of the Stakeholder Engagement Plan (**Annex 7B**) for details of community consultations, and **Annex 17** for a record of all stakeholder consultations conducted during the Project Preparation.

116. Visits were conducted to each of the three project landscapes by the PPG team to conduct stakeholder consultations between mid-July and late September 2019. These consultations informed the assessment of small cat and other biodiversity values, the identification and assessment of the threats facing biodiversity, the analysis of barriers towards achieving the project goal, and the description of baseline activities at all levels of governance. These inputs provided the basis for the situation analysis of the project document, including the selection and defining of the project landscapes and targeted intervention areas within these landscapes. Consultations by the gender and community engagement consultant focused on gender analysis/mainstreaming, community engagement and social inclusion, as well as baseline analysis of local livelihoods and socio-economic conditions.

117. Following the guidance provided by GEF gender policy 2017 and GEF policy on stakeholder engagement, community consultations were organized. Nine consultations were organized in the core, buffer, and periphery regions of Dudhwa, Katarniaghat, Kishanpur, and Pilibhit tiger reserves with indigenous "Tharu" community, Scheduled caste, and Other Backward Caste communities. In Ranthambore- Karauli and Kela Devi area, more than ten community consultations were organized with men and women of different communities, including Mogiyas, pastoralist Gujjars, and farming community. In Nameri-Pakke- Eaglenest area, more than 12 community consultations were organized with Nishi, Bugun, and Shertukpen tribes. Besides, several other community stakeholders such as civil society organizations working for community development, agriculture, and other line departments, EDC members were consulted for understanding the impacts of the project on the community, how the project can be engaged with different stakeholders for implementation of the project while benefiting the local community and wild cat population simultaneously.

118. Three community consultations in Dudhwa and three in Pakke- Eaglenest area were organized during January- February 2020, as part of the preparation of the Indigenous Peoples Planning Framework (IPPF) and the Process Framework (PF) to collect demographics, social, cultural, and political characteristics of affected IP communities as well as assess the land and territories that they have traditionally owned or customarily used or occupied, and the natural resources on which they depend. The objectives of these consultations were mainly to:
- inform affected indigenous/tribal communities about project objectives and activities;
  - discuss and assess possible adverse impacts and collect their views to avoid or mitigate them;
  - discuss and assess potential project benefits and how these can be enhanced; and
  - develop a strategy for Indigenous/Tribal People’s participation during project design and implementation and to ascertain communities’ broad support for the project.
119. Similarly, consultation with government officials, including officials of District Forest Offices, National Parks, Tiger Reserves have also been conducted to validate and confirm the information collected from communities as well as for understanding the impacts of the project on communities (the consultation report of each project landscape with details of consultations (dates, location and number of participants, etc., issues and concerns raised) have been provided in the Indigenous Peoples Planning Framework (IPPF) & Process Framework (PF) (**Annex 25**) – see Risks section above for further information.
120. Consultations were also conducted with key project stakeholders as research institutes (Wildlife Institute of India (WII), Indian Institute of Science Education and Research, Pune (IISER), Centre for Wildlife Studies (CWS), SACON (Salim Ali Centre for Ornithology and Natural History), ENVIS Centre on Wildlife & Protected Areas), NGOs and Donors (Wildlife Trust of India (WTI), Traffic India, Wildlife Conservation Trust (WCT), FERAL (Foundation for Ecological, Research Advocacy and Learning), Wildlife SOS, Conservation Action Trust (CAT), Wildlife Protection Society of India) and Inter-governmental Organizations (Global Tiger Forum (GTF) and IUCN).
121. The results of the baseline analysis were presented to UNDP, WWF and national government and used to inform the development of a first draft of the project intervention strategy. These materials were then intended to support a series of State-level consultation workshops for the project landscapes to obtain a wide range of stakeholder inputs, for incorporation into the project document. However, this was only completed for Pakke-Eaglenest landscape before the COVID19 pandemic occurred, bringing opportunities for physical consultation to a halt. The full draft project document was then presented to a virtual validation workshop on 8 August 2020.
122. The project investments have been planned through stakeholder interactions, as well as regular inputs and feedback from the sites have been incorporated throughout the period (beyond 2019 through 2020). Regular interactions, at the field level by existing programmes, virtual meetings with state representatives to firm up priority activities for small cat conservation, and validation workshops/face to face project meetings convened by the implementing agency, and partners, WWF and UNDP (in 2020) have ensured regular flow of information for project development and refinements, as and when suggested. The project document has been reviewed by the states and agencies at several levels, including during the issuance of the co-financing commitment of the government. Furthermore, landscape projects of WWF in partnership with stakeholders have been implemented in all the three project geographies over long time periods, with most intensive focus in the Terai/Dudhwa landscape, followed by Ranthambhore and Arunachal Pradesh (Pakke-Eaglenest landscape). Conservation outcomes related to efforts for wild cats like the tiger also overlap with small wild cat habitat protection, including initiatives towards reduction of pressure on landscapes, addressing human wildlife conflict issues, among others. During the start-up phase, the PMU will identify specific intervention sites and adapt project activities as needed for the Annual Work Plan and budget – FPIC consultations, safeguards mitigation planning for specific sites – these are all needed and will take account of the time lapse between design and the onset of implementation.
123. GoI, UNDP, WWF and GEF requirements for gender equality have been addressed during project preparation by an analysis and action plan described in **Annex 8** and integrated into the project strategy as well as the present section on stakeholder engagement.



124. The following stakeholder engagement activities were conducted during project preparation:
125. Project Preparation Workshops for national and state level stakeholders and other key stakeholders, including government, NGOs, and CSOs:
- a) PPG Inception Workshop – launch of project development process (mid-July 2019, New Delhi)
  - b) Pakke-Eaglenest Landscape Stakeholder Consultation Workshop – to review proposed activities (11 March 2020, Arunachal Pradesh)<sup>88</sup>
  - c) Virtual PPG Validation Workshop – to review the draft project document (8 August 2020).
126. Field level consultations (including meetings with a range of local stakeholders, community groups, site visits, field inspections, and focus group discussions)
- a) Preliminary field trip with PPG team, UNDP and WWF staff to Ranthambhore – to announce start of Project Preparation, update the baseline situation on threats and barriers, gather initial baseline information (mid-July 2019);
  - b) National consultant team field visit to Dudhwa landscape (Uttar Pradesh) – to gather baseline information for situation analysis, conduct stakeholder and gender analysis, proposals for activities (August 2019);
  - c) National consultant team field visit to Ranthambhore landscape (Rajasthan) – to gather baseline information for situation analysis, conduct stakeholder and gender analysis, proposals for activities (August 2019);
  - d) National consultant team field visit to Nameri (Assam) and Pakke-Eaglenest landscape (Arunachal Pradesh) – to gather baseline information for situation analysis, conduct stakeholder and gender analysis, proposals for activities (September 2019);
  - e) Field trip to Dudhwa landscape with Project Design IC and UNDP to inform design of preliminary activities (September 2019);
127. Individual stakeholder consultations
- a) Meetings with individual stakeholders at all levels to discuss specific issues, obtain baseline data, review indicator targets, comments on activities, etc.;
  - b) Meetings with related projects and initiatives to obtain baseline information on their status of implementation, timing, budget, potential for inclusion as project co-financing, specific areas of collaboration (related to project outputs), mechanisms for collaboration.
128. Gender, Stakeholder Analysis and Safeguards consultations
- a) Study conducted by Gender and Community Engagement specialist to ensure that these issues were screened and integrated into the design of project activities, outputs and the M&E framework, based on the above consultations by national consultant team during field trips;
  - b) Safeguards assessment by contracted specialist (January-March 2020)
129. Based on the above consultations, the Stakeholder Engagement Plan for Full Project implementation was developed (see **Annex 7B**), which provides details of the different groups of stakeholders, the proposed methods and topics of engagement, and the timing and frequency of such engagement. The plan aims to:
- Establish mechanisms that ensure a high level of ownership across project partners, affected and interested parties throughout the project life cycle to align with the multi-sectoral and multi-stakeholder project approach.
  - Facilitate close engagement and grievances mechanisms of stakeholders in the further development and throughout implementation and closure of the project.

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<sup>88</sup> Dudhwa Landscape stakeholder consultation workshop was proposed for March 2020, but was cancelled due to COVID19 restrictions. Selected stakeholders from this landscape took part in the virtual validation workshop in August 2020.

- Establish time frame and methods that ensure stakeholder consultation and disclosure of project information through the project life cycle; and
- Establish and manage communication and engagement mechanisms across partners, affected, and interested parties in a transparent, timely, and clear manner.

The main strategies to be deployed by the project to achieve stakeholder engagement are as follows.

#### **Proposed Strategy to incorporate views of women and other relevant groups**

130. The project will use the following methods to ensure that the views of women and vulnerable groups are included in the project design, planning, and implementation of activities at the community level:

- A combination of methods will be used when consulting and engaging local communities while respecting all participants' views and knowledge, including focus group discussions using various criteria depending on the situation (per economic activity, age group, gender, geographical locations, etc.); critical informants discussions (e.g., to understand the historical perspective of certain activities, gender perception, and realities, etc.). In pursuing these methods, the project will ensure that there is enough time, flexibility (e.g., due to disability, some may come from far) to ensure participation of all intended members of communities. This will avoid the risks of vulnerable groups being excluded from taking part due to being banned from public gatherings due to their disability, gender orientation, economic activity, religion, or tribalism.
- In consulting and engaging women and other relevant groups, communication will be simplified to ensure that it fits the local context and helps build confidence. In all meetings, the local language will be used, and where necessary, the translation will be used to tribal languages using members of the communities. Community members and officials will lead the discussions at the community level from the district government.
- A register will be kept (recording the institution/group/village/district government office etc rather than names of all individuals, and recording number of participants and gender), updated regularly, and feedback systems developed to ensure that women and other relevant groups (minorities, elderly, young other marginalized groups) are fully included in consultations, benefit from the project, and informed on the progress project.

#### **Proposed methods to receive feedback and to ensure ongoing communications with stakeholders**

131. The following approaches will support communications with stakeholders:

- All stakeholders that have been consulted and identified will be kept in the register (recording the institution/group/village/district government office etc rather than names of all individuals, and recording number of participants and gender) and updated regularly. These stakeholders will be kept abreast of information on project implementation reports and encouraged to provide feedback by individuals taking part in implementing the project through various means, including phone calls, emails, and informal meetings, among others. Almost all stakeholders identified by the project have an interest in the project areas that will facilitate engagement and outreach throughout the project cycle.
- National ministries and agencies that are primary partners to the project will be invited to provide feedback on the project through meetings (or workshops), including the various technical and steering committees set up under the project to design and implement activities throughout the project cycle. The primary partners will also provide feedback through direct engagement with the PMU during the development and execution of activities throughout the project cycle.
- The institutional project arrangement has allocated responsibilities for all parties to monitor and collect feedback from communities and other stakeholders throughout the project cycle. This set-up will allow for collection, analysis, follow-up, accountability, and integration of feedback provided.
- The PMU, executing partners, and partners will take notes during community meetings, interviews, and focus group discussions when exercising their communities' responsibilities. These field notes will be used to write and analyze field reports and monitoring reviews to provide feedback to the project implementation. Notes and reports will be filed.
- As appropriate, regional and local government authorities will be invited to provide feedback through speeches during officiating workshops, the launch of reports, and forums. The politicians

will also be engaged and consulted to provide their input through visits to the district and regional offices during the execution of various project activities.

- As it has been done during the project's design process, all other stakeholders that have already been identified will be invited to workshops and meetings as per thematic topics and their interests to provide inputs and feedback during designing activities, implementation, monitoring, and evaluation of the project. These stakeholders will be involved through individual consultation (phone calls, emails), sharing reports (workshops, monitoring) where feedback can be provided to PMU.
- The national-level government ministries, agencies, and members of PMU will also represent the project in various local and international multi-stakeholder meetings, forums, and workshops where feedback can be provided. This engagement will allow for input from various invited stakeholders, forge new partnerships, and identify new stakeholders beyond that have been identified.

#### **Other engagement activities for the stakeholder engagement plan**

132. Other engagement activities for the plan will include the following:

- Engagement with women's groups and youth for promoting community stewardship- Women are at the forefront in the fight for natural resource rights and collecting NTFP, fuelwood from the forest, human-wildlife conflict and hence, it would be essential to engage with youth and women groups to increase their awareness on small cat conservation, forest, and biodiversity protection.
- Increased awareness for small cat conservation: According to community members, watchers, and local guides shared that use of posters, signboards can be used for building awareness of community and other stakeholders on small cats.
- Creating a learning and sharing platform at the national level and across the border for sharing of best practices- Many wildlife NGOs working in the Pilibhit area have experience on camera trapping of small cats and running a public campaign community stewardship for conservation issues. But, largely, there is a lack of general knowledge and information regarding the small cat population, habitat, and conservation. Hence, it would be essential to create a national level and cross-border learning and sharing platforms to document, share, and replicate best practices for small cat conservation. It will also help increase the project's outreach to benefit other landscapes not covered under this project.
- Training and capacity building across project partners affected and interested stakeholders. The project will also build capacity on existing multi-stakeholder processes and established forums to provide room for partnerships and consultation with stakeholders beyond those directly affected by the project.
- In all meetings (individual, site visits, workshops, focus group discussions, key informants), records will be kept and documented for analysis, and various reports will be prepared. The documentation will also be used to keep stakeholders informed at different levels on progress, challenges, risks, and emerging opportunities.
- The district and communities will design, make a decision, and provide feedback throughout the project cycle. The identified district and community level state and non-state actors are beneficiaries (or effected parties) and partners to the project.

#### **South – South Cooperation**

133. This project is a child project under the GEF-7 Global Wildlife Program (GEF ID 10200), which provides a mechanism for cooperation and knowledge sharing, with both global and all national GWP projects being integrated into the GWP Global Knowledge Exchange Platform. India has a strong engagement in the GWP, being one of the first countries to join the GWP and an active participant in knowledge exchange, including hosting the 2017 in-person knowledge exchange event. During the PPG, the project was represented at the GWP in-person knowledge exchange event in South Africa in October-November 2019. This project will engage in GWP global/regional/thematic knowledge events to increase stakeholder engagement, present on project activities, and share experiences with other similar projects. The project will also actively share knowledge gained through participation in the GWP across national and sub-national networks.

134. The project is also well aligned with the Global Tiger Initiative / Global Tiger Forum, providing another avenue for international knowledge sharing further to WWF and UNDP's networks. In addition, to bring the voice of key stakeholders including the MoEFCC, State government agencies, partner NGOs and engaged communities to global and regional fora, the project will explore opportunities for meaningful participation in specific events where UNDP could support engagement with the global development discourse on landscape conservation for wild cats. The project will furthermore provide opportunities for regional cooperation with countries that are implementing initiatives on the conservation of wild cats, human-wildlife relations management and combatting poaching and illegal wildlife trade in geopolitical, social and environmental contexts relevant to the proposed project in South Asia.

135. Learning opportunities and technology transfer from peer countries will be further explored during project implementation. This will explicitly include collaboration with the WWF/GEF-6 Integrated Landscape Management for the Terai Arc Landscape in Nepal and the GWP GEF-7 tourism project in Bhutan. To present opportunities for replication in other countries, the project will codify good practices and facilitate dissemination through global ongoing South-South and global platforms, such as SAWEN, the UN South-South Galaxy knowledge sharing platform and PANORAMA.

### **Gender Equality and Women's Empowerment**

136. The MoEFCC, UNDP and WWF are committed to mainstreaming gender equality and women's empowerment as well as social inclusion, to ensure that women and men have equal access to, and control over, resources for development, benefits, and decision-making at all stages of development processes, projects, programs or policies. The gender analysis and stakeholder consultations were carried out during project formulation in order to develop and implement a gender mainstreaming action plan (see **Annex 8**).

137. The objective of the gender analysis and gender mainstreaming action plan is to provide a framework for the project implementation team to ensure that women and men will be equally involved in the project and receive equitable social and economic benefits. Its overall strategy is to ensure the equal participation and benefits of women during project implementation, with the support of the gender specialists and assigned gender focal points, and the collection of detailed sex-disaggregated data on project beneficiaries and participants.

138. Gender mainstreaming involves examining the relationships of men and women in the context of the project - both as actors in the process and as beneficiaries. The gender analysis involved analyzing how social and cultural norms impact the lives of both men and women differently by assigning different job roles, putting differential values on different jobs which translate into wage rates. The gender analysis also explored the structural and cultural barriers that women and men face to challenge gender roles; and tools that can help to break these norms to benefit all target groups.

139. The gender analysis and mainstreaming action plan was developed in accordance with the UNDP Gender Equality Strategy 2018-2021, UNDP Social and Environmental Standards (2014)<sup>89,90</sup>, the UNDP Guidance Note on Gender Analysis<sup>91</sup>, GEF 2020 Strategy, GEF Policy on Gender Mainstreaming (2012), the GEF's Gender Equality Action Plan (2014), GEF policy on Environmental and Social Safeguards (2015), GEF Policy on Public Involvement in GEF Projects (2012) and Guidelines for the Implementation of the Public Involvement Policy (2014).

140. Some of the key objectives of the gender analysis for this project were to:

- Understand gender divisions of labor, differential access to natural resources and other basic services to assess the impacts of human-wildlife conflict on women's workload, livelihood, and well-being;

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<sup>89</sup> Dated 24 April 2020

<sup>90</sup> UNDP Social and Environmental Standards (SES), June 2014.

<sup>91</sup> UNDP, How to Conduct a Gender Analysis, A Guidance Note for UNDP Staff, 2016

- Assess key livelihood activities and the differential roles of men and women in livelihood activities to understand the impacts of the project;
- Explore traditional knowledge, attitudes and practices of local communities regarding biodiversity conservation and small wild cat population and habitat management;
- Understand social and cultural norms related to small wild cats, women's role in hunting / care of the wild cats and other animals.

141. The gender analysis and action plan were prepared by drawing lessons from primary and secondary assessment, including review of literature regarding women's roles in wildlife management, the status of women in the landscape areas, and existing gender-specific challenges to build location-specific understanding and to inform the preparation of Focus Group Discussion (FGD) questionnaires. FGDs and semi-structured interviews were held with women and men, different government department staff, Civil Society Organizations and activists working in the landscapes to understand gender divisions of labor, gender-specific challenges, gender differential needs, and the different roles that men and women play in relation to the conservation of wild cats. Visits were made to each of the three project landscapes (Dudhwa, Ranthambhore and Pakke-Eaglenest) during the period from mid-July through September 2019 in order to conduct the stakeholder consultations and review the situation on the ground (see **Annex 17** for details of the stakeholder meetings held).

142. The Gender Action Plan includes the following main strategies:

- *Institutional Capacity for Gender Integration:* Gender sensitization of the implementation team is crucial for integrating gender in the project. A gender integration module should be prepared and all the implementation team should be trained. An online gender module can be created and made compulsory for the implementing team, monitoring and evaluation team.
- *Gender Responsive Monitoring and Evaluation:* Monitoring and review process are significant in achieving set objectives. Allocating gender specific indicators against project outputs and outcomes and making the team accountable to report on all the indicators will help to integrate gender concerns in the project. In addition, targeted indicators that reflect progress in the empowerment of women are required beyond simple gender disaggregation of indicator targets.
- *Gender-Disaggregated Data Collection:* Sex-disaggregated data is first step in integrating gender concerns in the system as it helps to understand the existing participation level of women on various trainings and processes. Suggested improvements include the addition of indicators such as the numbers of the female headed households engaged in the project, numbers of female and male participants in the training Programme. Gender assessment of the training programmes and collected MIS data of training participants should be conducted regularly to understand factors affecting women's participation in the training programmes. Hence, integrating gender concerns in the monitoring and review indicators and process will be key to encourage women's participation in the designing, planning and implementation of the project activities.
- *Gender-Responsive Annual Workplans and Budget:* During project implementation, as an integral part of the team that develops the annual workplans, the gender expert will lead on the provision of inputs to ensure that annual workplans are gender-responsive to ensure proper implementation of this gender action plan. Specific annual budget allocation and annual gender budget reporting should be ensured for the achievement of gender goals. Further, communication and documentation process should be gender sensitized to capture gender stories highlighting achievement of both gender strategic and practical needs. The budget aims to support the inputs of a gender specialist on the project team to implement the gender action plan and provide related training for staff etc, produce communications materials and lead the gender-related M&E reporting, inter alia.

143. The Gender Action Plan sets out specific activities designed to ensure the mainstreaming of gender into project Outputs and activities, including the following key actions to maximize equal participation in and benefits from the project:

- The project will recruit gender experts to advise and support implementation of the gender action plan
- Appointment of one staff for each project landscape to act as gender focal point to record, collect and report implementation of this gender mainstreaming and action plan

- Appointment of one staff for each project landscape to act as gender focal point to record, collect and report implementation of this gender mainstreaming and action plan
- Active participation of women and youth in habitat management and rehabilitation interventions, monitoring, and sharing of lessons learned
- Sensitization of forest staff on gender and social concerns of local communities for improving their engagement (organizing one gender and FPIC training in each landscape area)
- Establishment and strengthening of women-based and women-led community institutions (e.g. SHGs) and ensuring their participation in decision-making roles in other community based institutions such as EDCs, BMCs to support community-based conservation approaches - community mobilisation, establishment of relevant local committees, preparation of community conservation plans in participatory manner
- Women are also most involved in domestic chores such as collection of firewood, fodder and water, and primarily responsible for cooking, therefore they should play a prominent role in consultations regarding such interventions (e.g. review of LPG subsidies, water supply, alternative energy and energy-efficient stoves, etc.)
- Inclusion of gender and social concerns in awareness raising plans for each landscape
- Provision of training on improved agricultural and livestock management practices for women farmers
- Promotion of handicraft-making, ecotourism and other livelihoods with womens' groups including technical assistance such as provision of training inputs and materials, and linkages for market access, etc.
- Prioritised engagement of women in developing HWC responses through the SAFE workshops are of great significance (e.g. electrification of villages, provision of solar lights, electric fencing, etc.)
- Sharing of gender sensitive best practices for knowledge management purposes.

144. Gender disaggregated indicators and targets are provided in the project Results Framework (Section IV), including Indicator 1 (number of direct beneficiaries), Indicator 13: Improved understanding of values of wild cats and more positive attitudes towards small wild cat conservation among target audiences, as measured by KAP, and Indicator 15: No. project best practices developed, disseminated and used, targeting:...gender mainstreaming and traditional knowledge relevant to wild cats/habitats.

### **Innovation, Sustainability and Potential for Scaling Up**

145. *Innovation:* Project strategies are based on a novel approach of multi-stakeholder engagement and stewardship that have not been adequately applied before in India for integrated conservation of wild cats at the landscape scale. The project will engage communities in wild cat conservation by empowering community-level institutions in co-management and by creating economic incentives for the adoption of wild cat-friendly land and habitat management techniques in the forest and agricultural mosaic surrounding key PAs and corridors; apply a holistic landscape approach that considers the interconnectedness of landscape elements and actors and integrates conservation actions for individual species into a coordinated action portfolio; and engage stakeholders from different administrative units (e.g. States, districts, individual PAs), institutional mandates (e.g. Departments of Forest, Agriculture, Land Resources) and functions (e.g. government, non-government, civil society, community) in wild cat conservation. While India has strongly managed protected areas, the effective management of buffer zone and corridor areas under multiple jurisdictions through a coordinated landscape planning approach will be a new contribution, with particular value in demonstrating how the Eco-Sensitive Zones can be managed in practice.

146. *Sustainability:* long-term ownership over project outputs will be achieved by institutionalizing project plans and approaches (e.g. landscape master plans, database and App on small wild cats, SOPs, guidelines) within national (Wildlife Division and NTCA, under MoEFCC), State, district and local-level institutions, mainstreaming wild cat conservation into the policies and plans of other sectors; integrating project outputs within existing government programs (e.g. conservation plans for tiger reserves and management plans for other PAs) and by creating the economic incentives and public-private partnerships needed to sustain community participation beyond project close. It will demonstrate sustainable land management practices

and habitat restoration as part of the management of Eco-Sensitive Zones that are currently being introduced as buffer areas for all PAs.

147. Capacity building will be conducted at several levels, including forest frontline staff and stakeholders working and operating in the landscape. The training process will involve regular forest guard schools and departmental training institutes. There is ongoing engagement of the GTF, WWF and the Government of India which is focusing on refinement of the frontline staff training curriculum regionally and nationally, incorporating inputs and workshops from training institutes across the country. Sharing of knowledge emanating from the current project and the associated training design will utilize such common platforms for engagement, and the modules will be designed for implementation across the landscape and also for covering thematic areas such as monitoring protocols, SOPs and recovery actions nationally. The focus on staff development is a key theme in the government's tiger conservation plans, and will also be incorporated in the landscape master plans to be monitored through a "coordination committee" in each state and thus, its implementation across agencies will ensure its sustainability.

148. To roll out protocol and SOP implementation and regular species and habitat monitoring, it will be important to ensure that the site-specific efforts are also integrated into the country level monitoring efforts for species like tiger and leopard. India will be soon implementing the next cycle of *All India Tiger Estimation* across all tiger bearing habitats, and the project management will work with the NTCA and states to ensure that all small wild cat camera captures are stored in a repository. This will be in addition to following the monitoring protocols designed for specific small wild cat monitoring activities led by trained tiger reserve and forest department staff, along with communities and other relevant landscape stakeholders.

149. For the local communities, existing structures such as the Eco Development Committees and tiger reserve specific Tiger Conservation Foundations (TCFs) will be engaged for refresher courses evolved around the project themes, with a special focus on community-led monitoring for small wild cats. Scaling up successful capacity building efforts can be done through existing partnerships with state agencies, and forging integration of activities in departmental schemes and goals (as described with NAFED earlier, involvement of animal husbandry, horticulture, skill development department, etc).

150. *Scaling up:* The project approaches are strongly aligned with the National Wildlife Action Plan as well as other plans and institutional priorities (e.g. NTCA) and therefore have high potential for up-scaling to other wild cat landscapes in India, as well as neighbouring countries and wild cat range States. The inclusion of the Global Tiger Forum as a sub-level Responsible Party for implementation of this project opens considerable opportunity for mainstreaming small cat conservation into international programmes in support of tiger conservation. The project's efforts to ensure effective knowledge management and transfer will identify and disseminate best practices and lessons learned across India, neighbouring countries and the Global Wildlife Program to facilitate this scaling up, as well outputs to strengthen bilateral partnerships and coordinated action with neighbouring countries.

## IV. PROJECT RESULTS FRAMEWORK

| This project will contribute to the following Sustainable Development Goal(s) <sup>92</sup> : 1, 5, 11, 13, 14, 15  |   |   |  |  |
|---|---|---|--|--|
| This project will contribute to the following country outcome (UNDAF/CPD, RPD, GPD): UNDP CPD Outcome: Energy, environment and resilience; Output 3.2: Effective solutions developed at national and subnational levels for sustainable management of natural resources and ecosystems, ozone depleting substances, chemicals and wastes. |   |   |  |  |
|   | Objective and Outcome Indicators  | Baseline  | Mid-term Target  | End of Project Target  |
| <b>Project Objective:</b><br><i>Secure populations and habitats of wild cats subject to habitat encroachment, human-wildlife conflict, poaching and illegal trade in priority landscapes of northern, north-eastern and western India</i>   | <b>Mandatory Indicator 1:</b> (GEF Core Indicator #11): # direct project beneficiaries disaggregated by gender (individual people)  | 0   | 3,200 (1,860 women, 1,340 men)   | 6,300 (3,690 women, 2,610 men)   |
|   | <b>Mandatory Indicator 2:</b> (GEF Core Indicator #1): Terrestrial PAs under improved management for conservation and sustainable use (Hectares) as measured by METT ( <b>Annex 10</b> ):<br>A. Dudhwa Tiger Reserve* (220,177 ha)<br>B. Pakke Tiger Reserve* (137,695 ha)<br>C. Eaglenest Wildlife Sanctuary* (21,700 ha)<br>D. Sessa Orchid Sanctuary (10,000 ha)<br>*Includes Core and Buffer Areas, but not ESZ | Area: 0<br>Baseline METT Scores:<br>A - 41<br>B - 52<br>C - 54<br>D - 55  | Area: 389,572 ha<br>Mid-term METT Scores for:<br>A - 57<br>B - 63<br>C - 64<br>D - 67  | Area: 389,572 ha<br>Completion METT Scores for:<br>A - 81<br>B - 81<br>C - 81<br>D - 82  |
|   | <b>Mandatory Indicator 3:</b> (GEF Core Indicator #4): Area of landscapes under improved practices (excluding PAs) (Hectares)   | 0   | 40,000 ha under improved practices, including: sustainable grazing management; improved arable cropping practices (e.g. reduced chemical use); community-based natural resource management; etc. | 100,000 ha under improved practices, including: sustainable grazing management; improved arable cropping practices (e.g. reduced chemical use); community-based natural resource management; etc.                          |
| <b>Project Component 1:</b>   | <b>Enabling policy, planning and institutional framework for wild cat conservation</b>  |   |  |  |
| <b>Project Outcome 1:</b><br><i>Strengthened policy, planning and institutional framework for wild cat conservation in accordance with the National Wildlife Action Plan 2017-2031</i>  | <b>Indicator 4:</b> Landscape conservation master plans developed for globally-significant wild cat landscapes and institutionalized into government plans and programs with implementation supported by multi-sector partnerships  | Conservation efforts focus on PAs and dispersed efforts led by diverse parties outside the PAs, with no overall vision or coordination at landscape level | Landscape conservation master plans developed for Dudhwa and Pakke-Eaglenest Landscapes and multi-sector partnerships defined for their implementation   | Landscape conservation master plans for Dudhwa and Pakke-Eaglenest Landscapes adopted by the respective State Governments and implementation supported by State Government plans and budgets and multi-sector partnerships |
|   | <b>Indicator 5:</b> Tiger Conservation Plans within project landscapes incorporate specific requirements for small wild cat conservation based on project landscape master plans, Species Recovery Action Plans, SoPs and site specific guidelines  | Tiger Conservation Plans do not include measures for small wild cats  | One set of recommendations for each project landscape delivered to NTCA for uptake in Tiger Conservation Plans, based on project landscape Master  | Tiger Conservation Plans within project landscapes revised to incorporate specific requirements for small wild cat conservation, and   |

<sup>92</sup> The project will primarily target terrestrial biodiversity conservation (Goal 15 – Life on Land), but also contribute towards other Goals, including: 1 (No Poverty), 5 (Gender Equality), 13 (Climate Action), 11 (Sustainable Cities and Communities), 14 (Life Below Water) and 16 (Peace, Justice and Strong Institutions).



|   |   |   |  |  |
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|   |   |   | Plans, Species Recovery Action Plans, SoPs and site specific guidelines  | recommendations for upscaling endorsed by NTCA   |
|   | <b>Indicator 6:</b> Improved institutional capacity of relevant government agencies for landscape conservation incorporating wild cat needs, as measured by Capacity Development Scorecard ( <b>Annex 19</b> ), for MoEFCC, Uttar Pradesh and Arunachal Pradesh State, District and Municipal Agencies including Forestry, Agriculture, Horticulture, Animal Husbandry, Medicinal Plants, Tourism, etc).  | Baseline Score: 34.2  | Mid-term Score: 39   | Completion Score: 48   |
| <b>Outputs to achieve Outcome 1</b>   | <p>1.1 Landscape-level master plans for wild cat conservation developed and institutionalized into government plans and programs</p> <p>1.2 National level Species Recovery Action Plans developed and implemented for Caracal, Fishing Cat and Clouded Leopard informed by a national database and atlas on wild cats</p> <p>1.3 Protocol and Standard Operating Procedures (SOPs) for small cat and leopard conservation developed and institutionalized in State and District Forest Departments</p> <p>1.4 Site-specific guidelines on small cat (plus leopard) conservation integrated into revised big cat conservation strategies and management plans of tiger reserves and other PAs</p> <p>1.5 Capacity of State and District agencies increased for mainstreaming wild cat conservation in landscape management.</p> |   |  |  |
| <b>Project Component 2</b>  | <b>Strengthened management and protection of wild cat landscapes</b>  |   |  |  |
| <b>Outcome 2:</b><br><i>Improved protection and management of wild cats and habitats in target PAs, corridors and buffer zones in wild cat landscapes</i> | <p><b>Indicator 7:</b> Targeted reduction in threats to wild cat populations and their habitats, as measured by patrol reporting/MSTRIPES:</p> <p>A. Dudhwa Landscape</p> <ul style="list-style-type: none"> <li>- i)# HWC incidences reported/year</li> <li>- ii)# poaching/IWT cases reported/year</li> <li>-iii) # wildlife killed on monitored road stretches/year</li> </ul> <p>B. Pakke-Eaglenest Landscape</p> <ul style="list-style-type: none"> <li>- i)# HWC incidences reported/year</li> <li>-ii) # poaching/IWT cases reported/year</li> <li>- iii)# wildlife killed on monitored road stretches/year</li> </ul> <p>[Annual targets for WWF]</p>   | <p>Baseline levels of threats reported:</p> <p>A. Dudhwa Landscape</p> <p>To be determined using systematic reporting procedures in Year 1</p> <p>B.Pakke-Eaglenest Landscape</p> <p>To be determined using systematic reporting procedures in Year 1</p> | <p>Mid-term target levels of threats reported:</p> <p>A. Dudhwa Landscape</p> <p>All: Improved rate of reporting over baseline;</p> <p>i)Increased No. reports of HWC incidents due to improved reporting</p> <p>ii)Increased No. reports of poaching/IWT cases due to improved reporting</p> <p>iii) No increase over baseline</p> <p>B.Pakke-Eaglenest Landscape</p> <p>All: Improved rate of reporting over baseline;</p> <p>i)Increased No. reports of HWC incidents due to improved reporting</p> | <p>Completion target levels of threats reported:</p> <p>A. Dudhwa Landscape</p> <p>i)increased No. reports of HWC incidents due to improved reporting;</p> <p>ii)50% reduction over baseline</p> <p>iii)20% reduction over baseline</p> <p>B.Pakke-Eaglenest Landscape</p> <p>i)increased No. reports of HWC incidents due to improved reporting;</p> <p>ii)50% reduction over baseline</p> <p>iii)20% reduction over baseline</p> |

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|   |   |  | ii) Increased No. reports of poaching/IWT cases due to improved reporting<br>iii) No increase over baseline  |  |
|   | <p><b>Indicator 8:</b> Increased wild cat occupancy status in landscape areas targeted for improved habitat management, as measured by camera trap grid and scat collection data</p> <p>A. Dudhwa Landscape: Fishing cat occupancy in targeted area of grassland and wetland habitats</p> <p>B. Pakke-Eaglenest: Wild cat species occupancy of targeted corridor and degraded forest habitats</p> | Baselines to be determined through monitoring surveys when targeted areas for habitat management are defined, and detailed monitoring methods established through expert review  | <p>A. Dudhwa Landscape: Fishing cat occupancy determined for the targeted area – at least stable over baseline</p> <p>B. Pakke-Eaglenest: Wild cat species occupancy determined for targeted corridor and degraded forest habitats – at least stable over baseline</p> | <p>A. Dudhwa Landscape: Fishing cat occupancy for the targeted area shows a stable population trend.</p> <p>B. Pakke-Eaglenest: Wild cat species occupancy for targeted corridor and degraded forest habitats shows a stable and/or increasing trend over baseline</p> |
|   | <p><b>Indicator 9:</b> Improved frontline capacity for conservation and management of small wild cats and their habitats, as measured by Small Cats Capacity Development Scorecard (see <b>Annex 18</b> for Scorecard baselines) for:</p> <p>A. Dudhwa Landscape</p> <p>B. Pakke-Eaglenest Landscape</p>  | <p>Baseline frontline capacity scores for:</p> <p>A. 25%</p> <p>B. 44%</p>   | <p>Mid-term frontline capacity scores for:</p> <p>A. 50%</p> <p>B. 60%</p>   | <p>Completion frontline capacity scores for:</p> <p>A. 77%</p> <p>B. 85%</p>   |
| <b>Outputs to achieve Outcome 2</b>   | <p>2.1 Targeted interventions to improve wild cat habitat management demonstrated at project landscapes</p> <p>2.2 Frontline staff capacitated and equipped to conduct monitoring, surveillance and enforcement</p>   |  |  |  |
| <b>Project Component 3</b>  | <b>Community stewardship and human-wildlife coexistence in wild cat landscapes</b>  |  |  |  |
| <b>Outcome 3:</b><br><i>Enhanced community-based management of wild cats and habitats, with threat reduction including HWC and improved local livelihoods</i> | <p><b>Indicator 10:</b> No. of villages actively monitoring small wild cat species and their habitats in support of community-based conservation efforts</p> <p>A. Dudhwa Landscape</p> <p>B. Pakke-Eaglenest Landscape</p>   | <p>Baseline to be established at targeted localities in Year 1</p> <p>A. No current monitoring of small cats by communities</p> <p>B. No current monitoring of small cats by communities, although Singchung Bugun conducting community conservation efforts</p> | <p>A. At least 5 villages</p> <p>B. At least 5 villages</p> <p>All with at least 30% participation of women</p>  | <p>A. At least 10 villages</p> <p>B. At least 10 villages</p> <p>All with at least 30% participation of women</p>  |
|   | <p><b>Indicator 11:</b> Targeted percentage reduction of locally-specific threats related to community-based management of natural resources:</p> <p>A. Dudhwa Landscape</p> <p>i) Percentage reduction in quantity of firewood collected by targeted communities</p>   | <p>A</p> <p>Baseline to be established at targeted localities in Year 1</p>  | <p>A.</p> <p>i) 10% reduction over baseline</p>  | <p>A.</p> <p>i) 20% reduction over baseline</p>  |

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|  | <p>ii) Percentage of free-ranging dogs neutered at targeted sites</p> <p>iii) Percentage reduction in density of free-ranging livestock at targeted sites</p> <p>B. Pakke-Eaglenest Landscape</p> <p>i) Percentage reduction in annual deforestation rate in targeted areas</p> <p>ii) Percentage reduction in number of illegal hunting incidents reported at targeted sites</p>  | <p>i) quantity of firewood collected by targeted communities</p> <p>ii) Percentage of free-ranging dogs that are neutered</p> <p>iii) density of free-ranging livestock</p> <p>B</p> <p>i) 1.2%/annum in Papum RF (Pakke Buffer Area, 2013-17); and &gt;4% in lower elevation accessible areas (2011-19) (see METT threats table, Annex 10)</p> <p>ii) Baseline to be established at targeted localities in Year 1</p> | <p>ii) 50% of free-ranging dogs</p> <p>iii) 25% reduction in livestock density over baseline</p> <p>B.</p> <p>i) 20% reduction in annual deforestation rate</p> <p>ii) As baseline (allowing for increased reporting rate)</p> | <p>ii) 80% of free-ranging dogs</p> <p>iii) 50% reduction in livestock density over baseline</p> <p>B.</p> <p>i) 50% reduction of annual deforestation rate</p> <p>ii) 50% reduction over baseline</p> |
|  | <p><b>Indicator 12:</b> Percentage reduction in annual incidence of HWC impacting crops, livestock and people in four targeted communities</p>   | <p>Baseline HWC statistics to be collected for targeted communities starting in Year 1. Significant efforts by government and NGOs to combat HWC in project landscapes; yet HWC problems remain locally severe; absence of systematic strategy that considers wildlife corridors, habitat connectivity, land use and livelihood options.</p>   | <p>At least 20% reduction in annual HWC incidence over baseline in four targeted communities</p>   | <p>At least 60% reduction in annual HWC incidence over baseline in four targeted communities</p>   |
| <p><b>Outputs to achieve Outcome 3</b></p> | <p>3.1 Capacity developed for community-based management of wild cats and habitats</p> <p>3.2 Awareness-raising and education programmes conducted for local communities on wild cat conservation and habitat management including documentation of related traditional knowledge</p> <p>3.3 Participatory community monitoring of wild cat populations and HWC operationalized through village-level institutions</p> <p>3.4 Local livelihood options diversified to encourage reduced pressures on wild cat habitats</p> <p>3.5 Targeted interventions in HWC hotspots to implement mechanisms for the prevention and management of HWC adjacent to PAs and corridors.</p> |  |  |  |

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|--|--|---|--|---|
| <b>Project Component 4</b>   | <b>Partnerships, knowledge management and M&amp;E</b>  |   |  |   |
| <b>Outcome 4:</b><br><i>Effective partnerships, communications, knowledge management and M&amp;E for wild cat conservation</i> | <b>Indicator 13:</b> National-level green business platform operationalized with Terms of Reference, broad membership and investment through partnership in project-related activities   | Indian Wildlife Business Council no longer operational despite GTI, WB and Confederation of Indian Industry (CII) establishing it in 2012 | National-level green business platform established with Terms of Reference and membership including at least 10 corporate bodies | National-level green business platform operational with TOR, membership of at least 20 corporate bodies, and actively investing through at least one partnership activity in each project landscape |
|  | <b>Indicator 14:</b> Improved understanding of values of wild cats and more positive attitudes towards small wild cat conservation among target audiences including national and state government line agencies (Forest and Wildlife, Environment, Agriculture, Revenue, Rural Development, etc) and concerned NGOs, as measured by KAP (Knowledge, Attitudes and Practices) score. See <b>Annex 21</b> for KAP assessment methodology   | KAP baseline scores (to be conducted in Year 1)   | KAP midterm targets (to be determined in Y1)   | KAP completion targets (to be determined in Y1)   |
|  | <b>Indicator 15:</b> Annual number of PAs and institutions sharing knowledge on small wild cat conservation through regional knowledge platform  | No regional platform for sharing of knowledge on small wild cat conservation  | Platform established and operational - 10/year   | 20/year   |
|  | <b>Indicator 16:</b> Number of project best practices developed, disseminated and used, targeting: integrated management of ESZs to benefit wildlife, management of targeted Wasteland habitats, small wild cat – friendly agriculture practices, HWC management in targeted communities, community-based monitoring of small wild cats, gender mainstreaming and traditional knowledge relevant to wild cats / habitats.  | 0   | 3  | 6   |
|  | <b>Indicator 17:</b> Number of annual reflection meetings to review M&E and other data for adaptive management, linked to preparation of progress reports and development of annual workplans  | 0   | 3  | 6   |
| <b>Outputs to achieve Outcome 4</b>  | 4.1 National-level Green Business platform developed for enhancing corporate sector engagement for community-based wildlife conservation<br>4.2 Awareness raised for wild cat conservation at national and sub-national levels through communications strategy and action plan implementation<br>4.3. Transboundary joint action and collaboration on wild cat conservation<br>4.4 Knowledge management coordinated between landscapes, across India, neighbouring countries and with the Global Wildlife Program, including sharing of best practices and lessons learned<br>4.5 M&E system incorporating gender mainstreaming and safeguards developed and implemented for adaptive project management |   |  |   |

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## V. MONITORING AND EVALUATION (M&E) PLAN

*[The text in this section follows UNDP-GEF rules and will be included in the Project Document signed by the Government of India and UNDP for Components 1 and 4. Separate text on WWF process will be included in the Grant Agreement signed by WWF and government for Components 2 and 3]*

151. The project results, corresponding indicators and mid-term and end-of-project targets in the project results framework will be monitored annually and evaluated periodically during project implementation. If baseline data for some of the results indicators is not yet available, it will be collected during the first year of project implementation. The Monitoring Plan included in **Annex 3** details the roles, responsibilities and frequency of monitoring project results.
152. Project-level monitoring and evaluation will be undertaken in compliance with UNDP requirements as outlined in the and [UNDP Evaluation Policy](#). The UNDP Country Office is responsible for ensuring full compliance with all UNDP project monitoring, quality assurance, risk management, and evaluation requirements.
153. Additional mandatory GEF-specific M&E requirements will be undertaken in accordance with the [GEF Monitoring Policy](#) and the [GEF Evaluation Policy](#) and other [relevant GEF policies](#)<sup>93</sup>. The costed M&E plan included below, and the Monitoring plan in Annex, will guide the GEF-specific M&E activities to be undertaken by this project.
154. In addition to these mandatory UNDP and GEF M&E requirements, other M&E activities deemed necessary to support project-level adaptive management will be agreed during the Project Inception Workshop and will be detailed in the Inception Report.

### **Additional GEF monitoring and reporting requirements:**

#### Inception Workshop and Report:

155. A project inception workshop will be held within 60 days of project CEO endorsement, with the aim to:
- Familiarize key stakeholders with the detailed project strategy and discuss any changes that may have taken place in the overall context since the project idea was initially conceptualized that may influence its strategy and implementation.
  - Discuss the roles and responsibilities of the project team, including reporting lines, stakeholder engagement strategies and conflict resolution mechanisms.
  - Review the results framework and monitoring plan.
  - Discuss reporting, monitoring and evaluation roles and responsibilities and finalize the M&E budget; identify national/regional institutes to be involved in project-level M&E; discuss the role of the GEF OFP and other stakeholders in project-level M&E.
  - Update and review responsibilities for monitoring project strategies, including the risk log; SESP report, Social and Environmental Management Framework and other safeguard requirements; project grievance mechanisms; gender strategy; knowledge management strategy, and other relevant management strategies.
  - Review financial reporting procedures and budget monitoring and other mandatory requirements and agree on the arrangements for the annual audit.
  - Plan and schedule Project Board meetings and finalize the first-year annual work plan.
  - Formally launch the Project.

#### GEF Project Implementation Report (PIR):

156. The annual GEF PIR covering the reporting period July (previous year) to June (current year) will be completed for each year of project implementation. A PIR will be completed for the full project, led by UNDP as

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<sup>93</sup> See [https://www.thegef.org/gef/policies\\_guidelines](https://www.thegef.org/gef/policies_guidelines)

GEF Agency seeking WWF inputs as joint GEF Agency. Any environmental and social risks and related management plans will be monitored regularly, and progress will be reported in the PIR. The PIR submitted to the GEF will be shared with the Project Board. The quality rating of the previous year's PIR will be used to inform the preparation of the subsequent PIR.

GEF Core Indicators:

157. The GEF Core indicators included as **Annex 12** will be used to monitor global environmental benefits and will be updated for reporting to the GEF prior to MTR and TE. Note that the project team is responsible for updating the indicator status. The updated monitoring data should be shared with MTR/TE consultants prior to required evaluation missions, so these can be used for subsequent ground-truthing. The methodologies to be used in data collection have been defined by the GEF and are available on the GEF [website](#). The required Protected Area Management Effectiveness Tracking Tool (METTs) have been prepared (**Annex 10**) and the scores included in the relevant GEF Core Indicators.

Independent Mid-term Review (MTR):

158. The terms of reference, the review process and the final MTR report will follow the standard templates and guidance for GEF-financed projects available on the [UNDP Evaluation Resource Center \(ERC\)](#). UNDP will lead on this for both GEF Agencies.

159. The evaluation will be 'independent, impartial and rigorous'. The evaluators that will be hired by UNDP evaluation specialists to undertake the assignment will be independent from organizations that were involved in designing, executing or advising on the project to be evaluated. Equally, the evaluators should not be in a position where there may be the possibility of future contracts regarding the project under review.

160. The GEF Operational Focal Point and other stakeholders will be actively involved and consulted during the evaluation process. Additional quality assurance support is available from the BPPS-GEF Directorate.

161. The final MTR report and MTR TOR will be publicly available in English and will be posted on the UNDP ERC by 8 June 2024. A management response to MTR recommendations will be posted in the ERC within six weeks of the MTR report's completion.

Terminal Evaluation (TE):

162. An independent terminal evaluation (TE) will take place upon completion of all major project outputs and activities. The terms of reference, the evaluation process and the final TE report will follow the standard templates and guidance for GEF-financed projects available on the [UNDP Evaluation Resource Center](#). UNDP will lead on this for both GEF Agencies.

163. The evaluation will be 'independent, impartial and rigorous'. The evaluators that will be hired by UNDP evaluation specialists to undertake the assignment will be independent from organizations that were involved in designing, executing or advising on the project to be evaluated. Equally, the evaluators should not be in a position where there may be the possibility of future contracts regarding the project being evaluated.

164. The GEF Operational Focal Point and other stakeholders will be actively involved and consulted during the terminal evaluation process. Additional quality assurance support is available from the BPPS-GEF Directorate.

165. The final TE report and TE TOR will be publicly available in English and posted on the UNDP ERC by 8 April 2027. A management response to the TE recommendations will be posted to the ERC within six weeks of the TE report's completion.

Final Report:

166. The project's terminal GEF PIR along with the terminal evaluation (TE) report and corresponding management response will serve as the final project report package. The final project report package shall be

discussed with the Project Board during an end-of-project review meeting to discuss lesson learned and opportunities for scaling up.

**Agreement on intellectual property rights and use of logo on the project’s deliverables and disclosure of information:**  
167. To accord proper acknowledgement to the GEF for providing grant funding, the GEF logo will appear together with the UNDP logo on all promotional materials, other written materials like publications developed by the project, and project hardware. Any citation on publications regarding projects funded by the GEF will also accord proper acknowledgement to the GEF. Information will be disclosed in accordance with relevant policies notably the UNDP Disclosure Policy<sup>94</sup> and the GEF policy on public involvement<sup>95</sup>.

**Table 8. Monitoring and Evaluation Plan and Budget**

| GEF M&E requirements   | Responsible Parties   | Indicative costs (US\$)<br><i>All in Component 4 unless indicated</i>    | Time frame   |
|--|---|--|--|
| <b>Inception Workshops - 3 (1 national and 2 at state level)</b>                     | Implementing Partner<br>Project Manager   | 20,000<br>(10,000 National;<br>10,000 States)                            | Within 60 days of CEO endorsement of this project.   |
| <b>Inception Report</b>  | Project Manager   | None   | Within 90 days of CEO endorsement of this project.   |
| <b>Reflections Meetings to review M&amp;E and other data for adaptive management</b> | Project Manager   | None   | Annually in preparation for the progress reports and development of the annual workplans                     |
| <b>Monitoring of indicators in project results framework</b>                         | Project Manager   | 18,000<br>(6,000 x 3 years)  | Annually prior to GEF PIR excluding MTR and TE years (covered below). This will include GEF core indicators. |
| <b>GEF Project Implementation Report (PIR)<sup>96</sup></b>                          | UNDP RTA<br>UNDP Country Office<br>WWF<br>Project Manager                       | None   | Annually typically between June-August   |
| <b>Monitoring all risks (Atlas risk register)</b>                                    | UNDP Country Office<br>WWF<br>Project Manager                                   | 10,000<br>(2,000 x 5 years)  | On-going.  |
| <b>Monitoring of safeguards according to UNDP SESP and WWF IPPF/PF</b>               | M&E and Safeguards Analyst<br>Landscape M&E and Safeguards/IP Specialist<br>WWF | 50,000<br>(10,000 National;<br><i>40,000 Landscapes in Component 3</i> ) | On-going.  |
| <b>Supervision missions<sup>97</sup></b>   | UNDP Country Office   | None   | Annually   |

<sup>94</sup> See [http://www.undp.org/content/undp/en/home/operations/transparency/information\\_disclosurepolicy/](http://www.undp.org/content/undp/en/home/operations/transparency/information_disclosurepolicy/)

<sup>95</sup> See [https://www.thegef.org/gef/policies\\_guidelines](https://www.thegef.org/gef/policies_guidelines)

<sup>96</sup> The costs of UNDP CO and UNDP-GEF Unit’s participation and time are charged to the GEF Agency Fee.

<sup>97</sup> The costs of UNDP CO and UNDP-GEF Unit’s participation and time are charged to the GEF Agency Fee.

| GEF M&E requirements                         | Responsible Parties     | Indicative costs (US\$)<br><i>All in Component 4 unless indicated</i> | Time frame                                     |
|--|-------------------------|---|--|
|  | WWF                     |   |  |
| <b>Oversight missions<sup>98</sup></b>       | RTA and BPPS-GEF<br>WWF | None  | Troubleshooting as needed                      |
| <b>Mid-term GEF Core indicators and METT</b> | Project Manager         | 10,000  | Before mid-term review mission takes place.    |
| <b>Independent Mid-term Review (MTR)</b>     | Independent evaluators  | 56,500  | 8 June 2024                                    |
| <b>Terminal GEF Core indicators and METT</b> | Project Manager         | 10,000  | Before terminal evaluation mission takes place |
| <b>Independent Terminal Evaluation (TE)</b>  | Independent evaluators  | 46,500  | 8 April 2027                                   |
| <b>TOTAL indicative COST</b>                 |                         | <b>221,000</b><br><i>= 4.91% of GEF Grant</i>                         |  |

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## VI. GOVERNANCE AND MANAGEMENT ARRANGEMENTS

### Roles and responsibilities of the project's governance mechanism:

The project will be implemented following UNDP's national implementation modality, and for WWF the GEF project funds will be executed through government and partners (no self-execution is sought).

Implementing Partner: The Implementing Partner for this project is the Ministry of Environment, Forests and Climate Change.

168. **For UNDP:** The Implementing Partner is the entity to which the UNDP Administrator has entrusted the implementation of UNDP assistance specified in this signed project document along with the assumption of full responsibility and accountability for the effective use of UNDP resources and the delivery of outputs, as set forth in this document.

169. The Implementing Partner is responsible for executing this project. Specific tasks include:

- Project planning, coordination, management, monitoring, evaluation and reporting. This includes providing all required information and data necessary for timely, comprehensive and evidence-based project reporting, including results and financial data, as necessary. The Implementing Partner will strive to ensure project-level M&E is undertaken by national institutes and is aligned with national systems so that the data used and generated by the project supports national systems.
- Risk management as outlined in this Project Document;
- Procurement of goods and services, including human resources;
- Financial management, including overseeing financial expenditures against project budgets;
- Approving and signing the multiyear workplan;
- Approving and signing the combined delivery report at the end of the year; and,
- Signing the financial report or the funding authorization and certificate of expenditures.

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<sup>98</sup> The costs of UNDP CO and UNDP-GEF Unit's participation and time are charged to the GEF Agency Fee.



### Responsible Parties:

170. The Global Tiger Forum (GTF) will play the following roles during project implementation, defined respectively for UNDP and WWF as follows:
171. **For UNDP:** The Global Tiger Forum will be a sub-level responsible party under this project, acting in accordance with the IP's rules and regulations, through agreement with MoEFCC. GTF will serve as the lead technical partner for implementation of Components 1 and 4 of the project, operating the National Project Management Unit and implementing work packages that will include direct inputs to Outputs 1.1 (developing landscape master plans), 1.2 (national level species recovery action plans), 1.3 (protocols and SOPs for wild cat management), 4.1 (establishing a mechanism to strengthen private sector engagement and investment) and 4.3 (providing a platform to support field implementation and transboundary engagement with neighbouring tiger range States).
172. **For WWF:** WWF proposes that the Global Tiger Forum (GTF) become an executing partner for the WWF-led Components 2 and 3 of the project, acting in accordance with the IP's rules and regulations. GTF will serve as the lead technical partner for execution of Components 2 and 3 of the project, executing work packages that will include direct inputs to Output 2.1 (for activities relating to habitat management plans, facilitate rehabilitation and monitoring in Dudhwa and Pakke-Eaglenest Landscapes), Output 2.2 (plan and deliver training for frontline staff in Dudhwa and Pakke-Eaglenest Landscapes), and Output 3.4 (capacity development and technical assistance for livelihoods and sustainable development in the field of agriculture, livestock and ecotourism in Dudhwa Landscape). In parallel, GTF will host the National PMU that will coordinate with the States and landscapes for implementation of Components 2 and 3.
173. As such, the GTF will be an Executing Agency and will be responsible for execution of project activities for all project components in the field through an agreement with the MoEFCC and in coordination with landscape level stakeholders. GTF will also host the national Project Management Unit that will coordinate with the States and landscape level units for smooth implementation of project activities.
174. The GTF is an inter – governmental, international organization. India is a Founder Member of the GTF, which is headquartered in New Delhi. It is a body established with members from willing countries to embark on a global campaign to protect the endangered wild tiger. Based on bilateral/regional instruments of cooperation, related policies, technical expertise, good practices, scientific modules and other appropriate programmes, the GTF is focused on strengthening efforts of tiger range countries (TRCs) for conserving the wild tiger across its natural range. The GTF has an overarching mandate endorsed by Tiger Range Countries (TRCs) to implement the Global Tiger Recovery Program (GTRP), as committed in the St. Petersburg Declaration of 2010.
175. The GTF is working closely with several Indian states and partners towards preparation of management plans and tiger conservation plans, as well as climate-smart landscape level master plans. Within India, the GTF has collaborative partnerships with agencies like the National Tiger Conservation Authority (NTCA), Wildlife Institute of India (WII), World Wildlife Fund, UNDP, Wildlife Conservation Trust, USAID, Indian Institute of Forest Management, among others. It has also forged an alliance with the U.S Tiger University Consortium (comprising of Clemson, Auburn, Missouri, and Louisiana) for promoting knowledge sharing, research and academic programs on tiger conservation.
176. In addition to the above, ongoing initiatives of the GTF in India include implementation of Conservation Assured Tiger Standards (CATS) across all tiger reserves in the country and tiger habitats outside tiger reserves in India; refinement of the forest frontline training curriculum in close collaboration with state level training institutes and the Government of India; addressing human wildlife interface issues through community

stewardship and state of the art field measures in the Dudhwa/Pilibhit landscape, facilitating security audit of tiger reserves; high altitude tiger habitat conservation (including master planning for big cat landscape in the State of Sikkim under the UNDP/GEF SECURE Himalaya project, and action planning for high altitude tiger conservation across Bhutan, India, and Nepal in collaboration with the IUCN, NTCA, and Tiger Range Country Governments). It is in the process of developing an innovative partnership with Government agencies, such as National Agricultural Cooperative Marketing Federation of India towards setting up of Farmer's Producers Organizations (FPOs) in selected landscapes for income enhancement and ensuring reciprocal commitments for conservation and conflict mitigation.

177. The GTF has also implemented several regional capacity building programs across tiger range countries with support from multiple agencies, including the World Bank and the USAID on thematic areas like wildlife monitoring, habitat/prey/tiger recovery, ecosystem services valuation, landscape level conservation planning etc. Engagement with Tiger Range Countries (TRCs), broadly includes technical mission visits to range countries of South Asia, South-East Asia, and Russia; site-specific scientific tiger conservation planning in Myanmar, apart from inputs for National Tiger Action Plans and facilitating delegation of senior officials of tiger range countries for exchange programs and capacity building, viz. Thailand, Myanmar, Malaysia, Nepal, Bhutan, Bangladesh, apart from Cambodia for active management towards tiger reintroduction. GTF regularly convenes international/bilateral events such as ministerial conferences, senior officers' meetings and trans-border consultations between range countries for strengthening joint cooperation.

#### **National Project Management Unit**

178. The National Project Management Unit (NPMU) will be established in the GTF offices. It will comprise a National Project Director, Project Manager (PM), Administrative and Finance Officer and other technical and administrative staff as relevant. The NPMU, in collaboration with the MoEFCC, national Project Steering Committee and State Steering Committees will have overall management and administrative responsibility for facilitating stakeholder involvement and ensuring increased provincial level ownership of the project. The NPMU staff will be located in Delhi to ensure coordination among key stakeholders at the federal level and state level during the project period.

#### **State Steering Committees**

179. In order to govern the project at the State level for the two project landscapes, a State Steering Committee under the chairmanship of Chief Secretary/Additional Chief will be formed for each of the two States (Uttar Pradesh and Arunachal Pradesh). These State Steering Committees will be subordinate to the National Project Steering Committee. The Chief Wildlife Warden /State Project Director will be the Member Convener of each Committee. This committee will be composed of – Principal Chief Conservator of Forests and a senior member from the Wildlife/Forest Department (2); Chairman of State Biodiversity Board (1); elected representative of the region (1); representatives from relevant line departments (4); and members from participating NGOs and research and development Institutions (2); representatives of UNDP and WWF India; and individual experts. Landscape staff will join respective State PSC meetings to provide update reports. The key function of the committee will be to take policy decisions related to program implementation, finance, human resources and operations of project implementation at State level. The State Steering Committees will provide guidance and ensure consistency, synergy and convergence of approaches with other ongoing development projects and processes in the State, and support annual workplan development, implementation and progress reporting. The State Steering Committees would also facilitate block, district and sector agency participation in the landscape level planning operations at village level to ensure convergence of manpower and financial resources. At the State level, oversight and coordination will be the responsibility of a State Project Director - a senior officer of the Wildlife Department (cofinanced position). The national PMU will provide coordination support for the State Steering Committees along with support for coordination of activities at State level.

#### **Landscape Level Advisory Committees**

180. In each project landscape, a Landscape Advisory Committee will be established, chaired by the District Collector or a senior officer equivalent to his rank. The Committee will have members from relevant line departments, heads of Gram Panchayats, community-based organizations, community level Institutions, other relevant stakeholders, UNDP and WWF India.

**Landscape Planning and Management Unit (LPMU)**

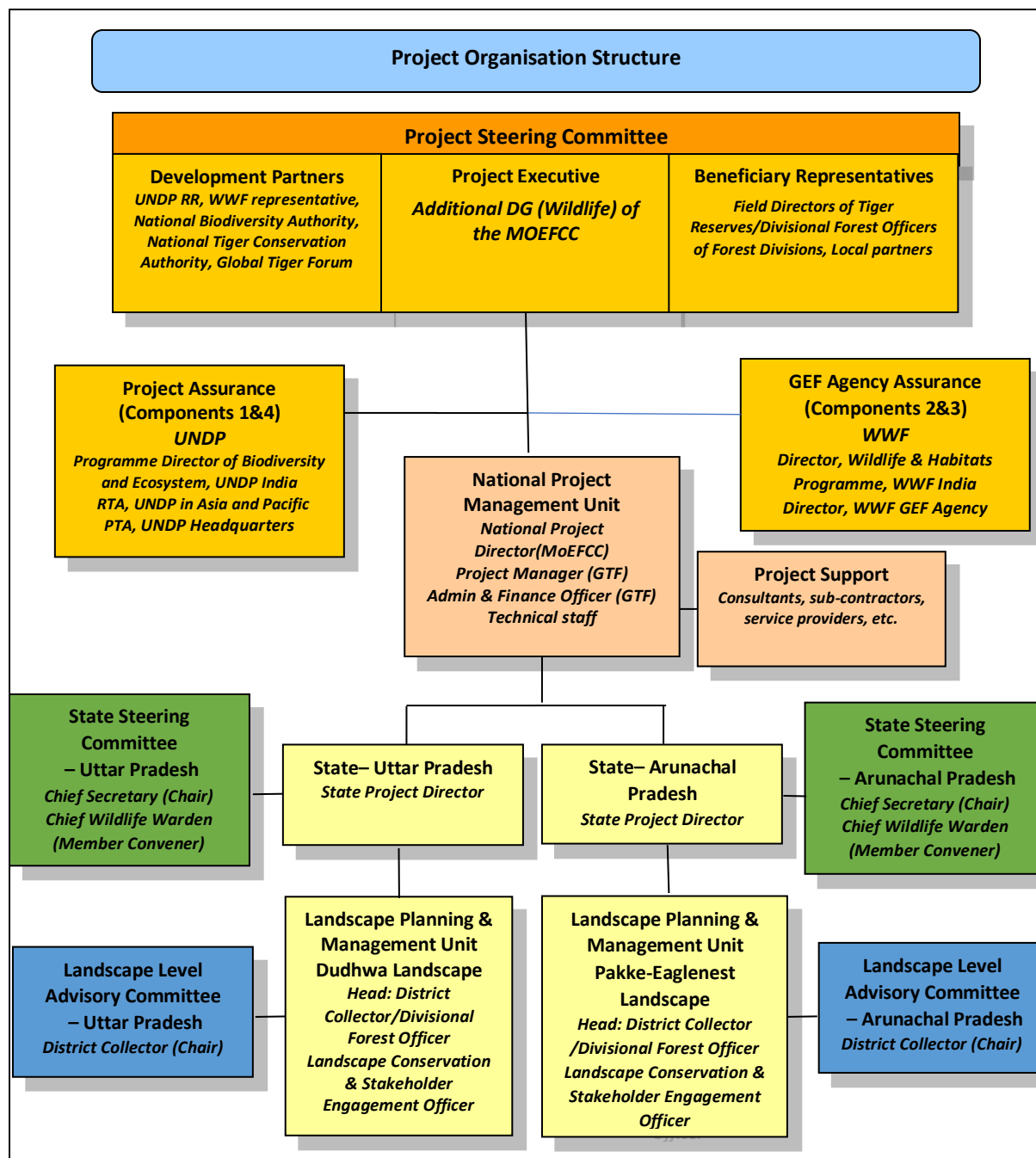
181. In each project landscape, there will be a Landscape Planning and Management team headed by the District Collector/Divisional Forest Officer or an officer of equivalent rank from the Forest Department. The DFO/other officer will be supported by landscape level staff including technical experts and specialists. Functioning of landscape project units will be coordinated by the national PMU.

182. **For UNDP:** UNDP is accountable to the GEF for the implementation of Components 1 and 4, which will form a UNDP Project Document signed with government. This includes oversight of project execution to ensure that the project is being carried out in accordance with agreed standards and provisions. UNDP is responsible for delivering GEF project cycle management services comprising project approval and start-up, project supervision and oversight, and project completion and evaluation. UNDP is also responsible for the Project Assurance role of the Project Steering Committee.

183. **For WWF:** WWF is accountable to the GEF for the implementation of Components 2 and 3, which will form a WWF Project Document signed with government. This includes oversight of project execution to ensure that the project is being carried out in accordance with the Project Document and the Grant Agreement. WWF will coordinate with UNDP for: the PIR and other reporting requirements, provision of no-objections as needed, supervision missions, MTE and TE, and project close out.

**Project organisation structure:**

184. The project organization structure is shown in **Figure 4** below.



**Figure 4. Organogram of the project governance and management structure**

Project Steering Committee (PSC):

185. The Project Steering Committee is responsible for taking corrective action as needed to ensure the project achieves the desired results. In order to ensure UNDP’s ultimate accountability, PSC decisions should be made in accordance with standards that shall ensure Unit management for development results, best value money, fairness, integrity, transparency and effective international competition.

186. **For the UNDP-supported project covering Components 1 and 4:** In case consensus cannot be reached within the PSC, the UNDP Resident Representative (or their designate) will mediate to find consensus and, if this cannot be found, will take the final decision to ensure project implementation is not unduly delayed.
187. **For the WWF-supported project covering Components 2 and 3:** In case consensus cannot be reached within the PSC, the WWF India CEO (or their designate) will mediate to find consensus and, if this cannot be found, will take the final decision to ensure project implementation is not unduly delayed.
188. Specific responsibilities of the Project Steering Committee include:
- Provide overall guidance and direction to the project, ensuring it remains within any specified constraints;
  - Address project issues as raised by the Project Manager;
  - Provide guidance on new project risks, and agree on possible mitigation and management actions to address specific risks;
  - Agree on project manager's tolerances as required, within the parameters set by UNDP-GEF and WWF GEF, and provide direction and advice for exceptional situations when the project manager's tolerances are exceeded;
  - Advise on major and minor amendments to the project within the parameters set by UNDP-GEF and WWF GEF;
  - Ensure coordination between various donor and government-funded projects and programmes;
  - Ensure coordination with various government agencies and their participation in project activities;
  - Track and monitor co-financing for this project;
  - Review the project progress, assess performance, and appraise the Annual Work Plan for the following year;
  - Appraise the annual project implementation report, including the quality assessment rating report;
  - Ensure commitment of human resources to support project implementation, arbitrating any issues within the project;
  - Review combined delivery reports prior to certification by the implementing partner;
  - Provide direction and recommendations to ensure that the agreed deliverables are produced satisfactorily according to plans;
  - Address project-level grievances;
  - Approve the project Inception Report, Mid-term Review and Terminal Evaluation reports and corresponding management responses;
  - Review the final project report package during an end-of-project review meeting to discuss lesson learned and opportunities for scaling up;
  - Ensure highest levels of transparency and take all measures to avoid any real or perceived conflicts of interest.
189. The composition of the Project Steering Committee must include the following roles:
- a. **Project Executive:** Is an individual who represents ownership of the project and chairs the Project Board. The Executive is normally the national counterpart for nationally implemented projects. The Project Executive is: *Additional DG (Wildlife) of the MoEFCC*
  - b. **Beneficiary Representative(s):** Individuals or groups representing the interests of those who will ultimately benefit from the project. Their primary function within the board is to ensure the realization of project results from the perspective of project beneficiaries. Often civil society representative(s) can fulfil this role. The Beneficiary representative (s) is/are:

*Field Directors of Tiger Reserves; Divisional Forest Officers of Forest Divisions; Local partners (TBC – e.g. Community reps from each landscape – Eco-Development Committees (Dudhwa), Tribal Councils (Pakke/Eaglenest)*

- c. Development Partner(s): Individuals or groups representing the interests of the parties concerned that provide funding and/or technical expertise to the project. The Development Partner(s) is/are: *UNDP Resident Representative, WWF representative, National Biodiversity Authority, National Tiger Conservation Authority, Global Tiger Forum*
  - d. Project Assurance: UNDP and WWF respectively for Components 1/4 and 2/3 performs the quality assurance role and supports the Project Steering Committee and Project Management Unit by carrying out objective and independent project oversight and monitoring functions. This role ensures appropriate project management milestones are managed and completed. The Project Steering Committee cannot delegate any of its quality assurance responsibilities to the Project Manager. UNDP provides a three – tier oversight services involving the UNDP Country Offices and UNDP at regional and headquarters levels. Project assurance is totally independent of the Project Management function. WWF provides similar support via the WWF GEF Agency staff in WWF US and the staff in WWF India.
190. The PSC will have high level, cross-sectoral representation including representatives of the MoEFCC, as the key governmental agency in charge of natural resources and environment and will ensure that other relevant governmental agencies are involved as per their mandate. Representatives of UNDP and WWF will participate in PSC meetings, and the PSC may also include representatives of other national or participating state representatives, non-government organizations, experts and community representatives. Other participants can be invited into the PSC meetings at the decision of the Chair of the Steering Committee, as and when required to enhance its efficacy. It will meet at least twice a year or as needed. The National Project Management Unit will serve as the Secretariat of the Project Steering Committee and the National Project Director (NPD) will take responsibility for calling its meetings, preparation of agenda, documentation and distribution of minutes and ensuring that decisions of the Committee are implemented in letter and spirit.
191. **Project extensions: For UNDP:** The UNDP-GEF Executive Coordinator must approve all project extension requests. Note that all extensions incur costs and the GEF project budget cannot be increased. A single extension may be granted on an exceptional basis and only if the following conditions are met: one extension only for a project for a maximum of six months; the project management costs during the extension period must remain within the originally approved amount, and any increase in PMC costs will be covered by non-GEF resources; the UNDP Country Office oversight costs during the extension period must be covered by non-GEF resources. **For WWF:** any extension requests will be considered and approved by WWF in accordance with standard WWF processes and endorsed by the WWF GEF Director.
192. **Coordination between the GEF Implementing Agencies:** A Coordination Committee will be set up involving representatives of UNDP, WWF, GTF, representatives of the States and the Project Management Unit to ensure effective coordination and communication between the two IAs. The Committee will have monthly meetings to track the progress of the project and to address issues and concerns as and when required.

#### **Fund Flow Mechanism**

193. GEF funds for project implementation will be routed through the two GEF Agencies viz. WWF and UNDP to MoEFCC for onward disbursement at federal and project state levels in accordance with the Gol guidelines for Externally Aided Projects. The National PMU operated by GTF, sub-contracted bodies and consultancy inputs will receive funds directly under contract from MoEFCC. This mechanism is illustrated in **Figure 5** below.

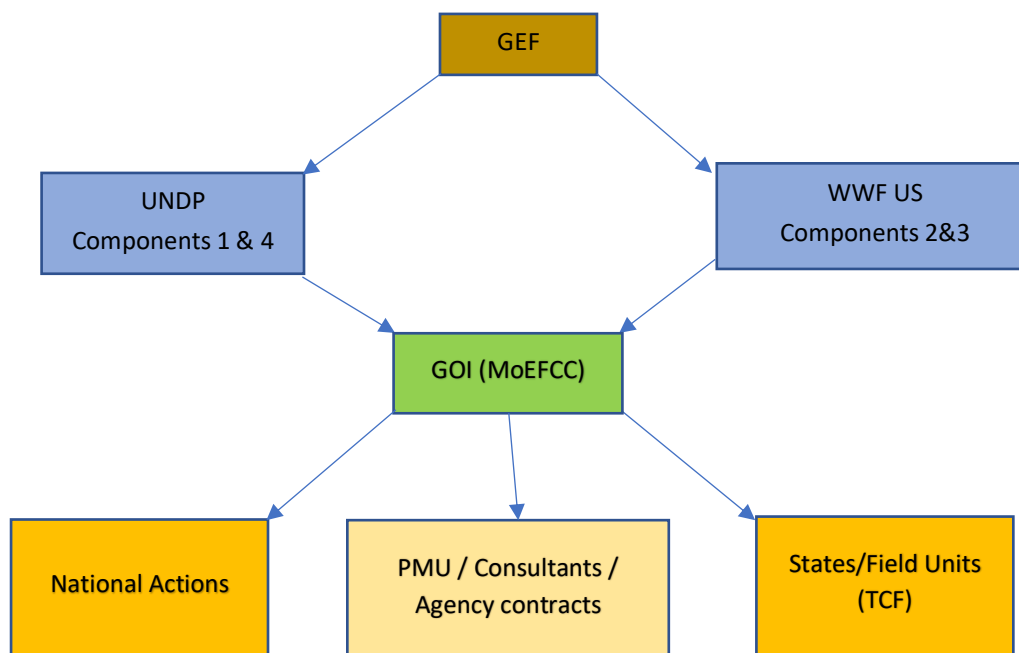


Figure 5. Suggested diagram for fund flow

## VII. FINANCIAL PLANNING AND MANAGEMENT

194. The total cost of the project is \$60,326,733. This is financed through a GEF grant of USD 4,500,000, and USD 55,826,733 in parallel co-financing. UNDP, as the GEF Agency for Components 1 and 4 of the project, is responsible for the oversight of the portion of the GEF resources (USD 1,975,000) and the cash co-financing transferred to the UNDP bank account only.

### Confirmed Co-financing:

195. The actual realization of project co-financing will be monitored during the mid-term review and terminal evaluation process and will be reported to the GEF. **For UNDP:** Note that all project activities included in the project results framework Components 1 and 4 that will be delivered by co-financing partners (even if the funds do not pass through UNDP accounts) must comply with UNDP social and environmental standards. Co-financing will be used for the following project activities/outputs:

| Co-financing source | Co-financing type | Co-financing amount (USD) | Planned Co-financing Activities / Outputs                                      | Risks  | Risk Mitigation Measures   |
|---------------------|-------------------|---------------------------|--|--|--|
| MoEFCC              | In-kind           | 400,000                   | In-kind support / technical inputs for project governance and management - PMC | 1. Delays in disbursement of govt budgets occur frequently, could be exacerbated by COVID situation.<br>2. Risk of exchange rate fluctuations, economic recession and changes in govt priorities impacting delivery of | 1. PSC to monitor delivery of Co-financing and follow up with relevant govt units<br>2. PSC to monitor and address significant financial constraints arising due to exchange rate fluctuations and any delays or |

|                                       |  |                   |  | cofinancing, linked to COVID19 pandemic  | failures in cofinancing.        |
|---------------------------------------|--|-------------------|--|--|---------------------------------|
| MoEFCC                                | Public Investment – Investment Mobilized | 20,363,591        | 1.1, 1.3, 1.4; 2.1, 2.2; 3.1-3.5; 4.1-4.5, PMC | As above   | As above                        |
| State Government of Arunachal Pradesh | In-kind                                  | 5,440,487         | 2.1, 2.2, 3.1-3.5, PMC                         | As above   | As above                        |
|                                       | Public Investment – Investment Mobilized | 327,166           | 2.1, 2.2, 3.1-3.5                              | As above   | As above                        |
| State Government of Uttar Pradesh     | In-kind                                  | 17,467,840        | 2.1, 2.2, 3.1-3.5, PMC                         | As above   | As above                        |
|                                       | Public Investment – Investment Mobilized | 9,933,649         | 2.1, 2.2, 3.1-3.5                              | As above   | As above                        |
| WWF-US                                | In-kind                                  | 303,000           | Component 2 and 3                              | Government restrictions on INGO operations in India. Possible shifts in cofinancing delivery related to COVID19 Pandemic impacts | Support from MoEFCC and UNDP CO |
| WWF India                             | Investment mobilized<br>In-kind          | 270,000<br>90,000 | Component 2 and 3                              | Government restrictions on INGO operations in India  | Support from MoEFCC and UNDP CO |
| UNDP                                  | In-kind                                  | 250,000           | 4.2, 4.4, 4.5, PMC                             | Possible shifts in cofinancing delivery related to COVID19 Pandemic impacts  | NA                              |
| UNDP                                  | Investment mobilized                     | 550,000           | 1.1, 1.3, 1.4, 4.1-4.5                         | No significant risks   | NA                              |
| GTF                                   | In-kind                                  | 120,250           | PMC  | Possible shifts in cofinancing delivery related to COVID19 Pandemic impacts  | NA                              |
| GTF                                   | Investment mobilized                     | 310,750           | 1.1, 1.2, 2.1, 2.2, 3.4, 4.1                   | No significant risks   | NA                              |
| <b>Total</b>                          |  | <b>55,826,733</b> |  |  |                                 |



*[The following text relates to UNDP procedures that will be captured in the UNDP Project Document signed with government. The WWF Grant Agreement signed with government will follow standard WWF processes]*

Budget Revision and Tolerance:

196. As per UNDP requirements outlined in the UNDP POPP, the Project Steering Committee will agree on a budget tolerance level for each plan under the overall annual work plan allowing the Project Manager to expend up to the tolerance level beyond the approved project budget amount for the year without requiring a revision from the Project Steering Committee. Should the following deviations occur, the Project Manager and UNDP Country Office will seek the approval of the BPPS-GEF team to ensure accurate reporting to the GEF: a) Budget re-allocations among components in the project budget with amounts involving 10% of the total project grant or more; b) Introduction of new budget items that exceed 5% of original GEF allocation.

197. Any over expenditure incurred beyond the available GEF grant amount will be absorbed by non-GEF resources (e.g. UNDP TRAC or cash co-financing).

Audit:

198. The project will be audited as per UNDP Financial Regulations and Rules and applicable audit policies. Audit cycle and process must be discussed during the Inception workshop.

Project Closure:

199. Project closure will be conducted as per UNDP requirements outlined in the UNDP POPP. All costs incurred to close the project must be included in the project closure budget and reported as final project commitments presented to the Project Board during the final project review. The only costs a project may incur following the final project review are those included in the project closure budget.

Operational completion:

200. The project will be operationally completed when the last UNDP-financed inputs have been provided and the related activities have been completed. This includes the final clearance of the Terminal Evaluation Report (that will be available in English) and the corresponding management response, and the end-of-project review Project Board meeting. **Operational closure must happen with 3 months of posting the TE report to the UNDP ERC.** The Implementing Partner through a Project Steering Committee decision will notify the UNDP Country Office when operational closure has been completed. At this time, the relevant parties will have already agreed and confirmed in writing on the arrangements for the disposal of any equipment that is still the property of UNDP.

Transfer or disposal of assets:

201. In consultation with the Implementing Partner and other parties of the project, UNDP is responsible for deciding on the transfer or other disposal of assets. Transfer or disposal of assets is recommended to be reviewed and endorsed by the project board following UNDP rules and regulations. Assets may be transferred to the government for project activities managed by a national institution at any time during the life of a project. In all cases of transfer, a transfer document must be prepared and kept on file<sup>99</sup>. The transfer should be done before Project Management Unit complete their assignments.

Financial completion (closure):

202. The project will be financially closed when the following conditions have been met: a) the project is operationally completed or has been cancelled; b) the Implementing Partner has reported all financial transactions to UNDP; c) UNDP has closed the accounts for the project; d) UNDP and the Implementing Partner have certified a final Combined Delivery Report (which serves as final budget revision).

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<sup>99</sup> See

[https://popp.undp.org/\\_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP\\_POPP\\_DOCUMENT\\_LIBRARY/Public/PPM\\_Project%20Management\\_Closing.docx&action=default](https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PPM_Project%20Management_Closing.docx&action=default).

203. The project will be financially completed **within 6 months of operational closure or after the date of cancellation**. Between operational and financial closure, the implementing partner will identify and settle all financial obligations and prepare a final expenditure report. The UNDP Country Office will send the final signed closure documents including confirmation of final cumulative expenditure and unspent balance to the BPPS-GEF Unit for confirmation before the project will be financially closed in Atlas by the UNDP Country Office.

Refund to GEF:

204. Should a refund of unspent funds to the GEF be necessary, this will be managed directly by the BPPS-GEF Directorate in New York. No action is required by the UNDP Country Office on the actual refund from UNDP project to the GEF Trustee.

## VIII. TOTAL BUDGET AND WORK PLAN

| Total Budget and Work Plan         |   |                          |          |
|------------------------------------|---|--------------------------|----------|
| Atlas Award ID:                    | 00111018  | Atlas Output Project ID: | 00110188 |
| Atlas Proposal or Award Title:     | Strengthening conservation and resilience of globally-significant wild cat landscapes through a focus on small cat and leopard conservation |                          |          |
| Atlas Business Unit                | IND 10  |                          |          |
| Atlas Primary Output Project Title | Strengthening conservation and resilience of globally-significant wild cat landscapes through a focus on small cat and leopard conservation |                          |          |
| UNDP-GEF PIMS No.                  | 6355  |                          |          |
| Implementing Partner               | Ministry of Environment, Forests and Climate Change (MOEFCC)  |                          |          |

### TBWP India Wild Cat Landscapes Project -UNDP Budget – Components 1, 4 and PMC

| GEF Component/<br>Atlas Activity   | <a href="#">Responsible Party/[1]</a><br>(Atlas Implementing Agent) | Fund ID | Donor Name | Atlas Budgetary Account Code | ATLAS Budget Description        | Amount Year 1 (USD) | Amount Year 2 (USD) | Amount Year 3 (USD) | Amount Year 4 (USD) | Amount Year 5 (USD) | Amount Year 6 (USD) | Total (USD)    | See Budget Note: |  |
|--|---|---------|------------|------------------------------|---------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------|------------------|--|
| Component 1. Enabling policy, planning and institutional framework for wild cat conservation | MoEFCC  | 62000   | GEF        | 71600                        | Travel                          | 7,500               | 30,000              | 30,000              | 25,000              | 7,500               | -                   | 100,000        | 1                |  |
|  |   |         |            | 71800                        | Contractual Services - IP       | 6,000               | 12,000              | 12,000              | 12,000              | 12,000              | 6,000               | 60,000         | 2                |  |
|  |   |         |            | 72100                        | Contractual Services- Companies | 40,000              | 140,000             | 140,000             | 80,000              | -                   | -                   | 400,000        | 3                |  |
|  |   |         |            |                              |                                 |                     |                     |                     |                     |                     |                     |                |                  |  |
|  |   |         |            | 72800                        | Information Technology Equipmt  | 7,800               | -                   | -                   | -                   | -                   | -                   | 7,800          | 4                |  |
|  |   |         |            | 74200                        | Audio Visual&Print Prod Costs   | -                   | 20,000              | 32,000              | 10,000              | 15,000              | -                   | 77,000         | 5                |  |
|  |   |         |            | 75700                        | Training, Workshops and Confer  | 17,400              | 68,400              | 83,600              | 48,400              | 18,400              | -                   | 236,200        | 6                |  |
|  |   |         |            |                              | <b>Total Outcome 1</b>          | <b>78,700</b>       | <b>270,400</b>      | <b>297,600</b>      | <b>175,400</b>      | <b>52,900</b>       | <b>6,000</b>        | <b>881,000</b> |                  |  |
| Component 4. Partnerships,   | MoEFCC  | 62000   | GEF        | 71200                        | International Consultants       |                     |                     | 19,500              |                     |                     | 19,500              | 39,000         | 7                |  |

|                              |                                  |               |               |  |                                |                |                |                |   |                |                |                  |        |
|------------------------------|----------------------------------|---------------|---------------|--|--------------------------------|----------------|----------------|----------------|---|----------------|----------------|------------------|--------|
| knowledge management and M&E |                                  |               |               | 71300                                      | Local Consultants              | 10,000         | 20,000         | 10,000         | 12,000                                      | 12,000         | 28,000         | 92,000           | 8      |
|                              |                                  |               |               | 71600                                      | Travel                         | 6,000          | 16,500         | 25,000         | 22,000                                      | 22,000         | 19,500         | 111,000          | 9      |
|                              |                                  |               |               | 71800                                      | Contractual Services - IP      | 12,000         | 24,000         | 24,000         | 24,000                                      | 24,000         | 12,000         | 120,000          | 10     |
|                              |                                  |               |               | 72100                                      | Contractual Services-Companies | 17,000         | 79,000         | 94,000         | 94,000                                      | 54,000         | 22,000         | 360,000          | 11     |
|                              |                                  |               |               | 72500                                      | Supplies                       | 3,000          | 3,000          | 3,000          | 3,000                                       | 3,000          | 2,700          | 17,700           | 12     |
|                              |                                  |               |               | 72800                                      | Information Technology Equipmt | 5,800          | 500            | 500            | 500   | 500            | 300            | 8,100            | 13     |
|                              |                                  |               |               | 74200                                      | Audio Visual&Print Prod Costs  | 2,000          | 10,000         | 12,000         | 15,000                                      | 10,000         | 14,000         | 63,000           | 14     |
|                              |                                  |               |               | 75700                                      | Training, Workshops and Confer | 31,000         | 26,000         | 45,000         | 15,000                                      | 15,000         | 5,000          | 137,000          | 15     |
|                              |                                  |               |               |  | <b>Total Outcome 4</b>         | <b>86,800</b>  | <b>179,000</b> | <b>233,000</b> | <b>185,500</b>                              | <b>140,500</b> | <b>123,000</b> | <b>947,800</b>   |        |
|                              |                                  |               |               | <a href="#">Project management unit[3]</a> | MoEFCC                         | 62000          | GEF            | 71800          | Contractual Services - Implementing Partner | 11,500         | 23,000         | 23,000           | 23,000 |
| 72500                        | Supplies                         | 1,000         | 1,000         |  |                                |                |                | 1,000          | 1,000                                       | 1,000          | 900            | 5,900            | 17     |
| 72800                        | Information Technology Equipment | 5,000         | 500           |  |                                |                |                | 500            | 500   | 500            | 300            | 7,300            | 18     |
| 74100                        | Professional Services            | 3,000         | 3,000         |  |                                |                |                | 3,000          | 3,000                                       | 3,000          | 3,000          | 18,000           | 19     |
|                              | <b>Total Project Management</b>  | <b>20,500</b> | <b>27,500</b> |  |                                |                |                | <b>27,500</b>  | <b>27,500</b>                               | <b>27,500</b>  | <b>15,700</b>  | 146,200          |        |
| <b>PROJECT TOTAL</b>         |                                  |               |               |  |                                | <b>186,000</b> | <b>476,900</b> | <b>558,100</b> | <b>388,400</b>                              | <b>220,900</b> | <b>144,700</b> | <b>1,975,000</b> |        |

## Budget Notes UNDP – Components 1,4 and Project Management Costs

| No.       | Description   |
|-----------|---|
|           | <b>COMPONENT 1</b>  |
| <b>1</b>  | <b>Travel:</b><br>In support of consultations, travel to and within landscapes for Output 1.1 – Years 1-5 (\$30,000); Output 1.2 Years 1-5 (\$20,000); Output 1.3 Years 2-4 (\$7,500); Output 1.4 – Years 2-4 (\$7,500); Output 1.5 Years 1-5 (\$35,000);<br><b>Total: \$100,000</b>  |
| <b>2</b>  | <b>Contractual Services – Implementing Partner:</b> Landscape Conservation Planner to facilitate District (\$2000/month over 30 months) and State level consultations on landscape planning, and facilitate the coordination and integration of conservation and rural development initiatives to reduce inter-sectoral conflicts, and integration of master plans with existing planning processes (Output 1.1), provide technical advice and workshop facilitation on landscape planning for species recovery plans (Output 1.2), protocol and SOPs (Output 1.3) and guidelines (Output 1.4); provide training inputs on landscape planning (Output 1.5); (All Outputs)<br><b>Total: \$60,000</b> |
| <b>3A</b> | <b>Contractual Services – Companies/Institutions:</b> Subcontract to GTF for development of landscape master plans = \$120,000 (Output 1.1)<br><b>Total \$120,000</b>   |
| <b>3B</b> | <b>Contractual Services – Companies/Institutions:</b> Subcontract to GTF for development of database, atlas and mobile phone application on wild cat monitoring - \$80,000 (Output 1.2)<br><b>Total \$80,000</b>  |
| <b>3C</b> | <b>Contractual Services – Companies/Institutions:</b> Subcontract to GTF for developing protocol and SOPs for wild cat conservation = \$40,000 (Output 1.3)<br><b>Total \$40,000</b>  |
| <b>3D</b> | <b>Contractual Services – Companies/Institutions:</b> Subcontract to environmental NGOs / academic institutions for developing guidelines for wild cat conservation = \$40,000 (Output 1.4)<br><b>Total: \$40,000</b>   |
| <b>3E</b> | <b>Contractual Services – Companies/Institutions:</b> Subcontract to environmental NGOs / academic institutions for developing and implementing training programme for State and District Agencies \$120,000 (Output 1.5)<br><b>Total \$120,000</b>   |
|           |   |
| <b>4</b>  | <b>IT Equipment:</b><br>2 computers (\$3000), 2 multifunction scanner-printers (\$1000), IT accessories and software (\$2000) to support landscape master plan development (one set for each landscape, to support GTF inputs and handed over to the State Govts) = \$6,000 (Output 1.1)<br>2 LCD projectors (\$900 each) to support training activities (one for each landscape, to support the State Govts) = \$1,800 (Output 1.5)<br><b>Total: \$7,800</b>   |
| <b>5</b>  | <b>AV &amp; print production costs:</b> audio visual and printed materials in support of:<br>Landscape master plans for two landscapes - \$12,000 (Output 1.1)<br>Atlas on wild cat distribution - \$10,000 (Output 1.2)<br>Species Recovery Plans for 3 cat species - \$15,000 (Output 1.2)<br>Protocol and SOPs on wild cats - \$10,000 (Output 1.3)<br>Guidelines on small cat conservation - \$10,000 (Output 1.4)<br>Training materials - \$20,000 (Output 1.5)<br><b>Total: \$77,000</b>  |

|                    |   |
|--------------------|---|
| 6                  | <p><b>Training, meetings and field training:</b><br/> Consultation meetings for development &amp; implementation of landscape master plans = 8 x 2 landscapes x \$5137 = \$82,200 (Output 1.1)<br/> National and regional meetings (5 x \$5400) for development of database, atlas and mobile phone app - \$27,000 (Output 1.2)<br/> Consultation meetings to develop Species Recovery Plans for 3 cat species - 3x\$5,667 = \$17,000 (Output 1.2)<br/> Consultation meetings for developing protocol and SOPs for wild cat conservation - 4 x \$5,500 = \$22,000 (Output 1.3)<br/> Consultation meetings for developing guidelines for wild cat conservation 2 landscapes x \$11,000 = \$22,000 (Output 1.4)<br/> Support for training events for State and District Agencies - 8 events x 2 landscapes x \$4125 = \$66,000 (Output 1.5)<br/> <b>Total: \$236,200</b></p>  |
| <b>COMPONENT 4</b> |   |
| 7                  | <p><b>International Consultants:</b><br/> International Consultant for MTR/TE - 30 days at \$650/day for both MTR (Y3) and TE (Y5) = \$39,000 (Output 4.5)<br/> <b>Total: \$39,000</b></p>  |
| 8                  | <p><b>Local Consultants:</b><br/> KAP consultant for baseline and completion assessments - 10 weeks at \$1000 / week Y2, 10 weeks Y6 = \$20,000 (Output 4.2)<br/> Website design consultant - 8 weeks at \$1000/week Y1-2 = \$8,000 (Output 4.4)<br/> Case study consultants (writers) - 24 weeks at \$1000/week Y3-6 = \$24,000 (Output 4.4)<br/> National Consultant for MTR / TE - 30 days at \$200 for MTR (Y3) and 30 days at \$200 for TE (Y5) = \$12,000 (Output 4.5);<br/> Gender and Social Inclusion Specialist 8 weeks/Y1 and Y2, and 4 weeks/Year for Y3-Y5 for PMU/executing partner training, local stakeholder consultations and support for Gender Action Plan implementation and monitoring, provide technical support to the PMU to integrate gender into project implementation plans, including annual work plans = 28 weeks @\$1000 (Y1-6) = \$28,000 (Output 4.5);<br/> <b>Total: \$92,000</b></p>        |
| 9                  | <p><b>Travel:</b><br/> - for consultations, partnership development in project landscapes - \$10,000 (Output 4.1)<br/> - for awareness campaigns, KAP baseline and completion assessments in project landscapes - \$15,000 (Output 4.2)<br/> - for transboundary meetings and visits to project landscapes - \$17,000 (Output 4.3)<br/> - for annual stakeholders meetings, case study field visits and consultations, presenting results at international conferences - \$21,000 (Output 4.4)<br/> - for Communications, Awareness and KM officer - \$12,000 (Output 4.4)<br/> - for MTR (\$5,000) Y3 and TE (\$5,000) Y5 = \$10,000 (Output 4.5);<br/> - for Gender consultant training and annual field visits - \$10,000 (Output 4.5)<br/> -for annual monitoring of project RF indicators and safeguards-related consultations by M&amp;E and Safeguards Analyst - \$16,000 (Output 4.5):<br/> <b>Total: \$111,000</b></p> |
| 10                 | <p><b>Contractual Services – Implementing Partner:</b><br/> M&amp;E and Safeguards Analyst (at \$2,000/month) for coordinating updating of annual indicators for PIR, MTR and TE (10 months), overseeing implementation of the M&amp;E plan and ensuring alignment of project M&amp;E with GWP M&amp;E requirements(20 months), reviewing and revising annual work plan activities based on lessons learned (5 months), stakeholder/social inclusion consultations (5 months), coordinate the development, implementation and monitoring of any required ESIA and safeguards plans in line with SESP requirements (20 months) (Output 4.5)<br/> <b>Total: 120,000</b></p>   |
| 11A                | <p><b>Contractual Services – Companies/Institutions:</b><br/> -Subcontract to GTF to develop and operationalize Green Business Platform, business partnership development in landscapes, fund development, grant scheme for community-based conservation projects - \$120,000 (Output 4.1)<br/> <b>Total: \$120,000</b></p>   |

|                                 |   |
|---------------------------------|---|
| 11B                             | <b>Contractual Services – Companies/Institutions:</b><br>-for coordination of communications task force, development of communications action plan and strategy; incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation); conduct national- and landscape-level awareness campaigns; develop awareness materials and monitoring results; - \$80,000 (Output 4.2)<br>- for development and implementation of knowledge management plan; incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation); develop a project website / regional knowledge platform to share project news, results and information; develop case studies on key issues relating to landscape conservation for wild cats including stakeholder workshops - \$100,000 (Output 4.4)<br><b>Total: \$180,000</b> |
| 11C                             | <b>Contractual Services – Companies/Institutions:</b><br>- Subcontract to GTF to develop and implement transboundary conservation roadmap and local level transboundary actions - \$60,000 (Output 4.3)<br><b>Total: \$60,000</b>   |
| 12                              | <b>Supplies</b><br>for production of project communications and knowledge materials and M&E reports, etc. (all Outputs)<br><b>Total: \$17,700</b>   |
| 13                              | <b>IT Equipment:</b><br>For communications, knowledge management and M&E activities - computers 2 @ \$1500 = \$3000, printer/scanner/fax multifunction 1 @ \$500; digital bridge camera 1@\$800; IT accessories & repairs \$2,000, software \$1,800<br><b>Total: \$8,100</b>  |
| 14                              | <b>AV and Printing Production Costs:</b><br>- for brochures and reports for Green Business Platform and grant scheme - \$10,000 (Output 4.1)<br>- for awareness material printing production - \$15,000 (Output 4.2)<br>- for supporting materials for transboundary site work - \$3000 (Output 4.3)<br>- for KM reports, case studies, stakeholder meeting materials, terminal report - \$29,000 (Output 4.4)<br>- for Mid-term and terminal evaluation reports, M&E reports, gender, safeguards reports - \$6,000 (Output 4.5)<br><b>Total: \$63,000</b>  |
| 15                              | <b>Training, Workshops and Conferences:</b><br>- National and regional workshops x 5 at \$10,000 for development of Green Business Platform, business partnership development - \$50,000 (Output 4.1)<br>- for communications strategy planning meetings (Y1, Y2) - \$2,000 (Output 4.2)<br>- for transboundary collaboration and action planning meetings / visits (Y3-Y5) - \$30,000 (Output 4.3)<br>- for annual stakeholder meetings \$5,000 x 5 years = \$25,000 (Output 4.4)<br>- for project Inception stakeholder workshops – national and state-level \$20,000 (Y1) (Output 4.5)<br>- for post-MTR stakeholder consultation workshop to validate findings and help support finalization and implementation of MTR management response \$10,000 (Y3) (Output 4.5)<br><b>Total: \$137,000</b>  |
| <b>PROJECT MANAGEMENT COSTS</b> |   |
| 16                              | <b>Contractual Services – Implementing Partner:</b><br>Project Manager – 60 months over 6 years at \$1000/month (50% time; co-financed by GTF) = \$60,000<br>Project Admin and Finance Officer – 60 months over 6 years at \$916.67/month = \$55,000<br><b>Total = \$115,000</b>  |
| 17                              | <b>Supplies:</b> paper, stationery, printer cartridges, personal protective equipment (PPE), etc:<br>National project management at \$1,000 / year over 6 years   |

|           |  |
|-----------|--|
|           | <b>Total = \$5,900</b>   |
| <b>18</b> | <b>IT Equipment:</b><br>National project management - computers 2 @ \$1500 = \$3000, printer/scanner/fax multifunction 1 @ \$500; IT accessories & repairs \$2,000, software \$1,800<br><b>Total = \$7,300</b> |
| <b>19</b> | <b>Professional services:</b> Annual audit for Components 1 and 4 (\$3,000/ year for 6 years).<br><b>Total \$18,000</b>  |



TBWP India Wild Cat Landscapes Project - WWF Budget - Components 2,3 and PMC

| GEF Component/<br>Atlas Activity  | <a href="#">Responsible Party/[1]</a><br><br>(Atlas Implementing Agent) | Fund ID | Donor Name | Atlas Budgetary Account Code                             | ATLAS Budget Description         | Amount Year 1 (USD) | Amount Year 2 (USD) | Amount Year 3 (USD) | Amount Year 4 (USD) | Amount Year 5 (USD) | Amount Year 6 (USD) | Total (USD)    | See Budget Note: |
|---|---|---------|------------|--|----------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------|------------------|
| Component 2.<br>Strengthened management and protection of wild cat landscapes | MoEFCC  | 62000   | GEF        | 71300  | Local Consultants                | 11,000              | 11,000              | 11,000              | 11,000              | 11,000              | 8,000               | 63,000         | 1                |
|   |   |         |            | 71600  | Travel                           | 1,500               | 19,357              | 19,357              | 19,357              | 5,464               | 2,595               | 67,630         | 2                |
|   |   |         |            | 71800  | Contractual Services- Individual | 6,966               | 22,290              | 22,290              | 22,290              | 22,290              | 11,144              | 107,270        | 3                |
|   |   |         |            | 72100  | Contractual Services- Companies  | -                   | 85,200              | 128,700             | 94,200              | 76,200              | 11,700              | 396,000        | 4                |
|   |   |         |            | 72200  | Equipment and Furniture          | -                   | 46,000              | -                   | -                   | -                   | -                   | 46,000         | 5                |
|   |   |         |            | 72800  | Information Technology Equipmt   | -                   | 1,800               | -                   | -                   | -                   | -                   | 1,800          | 6                |
|   |   |         |            | 74200  | Audio Visual&Print Prod Costs    | -                   | 9,643               | 5,714               | 6,500               | 2,572               | 2,571               | 27,000         | 7                |
|   |   |         |            | 75700  | Training, Workshops and Confer   | -                   | 9,560               | 37,281              | 37,281              | 9,378               | -                   | 93,500         | 8                |
|   |   |         |            |  | <b>Total Outcome 2</b>           | <b>19,466</b>       | <b>204,850</b>      | <b>224,342</b>      | <b>190,628</b>      | <b>126,904</b>      | <b>36,010</b>       | <b>802,200</b> |                  |
|   |   |         |            | Component 3.<br>Community stewardship and human-wildlife | MoEFCC                           | 62000               | GEF                 | 71300               | Local Consultants   | 23,000              | 105,000             | 105,000        | 63,000           |
| 71600   | Travel  | 6717    | 26,415     |  |                                  |                     |                     | 42,866              | 42,866              | 40,634              | 12,142              | 171,640        | 10               |

|  |        |       |     |       |   |               |                |                |                |                |                |                  |       |    |
|--|--------|-------|-----|-------|---|---------------|----------------|----------------|----------------|----------------|----------------|------------------|-------|----|
| coexistence in wild cat landscapes         |        |       |     | 71800 | Contractual Services-Individual             | 12,843        | 55,867         | 65,500         | 65,500         | 65,500         | 26,330         | 291,540          | 11    |    |
|  |        |       |     | 72100 | Contractual Services-Companies              | -             | 9,600          | 81,100         | 68,600         | 68,600         | 21,100         | 249,000          | 12    |    |
|  |        |       |     | 72200 | Equipment and Furniture                     | -             | 45,820         | -              | -              | -              | -              | 45,820           | 13    |    |
|  |        |       |     |       |   |               |                |                |                |                |                |                  |       |    |
|  |        |       |     | 72600 | Grants                                      |               |                | 75,555         | 66,111         | 28,334         | -              | 170,000          | 14    |    |
|  |        |       |     | 72800 | Information Technology Equipmt              | -             | 6,000          | -              | -              | -              | -              | 6,000            | 15    |    |
|  |        |       |     | 73400 | Equipment rental                            | 5,000         | 10,000         | 10,000         | 10,000         | 10,000         | 5,000          | 50,000           | 16    |    |
|  |        |       |     | 74200 | Audio Visual&Print Prod Costs               | -             | 25,864         | 18,727         | 15,784         | 15,784         | 2,841          | 79,000           | 17    |    |
|  |        |       |     | 75700 | Training, Workshops and Confer              | -             | 35,734         | 69,130         | 54,126         | 40,028         | 5982           | 205,000          | 18    |    |
|  |        |       |     |       | <b>Total Outcome 3</b>                      | <b>47,560</b> | <b>320,300</b> | <b>467,878</b> | <b>385,987</b> | <b>331,880</b> | <b>101,395</b> | <b>1,655,000</b> |       |    |
| <a href="#">Project management unit[3]</a> | MoEFCC | 62000 | GEF | 71800 | Contractual Services - Implementing Partner | 6,000         | 6,000          | 6,000          | 6,000          | 6,000          | 6,000          | 36,000           | 19    |    |
|  |        |       |     | 72500 | Supplies                                    | 1,000         | 1,000          | 1,000          | 1,000          | 1,000          | 1,000          | 1,000            | 6,000 | 20 |
|  |        |       |     | 72800 | Information Technology Equipmt              | 5,300         | 500            | 500            | 500            | 500            | 500            | 7,800            | 21    |    |
|  |        |       |     | 74100 | Professional Services                       | 3,000         | 3,000          | 3,000          | 3,000          | 3,000          | 3,000          | 18,000           | 22    |    |
|  |        |       |     |       | <b>Total Project Management</b>             | <b>15,300</b> | <b>10,500</b>  | <b>10,500</b>  | <b>10,500</b>  | <b>10,500</b>  | <b>10,500</b>  | <b>67,800</b>    |       |    |
| <b>PROJECT TOTAL</b>                       |        |       |     |       |   | <b>82,326</b> | <b>535,650</b> | <b>702,720</b> | <b>587,115</b> | <b>469,284</b> | <b>147,905</b> | <b>2,525,000</b> |       |    |

## Budget Notes WWF – Components 2,3 and Project Management Costs

| COMPONENT 2 |  |
|-------------|--|
| <b>1</b>    | <p><b>Local Consultants:</b><br/> Landscape M&amp;E and Safeguards/IP Specialist (mid level expert) – 20 months at \$2400/month through Years 1-6 across both Outputs = \$48,000<br/> National Landscape Safeguards Expert (mid level expert) based at national PMU for support to Component 2 – 5 months at \$3000/month across both Outputs (1m/year Yrs1-5) = \$15,000<br/> <b>Total \$63,000</b></p> |
| <b>2</b>    | <p><b>Travel:</b><br/> In support of consultations, travel to and within landscapes for pilot activities in Output 2.1 - \$20,000; and for training activities in Output 2.2 - \$40,000; safeguards compliance monitoring in project landscapes \$7,630 (both Outputs)<br/> <b>Total: \$67,630</b></p>   |
| <b>3</b>    | <p><b>Contractual Services – Implementing Partner:</b><br/> Landscape Conservation and Stakeholder Engagement Officers (one each for Uttar Pradesh and Arunachal Pradesh) – 20 months each at \$2000/month = \$80,000 (Outputs 2.1, 2.2)<br/> Community Mobilizers (18 months each at \$505/month x 3 pax) = \$27,270 (Output 2.1)<br/> <b>Total: \$107,270</b></p>                                      |
| <b>4A</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to GTF for analyzing Dudhwa grassland/wetland baseline, lead consultations for habitat management plans, facilitate rehabilitation and monitoring (Output 2.1A) –<br/> <b>Total: \$65,000</b></p>  |
| <b>4B</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to analyse Pakke-Eaglenest forest corridor bottlenecks, conduct ground surveys, and prepare forest corridor rehabilitation proposals, facilitate participatory rehabilitation and monitoring (Output 2.1B)<br/> <b>Total: \$65,000</b></p>   |
| <b>4C</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Contractual Services through communities, local institutions and wildlife depts for implementation of habitat management and rehabilitation measures for both landscapes (Output 2.1A &amp; B)<br/> <b>Total \$160,000</b></p>   |
| <b>4D</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to GTF for planning and delivering training for frontline staff in Dudhwa and Pakke-Eaglenest Landscapes (Output 2.2)<br/> <b>Total: \$70,000</b></p>  |
| <b>4E</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract(s) to local NGOs for implementation of LRPs and IPPs based on the IPPF/PF guidance including obtaining FPIC, where required - \$21,000 (both Outputs)<br/> <b>Total: \$21,000</b></p>  |
| <b>4F</b>   | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract(s) for third-party monitoring of safeguards at mid-term and end of project (both Outputs) - \$15,000<br/> <b>Total: \$15,000</b></p>   |
| <b>5</b>    | <p><b>Equipment &amp; Furniture:</b><br/> Field equipment for ecological monitoring of pilots at Dudhwa and Pakke-Eaglenest - \$5,000 each (Output 2.1) - \$10,000;<br/> Essential field gear and equipment for frontline field staff in Dudhwa and Pakke-Eaglenest landscapes - lump sum \$18,000 each (Output 2.2) - \$36,000</p>  |

|                    |  |
|--------------------|--|
|                    | <b>Total: \$46,000</b>   |
|                    |  |
| <b>6</b>           | <b>IT Equipment:</b><br>2 LCD projectors (\$900 each) to support training (Output 2.2)<br>Total: \$1,800   |
| <b>7</b>           | <b>AV &amp; Print production:</b><br>Technical reports, etc from pilot interventions at demo sites in two landscapes - \$7,000 (Output 2.1);<br>Training materials - \$15,000 (Output 2.2)<br>Printing of LRPs and IPPs and related materials for safeguards - \$5,000 (both Outputs)<br><b>Total: \$27,000</b>  |
| <b>8</b>           | <b>Training &amp; Workshops:</b><br>Meetings, reviews and consultations in support of Dudhwa pilot intervention (Output 2.1) - \$12,000;<br>Meetings, reviews and consultations in support of Pakke- Eaglenest pilot intervention (Output 2.1) - \$15,000<br>Awareness workshops on legal issues related to small cat conservation for frontline staff, line depts, EDCs, etc. at Dudhwa and Pakke-Eaglenest (Output 2.2) - \$10,500<br>Training events for frontline staff at Dudhwa (Output 2.2) - \$20,000<br>Training events for frontline staff at Pakke-Eaglenest (Output 2.2) - \$20,000<br>Sensitization cum coordination program for line agencies on threats - 1 meeting/year x 2 sites @ \$1000 at Dudhwa Y3-5 (Output 2.2) - \$6,000<br>Sensitization events for forest dept, army, defence, police, paramilitary at Pakke and Eaglenest (5 trainings at \$2000) (Output 2.2) - \$10,000<br><b>Total: \$93,500</b> |
| <b>COMPONENT 3</b> |  |
| <b>9</b>           | <b>Local Consultants:</b><br>Landscape M&E and Safeguards/IP Specialist (mid-level expert) (40 months input across Y1-6 at \$2400/month) = \$96,000 (All Outputs)<br>National Landscape Safeguards Expert (mid level expert) based at national PMU for support to Component 3 – 9 months at \$3000/month across both Outputs (Y1 – 1m, Y2 – 3m, Y3 – 3m, Y4 – 1m, Y5 – 1m) = \$27,000<br>Gender and Social Inclusion Specialist (24 weeks input – 4 weeks/yr at \$1000/week) = \$24,000 (All Outputs)<br>Capacity Development Specialist for EDCs / CBOs – 15 months at \$4000/month = \$60,000 (Output 3.1)<br>Community-based Wildlife Monitoring Specialist - 16 months at \$4000/month = \$64,000 (Output 3.3)<br>HWC Response Specialists (x2) – 13 months at \$4000/month x 2 pax = \$104,000 (Output 3.5)<br>Paralegal HWC Specialist – 3 months at \$4000/month = \$12,000 (Output 3.5)<br><b>Total: \$387,000</b>     |
| <b>10</b>          | <b>Travel:</b><br>In support of consultations, travel to and within landscapes for activities in Output 3.1 - \$20,000; Output 3.2 - \$20,000; Output 3.3 - \$34,000; Output 3.4 - \$58,000; Output 3.5 - \$32,000; safeguards compliance monitoring within project landscapes \$7,640 (all outputs)<br><b>Total: \$171,640</b>  |

|            |  |
|------------|--|
| <b>11</b>  | <p><b>Contractual Services – Implementing Partner:</b><br/> Landscape Conservation and Stakeholder Engagement Officers (one each for Uttar Pradesh and Arunachal Pradesh) – 40 months each at \$2000/month = \$160,000 (All Outputs)<br/> Landscape Communications and Awareness Officer (44 months over 5 years at \$1750/m) = \$77,000 (All Outputs) (one position for two landscapes)<br/> Community Mobilizers (36 months each at \$505 x 3 pax) = \$54,540 (All Outputs)<br/> <b>Total: \$291,540</b></p>   |
| <b>12A</b> | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to GTF for providing capacity development and technical assistance for livelihoods and sustainable development in the field of agriculture, livestock and ecotourism in Dudhwa Landscape (Output 3.4)<br/> <b>Total: \$60,000</b></p>  |
| <b>12B</b> | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to provide capacity development and technical assistance for livelihoods and sustainable development in the field of ecotourism in Pakke-Eaglenest Landscape (Output 3.4)<br/> <b>Total: \$60,000</b></p>  |
| <b>12C</b> | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract to provide capacity development and technical assistance for livelihoods and sustainable development in the field of sustainable agriculture and NTFP in Pakke-Eaglenest Landscape (Output 3.4)<br/> <b>Total \$60,000</b></p>   |
| <b>12D</b> | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract(s) to local NGOs for implementation of LRPs and IPPs based on the IPPF/PF guidance including obtaining FPIC, where required - \$44,000 (all Outputs)<br/> <b>Total: \$44,000</b></p>   |
| <b>12E</b> | <p><b>Contractual Services – Companies/Institutions:</b><br/> Subcontract(s) for third-party monitoring of safeguards at mid-term and end of project (both Outputs) - \$25,000<br/> <b>Total: \$25,000</b></p>   |
| <b>13</b>  | <p><b>Equipment &amp; Furniture:</b><br/> Equipment for community monitoring of wild cats at Pakke-Eaglenest and Dudhwa (Output 3.3):<br/> Binoculars 24 at \$100<br/> Camera traps 100 @\$280 (40 Pakke, 40 Eaglenest, 20 Dudhwa)<br/> GPS 15@\$350 (5 Pakke, 5 Eaglenest, 5 Dudhwa)<br/> Digital bridge camera with zoom and geotagging - 3 at \$800 (1 each location)<br/> Small digital cameras 9@\$280 (3 each location)<br/> Sherman traps - 75 at \$70 (25 each location)<br/> <b>Total: \$45,820</b></p> |
|            |  |
| <b>14</b>  | <p><b>Grants:</b><br/> To support HWC Response in targeted communities - \$70,000 (Output 3.5)<br/> To support livelihood development - \$100,000 (Output 3.4)<br/> <b>Total: \$170,000</b></p>  |
| <b>15</b>  | <p><b>IT Equipment:</b><br/> IT Equipment for capacity development - 1 PC @\$1500; 1 printer @\$500; accessories \$1000 = \$3000 (Output 3.1)<br/> IT Equipment for awareness raising - 1 PC @\$1500; 1 printer @\$500; accessories \$1000 = \$3000 (Output 3.2)</p>   |

|                                 |   |
|---------------------------------|---|
|                                 | <b>Total: \$6,000</b>   |
| <b>16</b>                       | <b>Equipment Rental:</b><br>Rental of one vehicle for each landscape to support livelihood development activities @ \$25,000/landscape = \$50,000 (Output 3.4)<br><b>Total: \$50,000</b>  |
| <b>17</b>                       | <b>AV &amp; Print production:</b><br>Output 3.1 - training materials \$18,000<br>Output 3.2 - Awareness materials \$9,000<br>Output 3.3: training materials and results from community-based monitoring activities \$10,000<br>Output 3.4 - training materials and results from community livelihood activities \$14,000<br>Output 3.5 - reports and HWC response plans from SAFE Workshops (4); awareness raising materials & KM in support of addressing HWC at targeted sites - \$18,000<br>All Outputs – printing of LRPs and IPPs and related materials for safeguards activities - \$10,000<br><b>Total: \$79,000</b> |
| <b>18</b>                       | <b>Training &amp; Workshops:</b><br>Community training events x 20 @ \$2000 = \$40,000 (Output 3.1)<br>Community awareness events 20@\$2000 = \$40,000 (Output 3.2)<br>Community training events x 20 @ \$2000 = \$40,000 (Output 3.3)<br>Community monitoring facilitation meetings 20 @ \$500 = \$10,000 (Output 3.3)<br>Livelihood capacity development events x 20 @ \$2000 = \$40,000 (Output 3.4)<br>Four SAFE Workshops @\$6,000 = \$24,000; plus follow up community meetings to support implementation of HWC response measures (\$11,000) (Output 3.5)<br><b>Total: \$205,000</b>                                 |
| <b>PROJECT MANAGEMENT COSTS</b> |   |
| <b>19</b>                       | <b>Contractual Services – Implementing Partner:</b><br>Part-time Admin and Finance support for State-level project management (\$6,000 / year over 6 years)<br><b>Total = \$36,000</b>  |
| <b>20</b>                       | <b>Supplies: paper, stationery, printer cartridges, PPE, etc:</b><br>For State/landscape project management estimated at \$1,000 / year over 6 years<br><b>Total = \$6,000</b>  |
| <b>21</b>                       | <b>IT Equipment:</b><br>For State/Landscape project management: 2 Computers @ \$1500 = \$3000, 2 printer/scanner multifunction @ \$500 = \$1000; IT accessories & repairs \$2,000; software \$1,800<br><b>Total = \$7,800</b>   |
| <b>22</b>                       | <b>Professional services:</b><br>Annual audit for Components 2 and 3 (\$3,000/ year for 6 years).<br><b>Total \$18,000</b>  |

## Summary of Funds

| Donor                         | Amount<br>Year1  | Amount<br>Year 2  | Amount<br>Year 3  | Amount<br>Year 4  | Amount<br>Year 5 | Amount<br>Year 6 | Total             |
|-------------------------------|------------------|-------------------|-------------------|-------------------|------------------|------------------|-------------------|
| GEF - UNDP                    | 186,000          | 476,900           | 558,100           | 388,400           | 220,900          | 144,700          | <b>1,975,000</b>  |
| GEF - WWF                     | 82,326           | 535,650           | 702,720           | 587,115           | 469,284          | 147,905          | <b>2,525,000</b>  |
| UNDP                          | 133,333.33       | 133,333.33        | 133,333.33        | 133,333.33        | 133,333.33       | 133,333.33       | <b>800,000</b>    |
| WWF-US                        | 50,500           | 50,500            | 50,500            | 50,500            | 50,500           | 50,500           | <b>303,000</b>    |
| MoEFCC and other govt sources | 8,988,789        | 8,988,789         | 8,988,789         | 8,988,789         | 8,988,789        | 8,988,789        | <b>53,932,733</b> |
| WWF India                     | 60,000           | 60,000            | 60,000            | 60,000            | 60,000           | 60,000           | <b>360,000</b>    |
| Global Tiger Forum            | 71,833.33        | 71,833.33         | 71,833.33         | 71,833.33         | 71,833.33        | 71,833.33        | <b>431,000</b>    |
| <b>TOTAL</b>                  | <b>9,572,782</b> | <b>10,317,006</b> | <b>10,565,276</b> | <b>10,279,971</b> | <b>9,994,640</b> | <b>9,597,061</b> | <b>60,326,733</b> |

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## IX. LEGAL CONTEXT

*[This section applies to the UNDP-supported Components 1 and 4; WWF-supported Components 2 and 3 will be covered under the WWF Grant Agreement and associated requirements]*

### **Option b. Where the country has NOT signed the Standard Basic Assistance Agreement (SBAA)**

The project document shall be the instrument envisaged and defined in the Supplemental Provisions to the Project Document, attached hereto and forming an integral part hereof, as “the Project Document”.

This project will be implemented by Ministry of Environment, Forests and Climate Change (“Implementing Partner”) in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

The designations employed and the presentation of material on this map do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations or UNDP concerning the legal status of any country, territory, city or area or its authorities, or concerning the delimitation of its frontiers or boundaries.

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## X. RISK MANAGEMENT

*[This section applies to the UNDP-supported Components 1 and 4; WWF-supported Components 2 and 3 will be covered under the WWF Grant Agreement and associated requirements]*

1. Consistent with the Article III of the SBAA *[or the Supplemental Provisions to the Project Document]*, the responsibility for the safety and security of the Implementing Partner and its personnel and property, and of UNDP’s property in the Implementing Partner’s custody, rests with the Implementing Partner. To this end, the Implementing Partner shall:
  - a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
  - b) assume all risks and liabilities related to the Implementing Partner’s security, and the full implementation of the security plan.
2. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the Implementing Partner’s obligations under this Project Document.
3. The Implementing Partner agrees to undertake all reasonable efforts to ensure that no UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via [http://www.un.org/sc/committees/1267/aq\\_sanctions\\_list.shtml](http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml).
4. The Implementing Partner acknowledges and agrees that UNDP will not tolerate sexual harassment and sexual exploitation and abuse of anyone by the Implementing Partner, and each of its responsible parties, their respective sub-recipients and other entities involved in Project implementation, either as contractors or subcontractors and their personnel, and any individuals performing services for them under the Project Document.



(a) In the implementation of the activities under this Project Document, the Implementing Partner, and each of its sub-parties referred to above, shall comply with the standards of conduct set forth in the Secretary General's Bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse" ("SEA").

(b) Moreover, and without limitation to the application of other regulations, rules, policies and procedures bearing upon the performance of the activities under this Project Document, in the implementation of activities, the Implementing Partner, and each of its sub-parties referred to above, shall not engage in any form of sexual harassment ("SH"). SH is defined as any unwelcome conduct of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation, when such conduct interferes with work, is made a condition of employment or creates an intimidating, hostile or offensive work environment.

5. a) In the performance of the activities under this Project Document, the Implementing Partner shall (with respect to its own activities), and shall require from its sub-parties referred to in paragraph 4 (with respect to their activities) that they, have minimum standards and procedures in place, or a plan to develop and/or improve such standards and procedures in order to be able to take effective preventive and investigative action. These should include: policies on sexual harassment and sexual exploitation and abuse; policies on whistleblowing/protection against retaliation; and complaints, disciplinary and investigative mechanisms. In line with this, the Implementing Partner will and will require that such sub-parties will take all appropriate measures to:
- i. Prevent its employees, agents or any other persons engaged to perform any services under this Project Document, from engaging in SH or SEA;
  - ii. Offer employees and associated personnel training on prevention and response to SH and SEA, where the Implementing Partner and its sub-parties referred to in paragraph 4 have not put in place its own training regarding the prevention of SH and SEA, the Implementing Partner and its sub-parties may use the training material available at UNDP;
  - iii. Report and monitor allegations of SH and SEA of which the Implementing Partner and its sub-parties referred to in paragraph 4 have been informed or have otherwise become aware, and status thereof;
  - iv. Refer victims/survivors of SH and SEA to safe and confidential victim assistance; and
  - v. Promptly and confidentially record and investigate any allegations credible enough to warrant an investigation of SH or SEA. The Implementing Partner shall advise UNDP of any such allegations received and investigations being conducted by itself or any of its sub-parties referred to in paragraph 4 with respect to their activities under the Project Document, and shall keep UNDP informed during the investigation by it or any of such sub-parties, to the extent that such notification (i) does not jeopardize the conduct of the investigation, including but not limited to the safety or security of persons, and/or (ii) is not in contravention of any laws applicable to it. Following the investigation, the Implementing Partner shall advise UNDP of any actions taken by it or any of the other entities further to the investigation.
- b) The Implementing Partner shall establish that it has complied with the foregoing, to the satisfaction of UNDP, when requested by UNDP or any party acting on its behalf to provide such confirmation. Failure of the Implementing Partner, and each of its sub-parties referred to in paragraph 4, to comply of the foregoing, as determined by UNDP, shall be considered grounds for suspension or termination of the Project.
6. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (<http://www.undp.org/ses>) and related Accountability Mechanism (<http://www.undp.org/secu-srm>).

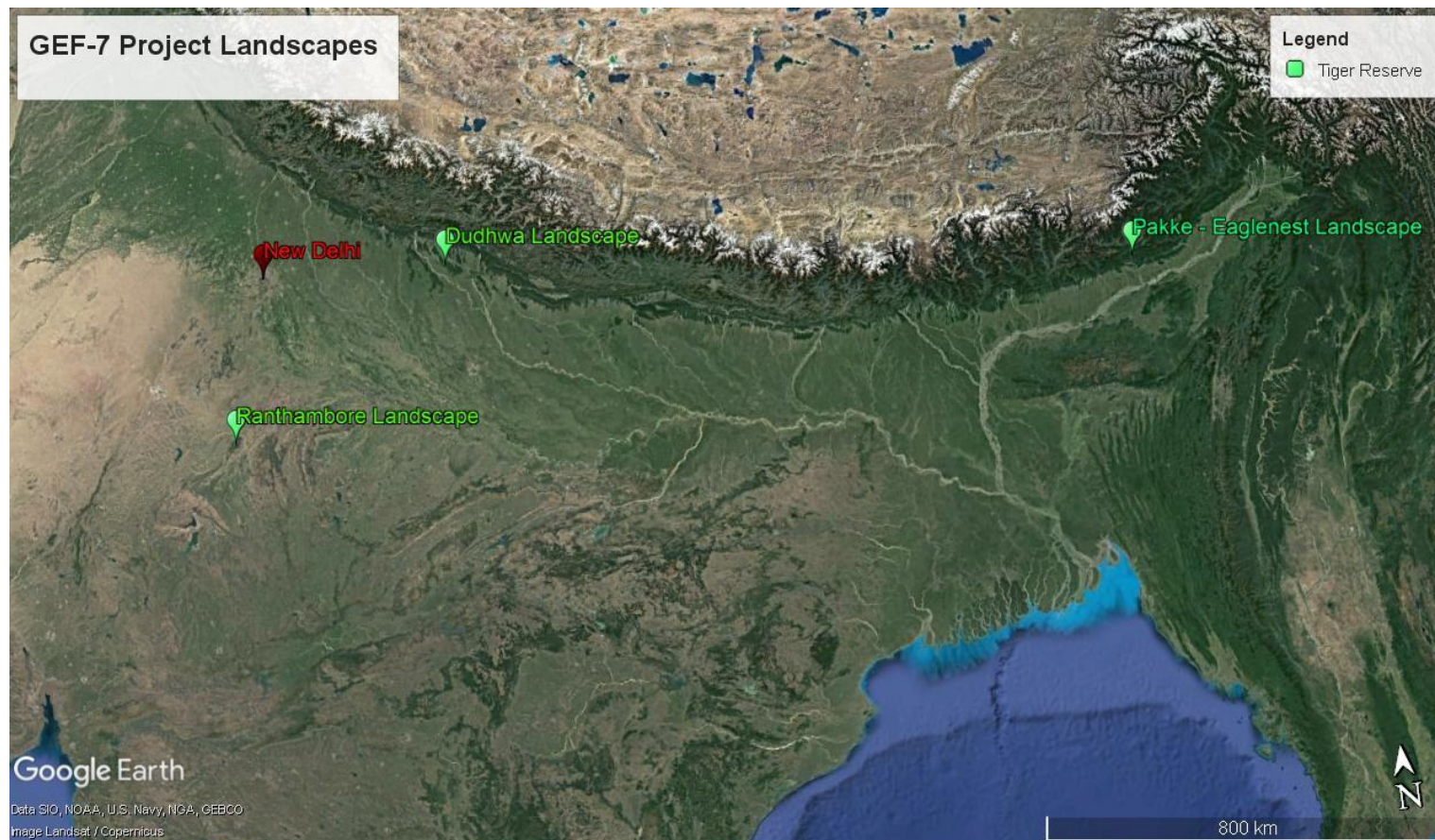
7. The Implementing Partner shall: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.
8. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.
9. The Implementing Partner will take appropriate steps to prevent misuse of funds, fraud or corruption, by its officials, consultants, responsible parties, subcontractors and sub-recipients in implementing the project or using UNDP funds. The Implementing Partner will ensure that its financial management, anti-corruption and anti-fraud policies are in place and enforced for all funding received from or through UNDP.
10. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to the Implementing Partner: (a) UNDP Policy on Fraud and other Corrupt Practices and (b) UNDP Office of Audit and Investigations Investigation Guidelines. The Implementing Partner agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at [www.undp.org](http://www.undp.org).
11. In the event that an investigation is required, UNDP has the obligation to conduct investigations relating to any aspect of UNDP projects and programmes in accordance with UNDP's regulations, rules, policies and procedures. The Implementing Partner shall provide its full cooperation, including making available personnel, relevant documentation, and granting access to the Implementing Partner's (and its consultants', responsible parties', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with the Implementing Partner to find a solution.
12. The signatories to this Project Document will promptly inform one another in case of any incidence of inappropriate use of funds, or credible allegation of fraud or corruption with due confidentiality.
13. Where the Implementing Partner becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, the Implementing Partner will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). The Implementing Partner shall provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.
13. UNDP shall be entitled to a refund from the Implementing Partner of any funds provided that have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document. Such amount may be deducted by UNDP from any payment due to the Implementing Partner under this or any other agreement. Recovery of such amount by UNDP shall not diminish or curtail the Implementing Partner's obligations under this Project Document.
14. Where such funds have not been refunded to UNDP, the Implementing Partner agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to the Implementing Partner for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

*Note:* The term “Project Document” as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.

14. Each contract issued by the Implementing Partner in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from the Implementing Partner shall cooperate with any and all investigations and post-payment audits.
15. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.
16. The Implementing Partner shall ensure that all of its obligations set forth under this section entitled “Risk Management” are passed on to each responsible party, subcontractor and sub-recipient and that all the clauses under this section entitled “Risk Management Standard Clauses” are included, *mutatis mutandis*, in all sub-contracts or sub-agreements entered into further to this Project Document.

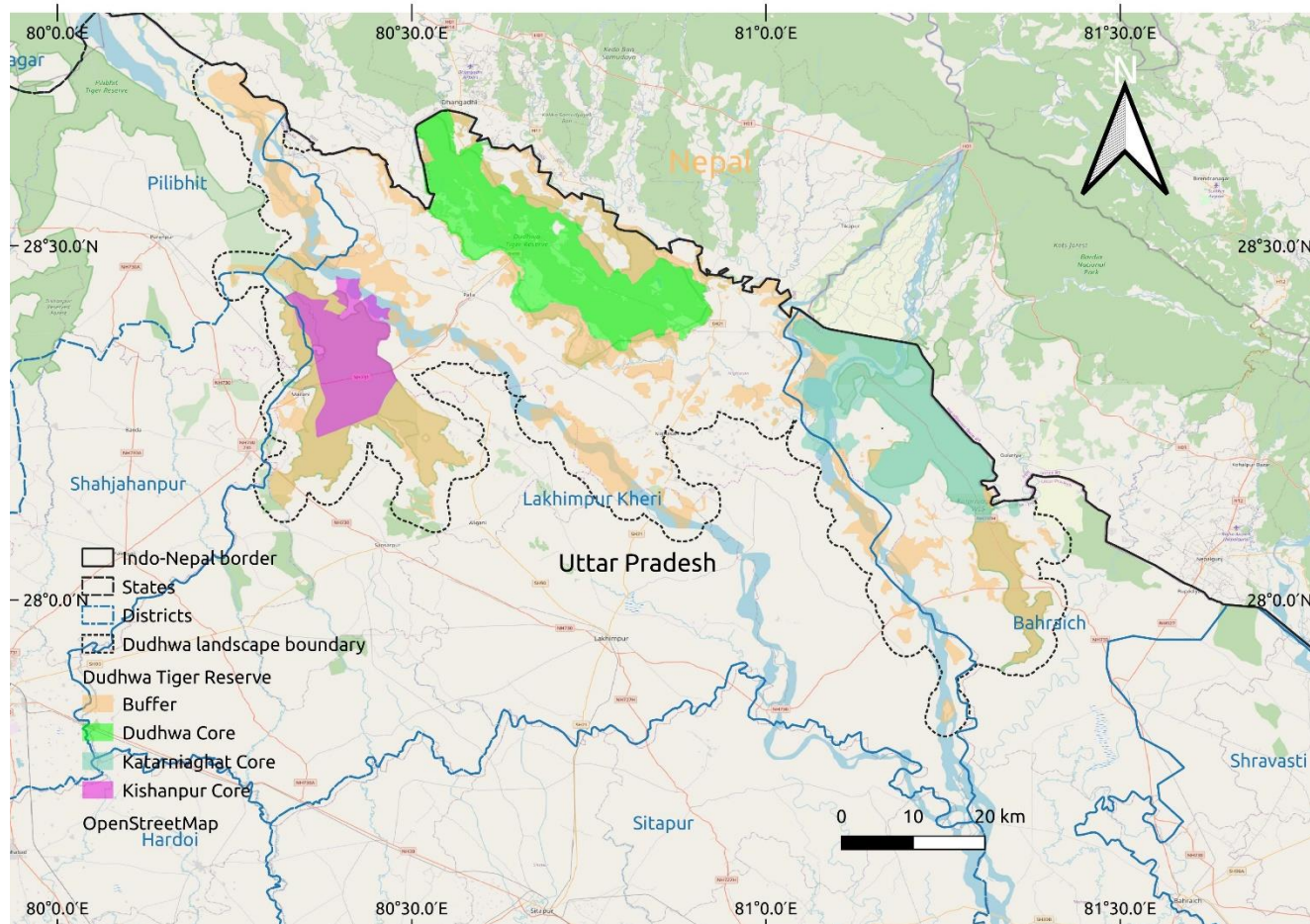
## XI. MANDATORY ANNEXES

### Annex 1: Project Map and Geospatial Coordinates of Project Sites



**Figure A1-1: Map showing the locations of the three project landscapes**

**Map Disclaimer:** The designations of the geographical entities and the presentation of the material do not imply the expression of any opinion whatsoever concerning the legal status of any country, territory, or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.



**Figure A1-2: Map of Dudhwa Landscape, Uttar Pradesh**

**Map Disclaimer:** The designations of the geographical entities and the presentation of the material do not imply the expression of any opinion whatsoever concerning the legal status of any country, territory, or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

**Landscape Coordinates:** Lower left - 80°11'29.647"E, 27°48'25.066"N; Upper Right - 81°25'50.183"E, 28°45'33.678"N

**Area:** 4639 km<sup>2</sup>

***Protected Areas***

***Dudhwa Tiger Reserve, incorporating:***

Name: Dudhwa National Park

WDPA site code<sup>100</sup>: 691

Status: National Park

Location: 28° 29' 27" N, 80° 42' 08" E

Province: Uttar Pradesh

District / City / County: Lakhimpur-Kheri District

Area: 490 km<sup>2</sup>

Elevation Range: 150-184 m

Name: Kishanpur WLS

WDPA site code: 1824

Status: Wildlife Sanctuary

Location: 28° 29' 27" N, 80° 42' 08" E

Province: Uttar Pradesh

District / City / County: Lakhimpur-Kheri District

Area: 227 km<sup>2</sup>

Elevation Range: 150-184 m

Name: Katerniaghat WLS

WDPA site code: 1807

Status: Wildlife Sanctuary

Location: 28° 14' 40" N, 81° 11' 29" E

Province: Uttar Pradesh

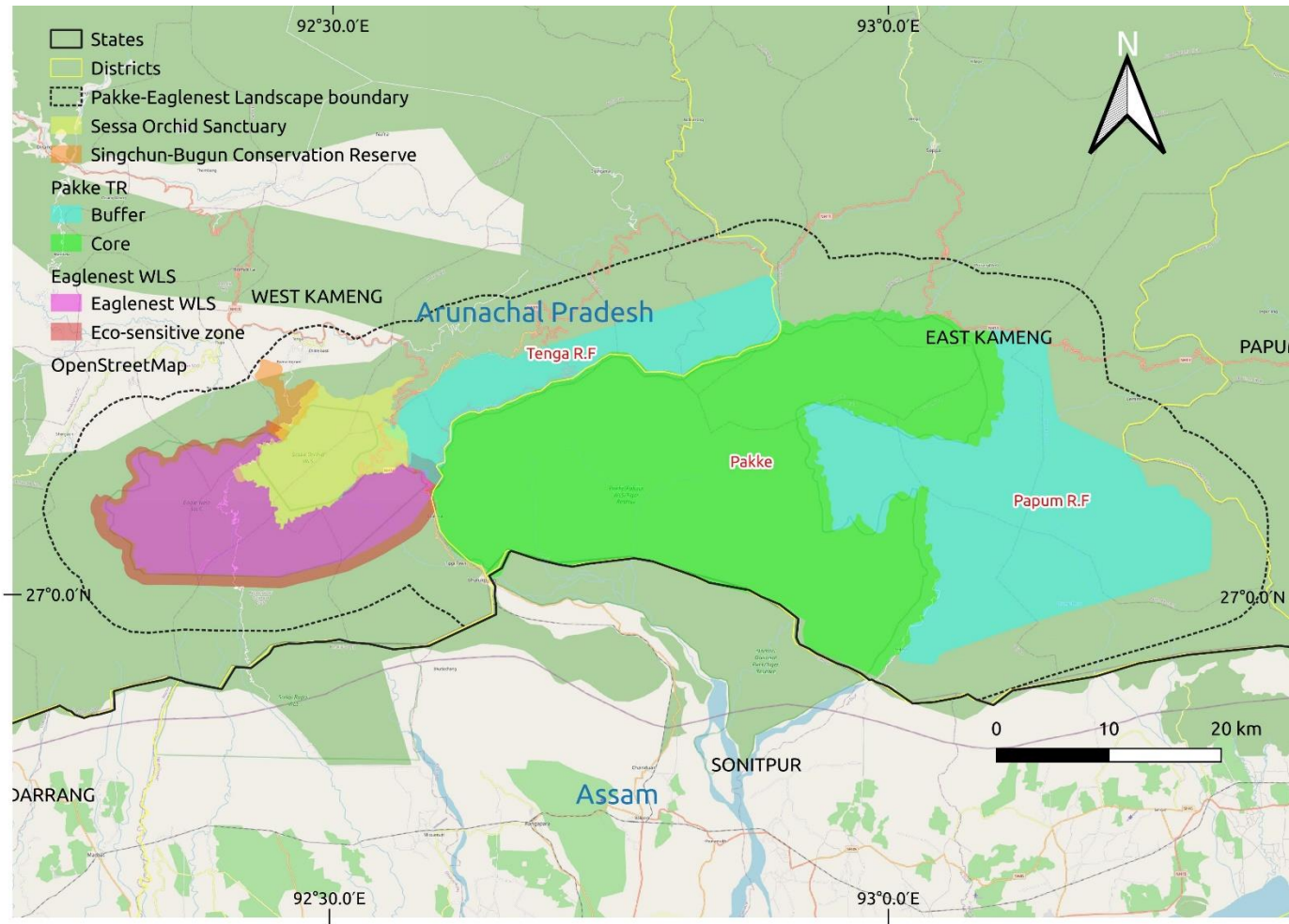
District / City / County: Bahraich

Area: 400.69 km<sup>2</sup>

Elevation Range: 170-190 m

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<sup>100</sup> WDPA site codes can be found on the World Database of Protected Areas website: [www.protectedplanet.net](http://www.protectedplanet.net)



**Figure A1-3: Map of Pakke – Eaglenest Landscape, Arunachal Pradesh**

**Map Disclaimer:** The designations of the geographical entities and the presentation of the material do not imply the expression of any opinion whatsoever concerning the legal status of any country, territory, or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

**Landscape Coordinates:** Lower left- 92°14'30.277"E, 26°54'52.675"N; Upper Right -93°20'26.861"E, 27°18'12.275"N

**Area:** 2928 km<sup>2</sup>

**Protected Areas**

Name: Pakke Tiger Reserve

WDPA site code: 4530

Status: Tiger Reserve

Location: 27° 19' 07" N, 92° 51' 37" E

Province: Arunachal Pradesh

District / City / County: East Kameng District

Area: 862 km<sup>2</sup>

Elevation Range: 100-2000 m

Name: Sessa Orchid Sanctuary

WDPA site code: 62666

Status: Wildlife Sanctuary

Location: 27.2°N 92.5°E

Province: Arunachal Pradesh

District / City / County: West Kameng District

Area: 100 km<sup>2</sup>

Elevation Range: 1100 - 1800 m

Name: Eaglenest Wildlife Sanctuary

WDPA site code: 62670

Status: Wildlife Sanctuary

Location: 27° 08' 00" N, 92° 21' 47" E

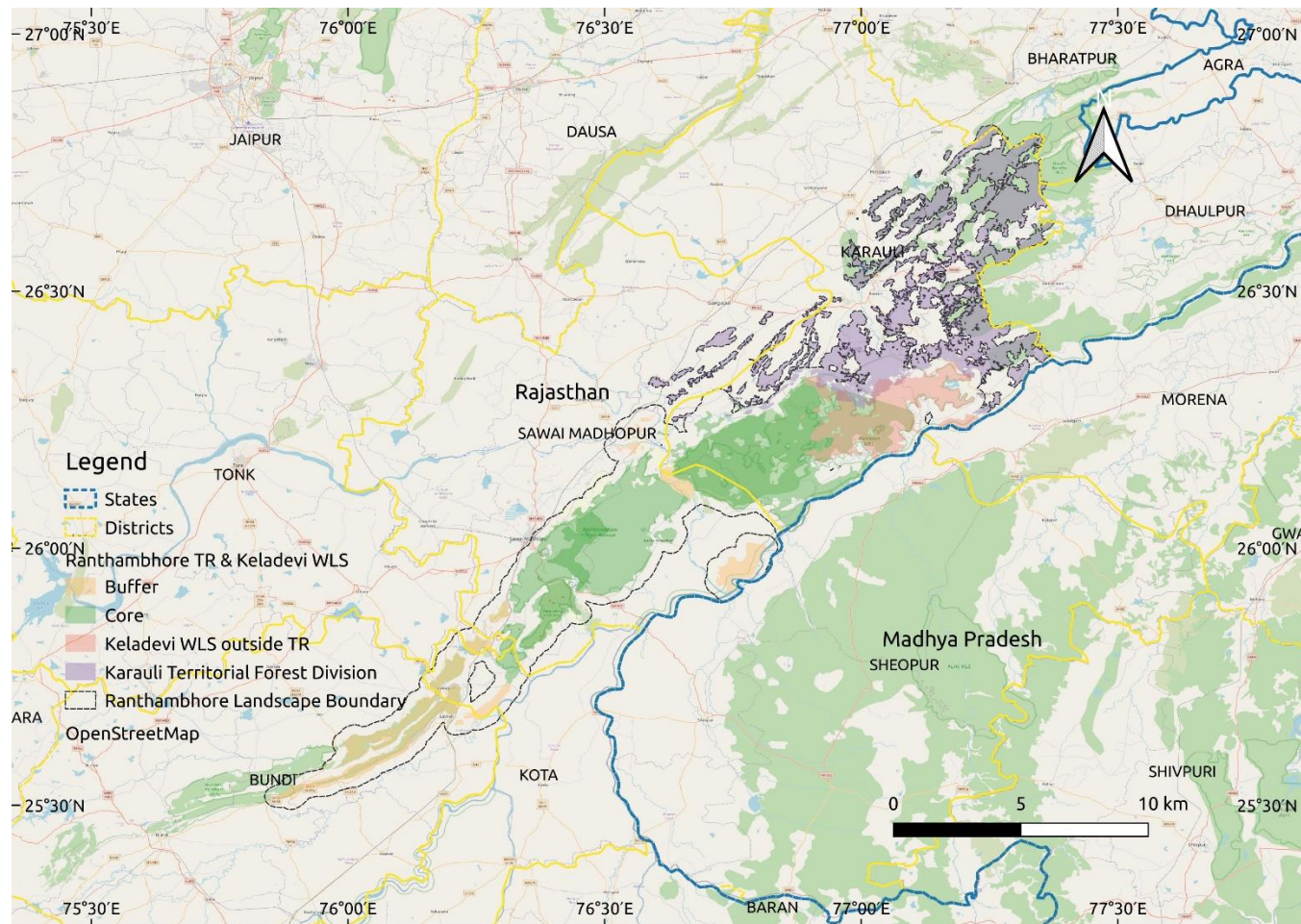
Province: Arunachal Pradesh

District / City / County: West Kameng District

Area: 217 km<sup>2</sup>

Elevation Range: 334-3213 m





**Figure A1-4: Map of Ranthambhore Landscape, Rajasthan**

**Map Disclaimer:** The designations of the geographical entities and the presentation of the material do not imply the expression of any opinion whatsoever concerning the legal status of any country, territory, or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

**Landscape Coordinates:** Lower left- 75°50'14.429"E, 25°29'29.81"N; Upper Right -77°22'43.774"E, 26°49'21.398"N

**Area:** 3974 km<sup>2</sup>

***Protected Areas***

***Ranthambore Tiger Reserve, incorporating:***

Name: Ranthambore National Park (1980)

WDPA site code: 1808

Status: National Park

Location: 26° 02' 14" N, 76° 28' 50" E

Province: Rajasthan

District / City / County: Sawai-Madhopur District

Area: 392 km<sup>2</sup>

Elevation Range: 200-500 m

Name: Keladevi WLS (1983)

WDPA site code: 17380

Status: Wildlife Sanctuary

Location: 26° 02' 14" N, 76° 28' 50" E

Province: Rajasthan

District / City / County: Karauli District

Area: 630 km<sup>2</sup>

Elevation Range: 200-500 m

Name: Sawai-Mansingh WLS (1984)

WDPA site code: 17379

Status: Wildlife Sanctuary

Location: 26° 02' 14" N, 76° 28' 50" E

Province: Rajasthan

District / City / County: Sawai-Madhopur District

Area: 290 km<sup>2</sup>

Elevation Range: 200-500 m

**Annex 2: Multi-Year Work Plan**

**A. UNDP-supported Components 1 and 4**

*Note – Implementation will take place across 5 years out of a 6 year period, with only limited project management start up activities in the first half of Year 1 and winding down activities in the second half of Year 6.*

| Outcomes  | Outputs    | Activities | Year 1* |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |  |  |  |  |
|-----------|------------|------------|---------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--|--|--|--|
|           |            |            | Q1      | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |  |  |  |  |
| Start-up  | Wrap-up    |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
| Outcome 1 | Output 1.1 | 1.1.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.1.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.1.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.1.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.1.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.1.6      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           | Output 1.2 | 1.2.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.6      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.7      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.2.8      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           | Output 1.3 | 1.3.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.3.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.3.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|           |            | 1.3.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
| 1.3.5     |            |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
| 1.3.6     |            |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |

| Outcomes   | Outputs    | Activities | Year 1* |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |  |  |
|------------|------------|------------|---------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--|--|
|            |            |            | Q1      | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |  |  |
|            |            | 1.3.7      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            | Output 1.4 | 1.4.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.4.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.4.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.4.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.4.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            | Output 1.5 | 1.5.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.5.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.5.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.5.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 1.5.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            | Outcome 4  | Output 4.1 | 4.1.1   |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            |            | 4.1.2   |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            |            | 4.1.3   |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            |            | 4.1.4   |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
| 4.1.5      |            |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
| 4.1.6      |            |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
| Output 4.2 |            | 4.2.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.2.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.2.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.2.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
| Output 4.3 |            | 4.3.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.3.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.3.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.3.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            |            | 4.3.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |
|            | 4.4.1      |            |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |

| Outcomes | Outputs    | Activities | Year 1* |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |  |  |  |  |
|----------|------------|------------|---------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--|--|--|--|
|          |            |            | Q1      | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |  |  |  |  |
|          | Output 4.4 | 4.4.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.6      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.7      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.8      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.4.9      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          | Output 4.5 | 4.5.1      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.2      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.3      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.4      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.5      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.6      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.7      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.8      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |
|          |            | 4.5.9      |         |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |  |  |  |

**B. WWF-supported Components 2 and 3**

| Outcome   | Output     | Activity | Year 1 |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |  |
|-----------|------------|----------|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--|
|           |            |          | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |  |
| Start-up  | Wrap-up    |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
| Outcome 2 | Output 2.1 | 2.1.1    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.7    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.8    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.1.9    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           | Output 2.2 | 2.2.1    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.2.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.2.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.2.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.2.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 2.2.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
| 2.2.7     |            |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
| 2.2.7     |            |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
| Outcome 3 | Output 3.1 | 3.1.1    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |
|           |            | 3.1.7    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |  |

| Outcome    | Output     | Activity | Year 1 |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |
|------------|------------|----------|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|
|            |            |          | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |
|            |            | 3.1.8    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.1.9    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | Output 3.2 | 3.2.1    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.2.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.2.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.2.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.2.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.2.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | Output 3.3 | 3.3.1    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.3.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.3.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.3.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.3.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            |            | 3.3.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
| 3.3.7      |            |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
| 3.3.8      |            |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
| Output 3.4 | 3.4.1      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.2      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.3      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.4      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.5      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.6      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.7      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.8      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.9      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.4.10     |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|            | 3.5.1      |          |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |

| Outcome | Output     | Activity | Year 1 |    |    |    | Year 2 |    |    |    | Year 3 |    |    |    | Year 4 |    |    |    | Year 5 |    |    |    | Year 6 |    |    |    |
|---------|------------|----------|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|--------|----|----|----|
|         |            |          | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 | Q3 | Q4 |
|         | Output 3.5 | 3.5.2    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|         |            | 3.5.3    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|         |            | 3.5.4    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|         |            | 3.5.5    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|         |            | 3.5.6    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |
|         |            | 3.5.7    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |        |    |    |    |



**Annex 3: Monitoring Plan:**

This Monitoring Plan and the M&E Plan and Budget in Section VI of this project document will both guide monitoring and evaluation at the project level for the duration of project implementation.

See separate file

**Annex 4: Social and Environmental Screening Procedures (SESP)**

See separate file

## Annex 5: UNDP ATLAS Risk Register

| # | Description  | Risk Category  | Impact & Likelihood   | Risk Treatment / Management Measures   | Risk Owner  |
|---|--|--|---|--|---|
|   | <p>Enter a brief description of the risk. Risk description should include future event and cause.</p> <p>Risks identified through HACT, PCAT, SES, Private Sector Due Diligence, and other assessments should be included.</p> | <p>Social and Environmental<br/>Financial<br/>Operational<br/>Organizational<br/>Political<br/>Regulatory<br/>Strategic<br/>Other<br/>Subcategories for each risk type should be consulted to understand each risk type (see UNDP Enterprise Risk Management Policy)</p> | <p>Describe the potential <b>effect</b> on the project if the future event were to occur.</p> <p>Enter <b>likelihood</b> based on 1-5 scale (1 = Not likely; 5 = Expected)</p> <p>Enter <b>impact</b> based on 1-5 scale (1 = Negligible 5 = Extreme)</p> <p><i>Based on Likelihood and Impact, use the Risk Matrix to identify the Risk Level (high, Substantial, Moderate or Low)</i></p>                     | <p>What actions have been taken/will be taken to manage this risk.</p>   | <p>The person or entity with the responsibility to manage the risk.</p> |
| 1 | <p>Lack of ownership and support of different levels of government institutions could obstruct project implementation</p>  | Operational  | <p>Overlapping mandates of central government units, and challenges in cooperation during project preparation indicate that there is a risk of non-cooperation due to institutional mandate and other factors.</p> <p><b>Moderate</b><br/>L= 3<br/>I= 3</p>   | <p>Project Steering Committee to promptly review and decide on any such issues arising during implementation.</p>  | Project Manager   |
| 2 | <p>Government staff turnover may impede project implementation: inexperienced staff may therefore have to lead on some activities.</p>   | Operational  | <p>Regular staff turnover is a normal feature of the Government of India civil service. As a consequence, staff that have gained knowledge, played important coordination roles or taken part in training activities may be transferred to a role that does not allow their experience to be gainfully applied. The project would then have to repeat such orientation and training with replacement staff.</p> | <p>The project generally aims to build capacity within the government agencies involved in landscape conservation and related issues, and will train staff from each competent authority, as well as other related agencies. This will increase the depth of experience and skills available both for the project and future work.</p> | Project Manager   |

| # | Description   | Risk Category | Impact & Likelihood                | Risk Treatment / Management Measures  | Risk Owner      |
|---|---|---------------|------------------------------------|---|-----------------|
|   |   |               | <b>Low</b><br>L= 3<br>I= 2         |   |                 |
| 3 | Complex fund flow mechanisms and low capacity to disburse project funds efficiently   | Operational   | <b>Substantial</b><br>L= 3<br>I= 4 | Project fund flow has been arranged through GTF to facilitate efficient transfer (see Governance and Management Arrangements section). Project Steering Committee to promptly review and propose solutions to any significant problems or delays impacting disbursement and progress of planned activities.   | Project Manager |
| 4 | Institutions governing PA buffer areas, Eco-Sensitive Zones and adjacent production landscape areas have inadequate capacity or resources for integrated natural resource planning and management | Strategic     | <b>Moderate</b><br>L= 3<br>I= 3    | The project will enhance capacities of gram panchayats, villages, community groups and District level government staff for sustainable, community-based approaches for landscape conservation. This will involve building institutional and community capacity to implement interventions to reduce deforestation and habitat degradation, and providing technical training and resources for community based approaches to wildlife conservation.  | Project Manager |
| 5 | Intended project outcomes for landscape conservation, ecosystem management and restoration and CBNRM are undermined by climate change and variability, and natural disasters.                     | Environmental | <b>Low</b><br>L= 3<br>I= 2         | The project's landscape conservation approach will incorporate climate vulnerability assessment and adaptation measures as far as possible, in order to respond to climate change risks identified during screening (see Annex 26) and incorporate both ecosystem-based adaptation and disaster risk reduction considerations into planning for habitat management, community livelihoods and land management practices. This will take into account, for example, increased climatic variability, increase in frequency and intensity of natural disasters such as droughts and floods, and ecological shifts. | Project Manager |

| # | Description  | Risk Category | Impact & Likelihood  | Risk Treatment / Management Measures   | Risk Owner      |
|---|--|---------------|--|--|-----------------|
| 6 | Increasing human populations combined with increasing wildlife populations in PA, buffer and corridor areas will increase the prevalence of HWC, potentially exacerbated by COVID19-induced movements of people  | Strategic     | Wildlife populations in and around PAs are increasing as a result of conservation efforts, while at the same time human population density and development is increasing in the production landscapes around the PAs (see night-time photo sequences in landscape profiles, <b>Annex 17</b> ). This increasing interface inevitably results in the increased occurrence of HWC, which may be locally severe. Movements of urban workers back to their home villages due to COVID19 impacts on employment may swell rural populations, increase dependency on natural resources, and increase HWC<br><br><b>Substantial</b><br>L= 3<br>I= 4 | Build capacity of local government to respond to HWC through a strategic approach that: reduces opening of new farmland and settlement in HWC sensitive areas; reduces existing HWC in targeted hotspots through locally appropriate preventive measures and technologies; facilitate the simplification of compensation processes for fair settlement of legitimate claims. Increase awareness of the risk of zoonotic disease transmission between wildlife and people to encourage reduction of the human-wildlife interface. Factor in local COVID19 related impacts in HWC mitigation planning.   | Project Manager |
| 7 | Regional development priorities for settlements, agricultural and irrigation schemes, transportation infrastructure, hydropower and industry take precedence over conservation and NRM plans supported by the project, potentially exacerbated by changes in government priorities related to COVID19 recovery | Strategic     | The central and State governments have a mandate for development that does not always address impacts on biodiversity, ecosystem integrity and the provision of ecosystem services. This often drives the fragmentation of forested landscapes and wildlife populations, direct loss of wildlife habitats and roadkill mortality. New government programmes supporting post-COVID economic recovery may shift priorities and/or over-ride normal planning processes<br><br><b>Substantial</b><br>L= 3<br>I= 4  | This is a systemic problem requiring the mainstreaming of environmental and biodiversity safeguards into development planning. This is a complex issue that requires substantial resources to address across three States. The project will support this as far as possible through awareness raising, development of landscape conservation strategies, capacity development for key sectors and engagement of all sectors in project planning and implementation. Project awareness raising will emphasize the importance of ecosystem services and sustainable use of natural resources in increasing the resilience of COVID-affected communities. | Project Manager |
| 8 | Improvements to agriculture, livestock management and other livelihood improvements increase pressures on land and natural resources   | Strategic     | Project supported livelihood improvements may increase production and intensity of land use, and attract local population growth. This might create additional pressure on the environment<br><br><b>Low</b>   | The project activities are carefully designed and will require careful implementation and monitoring to ensure that the intended effects of improving the sustainability of land use and reducing environmental damage result from the   | Project Manager |

| #  | Description   | Risk Category | Impact & Likelihood   | Risk Treatment / Management Measures  | Risk Owner      |
|----|---|---------------|---|---|-----------------|
|    |   |               | L = 2<br>I = 2  | planned activities – in addition to benefiting local communities.   |                 |
| 9  | Risk that livelihood incentives are insufficient to change behaviour towards achieving intended conservation outcomes, potentially exacerbated by COVID19 impacts   | Strategic     | The project will support a range of livelihood diversification activities with the aim of reducing unsustainable land uses that impact natural habitats, but these may not be sufficient or sustainable, especially in view of potentially increased economic hardship associated with COVID19 impacts<br><br>Moderate<br>L=3<br>I=3  | Incentives and technical assistance for livelihood diversification will be targeted in specific areas where there are clear issues to resolve, including support for COVID19 affected communities. Proposals for livelihoods will be based on consultation and agreement of local communities, and socialized before uptake. As far as possible these incentives and TA will be mainstreamed within government programmes and conducted with line agency support for greater sustainability.  | Project Manager |
| 10 | Risk that targeted communities are not motivated to participate in sustainable livelihood activities that support landscape conservation for the benefit of small cats and other wildlife, potentially exacerbated where increased access to natural resources is important to buffer livelihood impacts due to COVID19 | Operational   | The project will target certain communities in key areas of the project landscapes to conduct livelihood diversification activities, small cat monitoring, habitat restoration and other activities. Some communities may not wish to participate if they feel that their access to natural resources may be affected.<br><br>Moderate<br>L=3<br>I=3  | Consultations during the PPG covered many communities in the project landscapes, including assessment of their potential for engagement. A number of communities stressed concerns that access to natural resources should not be affected, also reflected in the social safeguards assessments by UNDP and WWF. To counter this risk, the project will follow a consultative process including FPIC with the concerned communities, and any activities will only be conducted with the full agreement of the communities. The project will emphasize livelihood support to COVID19 affected communities. | Project Manager |
| 11 | The joint GEF agency oversight may pose a risk of confusion and conflict in safeguard management responsibilities   | Operational   | Management of potential adverse social and environmental impacts associated with activities of the UNDP and WWF supported GEF funded Project will be done in line with the requirements of the UNDP Social and Environmental Standards (SES) and WWF's Environment and Social Safeguards Integrated Policies and Procedures (SIPP) respectively. For safeguards purposes, Component 1 and 4 will be implemented by UNDP GEF Agency and components 2 and 3 will be implemented by WWF GEF Agency. Such joint oversight | Clear division of responsibilities has been agreed for management of E&S Safeguards. UNDP's Social and Environmental Standards (SES) will apply to activities funded under component 1 and 4 whereas WWF's Environment and Social Safeguards Integrated Policies and Procedures (SIPP) will apply to activities funded under component 2 and 3.   | Project Manager |

| #  | Description   | Risk Category | Impact & Likelihood  | Risk Treatment / Management Measures   | Risk Owner      |
|----|---|---------------|--|--|-----------------|
|    |   |               | responsibilities may create confusion and chances of overlooking could be high, particularly when issues/risks are equally applicable for all components.<br><br>Low<br>L=2<br>I=2   | In addition, coordination between two GEF agencies will be put in place. The National Safeguards and M&E Officer in the PMU has the specific role of ensuring coordination on safeguards oversight and monitoring between the two GEF Agencies. The two GEF Agencies will also maintain regular coordination on the issue and potential escalation of safeguard risks or emergence of new risks will be assessed in a coordinated way through the annual PIR process that will be led by UNDP.   |                 |
| 12 | Risk of COVID19 impacts undermining project plans to support ecotourism development in project landscapes | Strategic     | In Q1-2 of 2020, COVID19 has had a massive impact on tourism globally and nationally. It is unclear how quickly and to what extent the industry will recover.<br>Moderate<br>L=3<br>I=3  | Project plans for ecotourism development will continue in tandem with other livelihood diversification efforts. These intervention plans should be reviewed on a regular basis once implementation starts in consultation with national and state tourism authorities, and if necessary, other options for sustainable livelihoods considered in the event that the economic viability of ecotourism development in the project landscapes is considered a major risk. Support for ecotourism development will prioritize alternatives to homestays, such as camp and basic chalet facilities that allow mitigation of COVID-19 risks through measures such as social distancing). | Project Manager |
| 13 | Risk of the ongoing COVID-19 Pandemic and other human disease outbreaks affecting project implementation  | Operational   | During project preparation, the COVID-19 pandemic halted all international travel and social distancing measures prevented PPG stakeholder meetings taking place from March 2020. At the time of writing (May 2020), the scale, duration and impact of this pandemic upon project implementation cannot be confirmed, but it has the potential to be High. MoEFCC Wildlife Division issued an advisory for National Parks, Sanctuaries and Tiger Reserves staff on 6 April 2020 on response to COVID-19. | The project will comply with government directives in order to reduce health risks to project staff and stakeholders. Project start up could be delayed if necessary due to ongoing health risks and operational constraints caused by social distancing, self isolation and other measures. Flexibility has been provided in the project budget through allowing a six month buffer at each end for project start-up and completion delays. Implementation may  | Project Manager |

| #  | Description   | Risk Category | Impact & Likelihood   | Risk Treatment / Management Measures  | Risk Owner      |
|----|---|---------------|---|---|-----------------|
|    |   |               | I=5, L=4<br>High  | <p>be paused if necessary in affected areas while government disease prevention or control measures are implemented, and resumed at a later time if feasible. The Project Steering Committee will guide project responses through email correspondence for ongoing situations, as required. Revision of the project workplan may be necessary, and an extension request may be required if implementation is substantially delayed. Some adaptive adjustment may be needed to project strategy (e.g. on ecotourism development, business partnerships, or local hunting issues).</p> <p>Project support for PPE and IT communications to facilitate remote working will be provided through Outputs 4.2 and 4.4.</p>          |                 |
| 14 | Impacts of exchange rate fluctuations on the budget available to support implementation plans, economic recession and changes in government priorities impacting delivery of cofinancing commitments for project implementation | Financial     | <p>The early stages of the COVID-19 pandemic in the first quarter of 2020 have seen the greatest disruption of financial markets and currencies in recent decades. This has strengthened the USD against local currencies, with exchange rates extremely dynamic at the time of writing (March 2020) adding uncertainty to the budgeting of activities. There is a significant risk of global economic recession impacting national economies, and changes in government priorities for COVID19 response that may cause delay in government cofinancing and delivery of business partnership financing for project implementation.</p> <p>I=5, L=4<br/>High</p> | <p>The GEF budget will be reviewed during project inception and any necessary measures taken to address any shortfalls due to exchange rate fluctuations between the GEF approved budget and project start up. Annual budget reviews should track and respond to subsequent fluctuations. Changes in the scope or timing of planned activities may be necessary through workplan adjustments. The Project Steering Committee should monitor and address significant financial constraints arising due to exchange rate fluctuations and any delays or failures in the delivery of government cofinancing and business partnership financing delivery that may have been impacted by changes in priorities due to COVID19.</p> | Project Manager |
| 1  | Upstream risk of restriction of access/use of natural resources   | Social        | Tribal/indigenous communities may be excluded from the master planning processes and/or project benefits  | See SESP for details (entries are long)   | Project Manager |



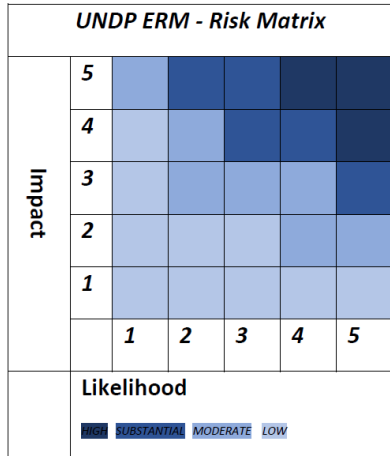
| # | Description   | Risk Category | Impact & Likelihood  | Risk Treatment / Management Measures    | Risk Owner      |
|---|---|---------------|--|---|-----------------|
|   | and displacement of IPs/ tribal communities through preparation of landscape-level master plans including management of ESZ for wild cat conservation.                        |               | and access to basic services or resources may be restricted while implementing species recovery action plans.<br>I = 3<br>L = 3<br>Moderate  |   |                 |
| 2 | Risk of curtailing of customary natural resource management rights of tribal communities in project landscape through operational policy and plans for wild cat conservation. | Social        | Although the project doesn't have any direct impact on community rights, the activities under Outputs 1.2, 1.3 and 1.4 are intended to strengthen wild cat conservation, which could potentially include regulations / restrictions on management rights / access to and use of resources through the updating of operational policies, plans and guidelines. Thus, the rights of tribal/indigenous peoples may be curtailed while developing or updating these operational policies, plans and guidelines, or improper application of policies and plans could result in unintended impacts and restrictions.<br>I = 2<br>L = 3<br>Moderate   | See SESP for details (entries are long) | Project Manager |
| 3 | Risk of low capacity to implement project activities which could impede compliance with UNDP social and environmental safeguards.   | Social        | This risk applies for multiple outputs under components 1 and 4. Officials of State and District Forest Departments including technical agencies/consultants under these department have limited capacity to apply SOPs, particularly for HWC management, and strengthening human-rights approaches to wildlife-related law enforcement under Output 1.3, activities 1.3.4 and 1.3.5. These specific SOPs must be compatible with SES requirements. Risks of limited capacity of State and District agencies also applies to implement Site-specific guidelines (Output 1.4) and Capacity development for mainstreaming wild small cat conservation in landscape management (Output 1.5). Similarly, issues of low or limited capacity such as lack of knowledge regarding small cats and their conservation, human rights and economic rights of local community, sustainable natural resource management may limit the success of implementation of output 4.1 (development of National- | See SESP for details (entries are long) | Project Manager |

| # | Description   | Risk Category | Impact & Likelihood   | Risk Treatment / Management Measures    | Risk Owner      |
|---|---|---------------|---|---|-----------------|
|   |   |               | level Green Business platform for enhancing corporate sector engagement for community-based wildlife conservation.<br><br>I = 3<br>L = 3<br>Moderate  |   |                 |
| 4 | Project may exclude marginalized/vulnerable groups from participatory processes and/or project benefits due to lack of effective community engagement and support   | Social        | The findings of community consultations conducted during PPG suggest that marginalized groups including tribal, scheduled caste and youth have limited access to information and awareness of their rights and entitlements, and therefore there is a risk that they might not be aware of or included in project consultations and activities.<br><br>I = 3<br>L = 3<br>Moderate   | See SESP for details (entries are long) | Project Manager |
| 5 | Project activities and approaches to landscape-level planning, operational policy updates, capacity building and corporate sector engagements might not fully incorporate or reflect views of women and girls and ensure equitable opportunities for their involvement and benefit. | Social        | Despite participatory processes for planning in Component 1, the risk of exclusion of women and girls while developing the national-level green business platform for enhancing corporate sector engagement for community-based wildlife conservation (Output 4.1) still exists due to the existing gender divisions of labor, gender-specific challenges, gender differential needs, and the different roles that men and women play in relation to the conservation of wild cats.<br><br>I = 3<br>L = 3<br>Moderate | See SESP for details (entries are long) | Project Manager |
| 6 | The project landscapes could be impacted by the Supreme Court's ruling that over a million claims of Scheduled Tribes and other Traditional Forest Dwellers are not valid and shall be evicted from forests by July 2019. Though the court later stayed its order to give states    | Social        | This risk is not directly related to the project or any of the co-financing. If the Supreme Court's decisions will be strictly implemented, it may trigger conflicts between State governments and Scheduled Tribes and other Traditional Forest Dwellers which could disrupt project implementation.<br><br>I = 4<br>L = 2<br>Moderate   | See SESP for details (entries are long) | Project Manager |

| # | Description   | Risk Category | Impact & Likelihood  | Risk Treatment / Management Measures   | Risk Owner      |
|---|---|---------------|--|--|-----------------|
|   | more time to examine the rejected land claims, this could potentially result in conflict in demonstration landscapes and disrupt project implementation.  |               |  |  |                 |
| 7 | Entry of the private sector in conservation (enhancing corporate sector engagement for community-based wildlife conservation) could result in risk through partnership with private sector entities that have poor SES track record or risk altering traditional patterns of natural resource use and social power. | Social        | <p>This risk is related with project output 4.1 (promotion of corporate sector engagement for community-based wildlife conservation).</p> <p>This may expose risk on potential partnerships with private sector entities that do not have a good SES/CSR track record or risk to indigenous and tribal communities' traditional resource use and access to lands if conservation activities are not delivered in a way that identifies and mitigates potential SES impacts.</p> <p>I = 3<br/>L = 2<br/>Moderate</p>  | See SESP for details (entries are long)  | Project Manager |
| 8 | Risk of the project supporting private sector entities that could be noncompliant with national and international labor standards (i.e. principles and standards of ILO).   | Social        | <p>Implementation of pilot initiatives of conservation business (output 4.1) will comprise a number of interventions targeted on specific sectors that involve hiring of staff and works. Development of business partnerships and implementation of pilot initiatives on the identified areas of interest and other interventions may also require additional workforce. These initiatives will also help in escalating the development activities around the project site which will demand a constant supply of labor for many sectors.</p> <p>I = 3<br/>L = 2<br/>Moderate</p> | See SESP for details (entries are long)  | Project Manager |
| 9 | The intended outcomes of (Outputs 1.1, 1.2, 1.3, 1.4 and 4.1) of the Project could be sensitive or vulnerable to potential impacts of climate change and variability, and natural disasters.  | Environmental | <p>The three project landscapes are geographically and climatically distinct, yet all are subject to stresses associated with climate change, including droughts, floods and erratic monsoon rains. These short-term events can have serious local impacts on wildlife including direct mortality and dispersion into human-dominated</p>  | A climate risk screening has been completed and is included as Annex 28. The potential climate change impacts/vulnerabilities will be considered while selecting corporate investments and | Project Manager |

| #  | Description  | Risk Category | Impact & Likelihood  | Risk Treatment / Management Measures  | Risk Owner      |
|----|--|---------------|--|---|-----------------|
|    |  |               | <p>areas. Longer term trends affect ecological zones, species distribution, phenology, occurrence of IAS etc.</p> <p>I = 2<br/>L = 3<br/>Moderate</p>  | <p>designing master planning process for landscape conservation through:</p> <ol style="list-style-type: none"> <li>1. Screening of climate change vulnerabilities for all corporate sponsored projects in Output 4.1 and master planning process (1.1), preparation and implementation of recovery action plans (1.2), protocol and standard operating procedures (SOPs) (1.3) and Site-specific guidelines for small cat conservation (1.4).</li> <li>2. Integration of inputs from Eco-Sensitive Zone management and climate-smart land use considerations.</li> </ol> <p>The project's landscape conservation approach will incorporate climate vulnerability assessment and adaptation measures as far as possible, to identify potential climate change impacts and incorporate both ecosystem-based adaptation and disaster risk reduction considerations into master planning process, preparation and implementation of recovery action plans, protocol and standard operating procedures (SOPs) and Site-specific guidelines for small cat conservation and corporate sponsored projects. This will consider, for example, increased climatic variability, increase in frequency and intensity of natural disasters such as droughts and floods, and ecological shifts.</p> |                 |
| 10 | Risk that coordination and knowledge exchange actions on transboundary conservation could perpetuate potential safeguards risks linked to law enforcement. | Social        | A 'roadmap' for transboundary coordination on law enforcement will be developed based on the framework of existing transboundary agreements and the roadmap will identify the areas for collaboration. | A screening process will be put in place to identify and avoid potential safeguards impacts of the 'roadmap' developed for transboundary coordination on law enforcement for conservation (4.3.1). As   | Project Manager |

| # | Description | Risk Category | Impact & Likelihood   | Risk Treatment / Management Measures  | Risk Owner |
|---|-------------|---------------|---|---|------------|
|   |             |               | <p>Nepal, Bhutan and India may have different legislative measures and approaches of law enforcement for conservation and transboundary coordination and differing levels of capacity and there is the risk that the roadmap could include activities or actions that could result in perpetuation of potential safeguards risks linked to law enforcement if sufficient measures, norms and capacity are not in place.</p> <p>I = 2<br/>L = 3<br/>Moderate</p> | needed mitigating measures such as need for capacity development and agreement on law enforcement approaches and standards will be captured in the roadmap development. |            |



## Annex 6: Overview of Technical Consultancies/Subcontracts

| Consultant/Individual/Contractor  | Time Input                                 | Tasks, Inputs and Outputs  |
|---|--|--|
| <b>Project Management</b>   |  |  |
| <b>Local / National contracting:</b>  |  |  |
| <p><b>Project Manager</b><br/>USD 2,000 per month (of which 50% cofinanced by GTF, so \$1000/month under GEF funds)</p> | <p>12 months per year; 60 months total</p> | <p>The Project Manager will be responsible for the overall management of the project, including the mobilisation of project inputs, supervision over project staff, consultants and sub-contractors.</p> <p><u>Duties and Responsibilities</u></p> <ul style="list-style-type: none"> <li>• Manage the overall conduct of the project.</li> <li>• Plan the activities of the project and monitor progress against the approved annual workplan.</li> <li>• Overall responsibility to ensure that the project complies with WWF’s Environment and Social Safeguards Integrated Policies and Procedures (SIPP) and UNDP’s Environment and Social Safeguards.</li> <li>• Execute activities by managing personnel, goods and services, training and low-value grants, including drafting terms of reference and work specifications, and overseeing all contractors’ work.</li> <li>• Monitor events as determined in the project monitoring plan, and update the plan as required.</li> <li>• Provide support for completion of assessments required by UNDP, spot checks and audits.</li> <li>• Manage requests for the provision of UNDP financial resources through funding advances, direct payments or reimbursement using the FACE form.</li> <li>• Monitor financial resources and accounting to ensure the accuracy and reliability of financial reports.</li> <li>• Monitor progress, watch for plan deviations and make course corrections when needed within project board-agreed tolerances to achieve results.</li> <li>• Ensure that changes are controlled and problems addressed.</li> <li>• Perform regular progress reporting to the project board as agreed with the board, including measures to address challenges and opportunities.</li> <li>• Prepare and submit financial reports to UNDP and WWF on a quarterly basis.</li> <li>• Manage and monitor the project risks – including social and environmental risks - initially identified and submit new risks to the Project Board for consideration and decision on possible actions if required; update the status of these risks by maintaining the project risks log;</li> <li>• Capture lessons learned during project implementation.</li> <li>• Prepare revisions to the multi-year workplan, as needed, as well as annual and quarterly plans if required.</li> <li>• Prepare the inception report no later than one month after the inception workshop.</li> <li>• Ensure that the indicators included in the project results framework are monitored annually in advance of the GEF PIR submission deadline so that progress can be reported in the GEF PIR.</li> <li>• Prepare the GEF PIR;</li> <li>• Assess major and minor amendments to the project within the parameters set by UNDP-GEF;</li> </ul> |

| Consultant/Individual/Contractor  | Time Input                           | Tasks, Inputs and Outputs  |
|---|--------------------------------------|--|
|   |                                      | <ul style="list-style-type: none"> <li>• Monitor implementation plans including the gender action plan, stakeholder engagement plan, and any environmental and social management plans;</li> <li>• Monitor and track progress against the GEF Core indicators.</li> <li>• Support the Mid-term review and Terminal Evaluation process.</li> <li>• Discuss with the WWF and UNDP GEF Agencies any necessary changes to the project activities and budget, prior to making such changes</li> <li>• Provide a 6-month project progress report</li> </ul>  |
| <b>Project Administration and Finance Officer</b><br>USD 916.67 per month | 12 months per year; 60 months total  | The Project Assistant/Finance Officer will be responsible for finance, administration and IT. <ul style="list-style-type: none"> <li>• Keep records of project funds and expenditures, and ensure all project-related financial documentation are well maintained and readily available when required by the Project Manager;</li> <li>• Review project expenditures and ensure that project funds are used in compliance with the Project Document and Gol financial rules and procedures;</li> <li>• Validate and certify FACE forms before submission to UNDP;</li> <li>• Provide necessary financial information as and when required for project management decisions;</li> <li>• Provide necessary financial information during project audit(s);</li> <li>• Review annual budgets and project expenditure reports, and notify the Project Manager if there are any discrepancies or issues;</li> <li>• Consolidate financial progress reports submitted by the responsible parties for implementation of project activities;</li> <li>• Liaise and follow up with the responsible parties for implementation of project activities in matters related to project funds and financial progress reports.</li> <li>• Assist the Project Manager in day-to-day management and oversight of project activities;</li> <li>• Assist in the preparation of progress reports;</li> <li>• Ensure all project documentation (progress reports, consulting and other technical reports, minutes of meetings, etc.) are properly maintained in hard and electronic copies in an efficient and readily accessible filing system, for when required by PSC, UNDP, WWF project consultants and other PMU staff;</li> <li>• Provide PMU-related administrative and logistical assistance.</li> </ul> |
| <b>Professional Services for financial NIM auditor</b>                    | Annual service contract over 6 years | See UNDP standard TOR for this service.  |
| <b>Component 1</b>  |                                      |  |
| <b>Local / National contracting:</b>                                      |                                      |  |
| <b>Landscape Conservation Planner</b><br>USD 2,000/month                  | 30 months input over 6 years         | The Landscape Conservation Planner will work closely with the Project Manager to provide overall technical guidance and support for the accomplishment of all Component 1 Outputs, including:<br>Output 1.1: <ul style="list-style-type: none"> <li>• Facilitate District and State level consultations on landscape planning</li> </ul>   |

| Consultant/Individual/Contractor  | Time Input       | Tasks, Inputs and Outputs  |
|---|------------------|--|
|   |                  | <ul style="list-style-type: none"> <li>• Facilitate the coordination and integration of conservation and rural development initiatives to reduce inter-sectoral conflicts</li> <li>• Facilitate the integration of master plans with existing planning processes, including Tiger Conservation Plans and on-going government/partner initiatives e.g.: State Biodiversity Strategy and Action Plans</li> <li>• Facilitate the integration of master plans into State and District-level development and land use planning processes, including Eco-Sensitive Zone management, wild cat habitat connectivity, and climate-smart land use considerations</li> </ul> <p>Output 1.2:</p> <ul style="list-style-type: none"> <li>• Coordinate the proposed Task Force facilitated by experts on wild cats, and facilitate extensive stakeholder consultation at national and state levels in order to gather relevant information on wild cats to inform conservation assessment, distribution atlas and gap analysis</li> <li>• Provide technical advice and workshop facilitation on landscape planning for species recovery plans for selected small wild cat species</li> </ul> <p>Output 1.3:</p> <ul style="list-style-type: none"> <li>• Provide technical advice and workshop facilitation on landscape planning for protocol and SOPs on small wild cat management</li> <li>• Facilitate the development of a national strategy for small wild cat conservation, by learning from good practices from across diverse habitats and regions of India that will help to inform an integrated model for small wild cat conservation through this project.</li> </ul> <p>Output 1.4:</p> <ul style="list-style-type: none"> <li>• Provide technical advice and workshop facilitation on landscape planning for the development guidelines on management of habitats for wild cat populations</li> </ul> <p>Output 1.5:</p> <ul style="list-style-type: none"> <li>• Provide training inputs on landscape conservation planning for State and District agencies</li> </ul> <p>All Outputs</p> <ul style="list-style-type: none"> <li>• Capture best practices and lessons learned and facilitate their documentation, dissemination and replication/upscaling</li> </ul> |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to GTF for development of landscape master plans</p> | <p>Years 1-4</p> | <p>Output 1.1:</p> <ul style="list-style-type: none"> <li>• Coordinate and facilitate site level stakeholder assessment workshops - identification and appraisal of stakeholders in the targeted landscapes of Dudhwa and Pakke-Eaglenest</li> <li>• Coordinate and facilitate District/State level consultations/workshops for master planning in each landscape including Eco-Sensitive Zones - consultation on existing policies, plans, state &amp; national level schemes, defining clusters at Dudhwa TR, Pakke TR and Eagle Nest WLS</li> <li>• Coordinate and facilitate Master plan preparation for each landscape through Technical Agency/Consultant/Department inputs – including field team deployment, data collection, community focus</li> </ul>   |



| Consultant/Individual/Contractor   | Time Input       | Tasks, Inputs and Outputs  |
|--|------------------|--|
|  |                  | <p>group discussions, mapping, assessment, plan preparation, etc (see Annex 23 for additional design considerations)</p> <ul style="list-style-type: none"> <li>• Coordinate the editing, designing, printing and dissemination of the master plans</li> <li>• Facilitate the integration of recommendations from master planning process and activities in Components 2&amp;3 into Tiger Conservation Plans and on-going government/partner initiatives E.g.: State Biodiversity Strategy and Action Plans, through field level meetings/consultations with Tiger Reserve management/field officials and technical assistance toward integration of master plans into Tiger Conservation Plans and on-going Govt. initiatives.</li> <li>• Facilitate the integration of inputs from the master planning process into State and District-level development and land use planning processes, including Eco-Sensitive Zone management, wild cat habitat connectivity, and climate-smart land use considerations.</li> </ul>  |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to GTF for development of database, atlas and mobile phone application on wild cat monitoring</p> | <p>Years 1-4</p> | <p>Output 1.2:</p> <ul style="list-style-type: none"> <li>• Coordinate and facilitate National and State Consultations/Workshops (5) for inputs from stakeholders and conservation partners to gather data on wild cat distribution, prey base, habitats and threats (including citizen science approach)</li> <li>• Provide technical inputs, coordination and facilitation support for database establishment, data collection, analysis and compilation (see Annex 23 for additional design considerations).</li> <li>• Lead development of a mobile phone App to support the monitoring of small cats and other wildlife and community-based monitoring of wild cats in Output 3.3 through a citizen science approach to populate the database and atlas.</li> <li>• Coordinate field work for macro surveys and species distribution assessment of targeted regions according to available resources</li> <li>• Coordinate the compilation, design, printing and electronic publication of a national wild cat distribution atlas</li> <li>• Coordinate and facilitate consultation workshops (3) to determine recovery actions focused on the targeted species</li> <li>• Coordinate the development of draft national recovery action plans and national strategy for small wild cat conservation</li> <li>• Coordinate stakeholder review and input to drafts</li> <li>• Coordinate editing, designing, printing, release and dissemination of action plans</li> </ul> |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to GTF for developing protocol and SOPs for wild cat conservation</p>                             | <p>Years 2-3</p> | <p>Output 1.3:</p> <ul style="list-style-type: none"> <li>• Convene workshops with scientific institutes and Government agencies to develop a Protocol for population assessment and monitoring status for wild cats, their habitat and prey</li> <li>• Provide technical inputs for drafting of Protocol (see Annex 23 for additional design considerations)</li> <li>• Coordinate editing, designing, printing and dissemination of Protocol</li> <li>• Convene workshops and consultations with stakeholders and partners for customization of existing SoP for human-wildlife conflict (HWC) mitigation with a special focus on wild cats, SoP on human-rights approach to site-based law enforcement, and other SoPs identified as priorities.</li> </ul>   |

| Consultant/Individual/Contractor  | Time Input | Tasks, Inputs and Outputs  |
|---|------------|--|
|   |            | <ul style="list-style-type: none"> <li>• Provide technical inputs to customize the SoP on HWC, draft SoP on human-rights approach to site-based law enforcement and other priority SoPs.</li> <li>• Coordinate the editing, designing and printing of SoPs</li> <li>• Convene evaluation workshops to confirm best practices and disseminate results (using NTCA Tiger Landscapes as units)</li> </ul>   |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract to environmental NGOs / academic institutions for developing guidelines for wild cat conservation                                | Years 3-4  | Output 1.4: <ul style="list-style-type: none"> <li>• Convene and facilitate a national expert workshop and consultations to determine the priority subjects for guidelines for small wild cat conservation across India and draft a framework based on the outcomes of the national workshop;</li> <li>• For each landscape, confirm priority subjects based on the national framework and local consultations, and draft site-specific guidelines focused on key issues for the conservation of small wild cats taking into account the baseline assessment during project preparation (see Annex 18).</li> <li>• Convene and facilitate workshops for each project landscape to review the draft guidelines and the process for incorporating them into relevant plans. Determine information gaps and research needs, and responsible parties and coordination required for implementation of the guidelines;</li> <li>• Finalize the guidelines and socialize them with staff of the relevant agencies through seminars / training (coordinated with Output 1.3)</li> <li>• Coordinate with the Forest Dept, NTCA and other relevant agencies to support the incorporation of the guidelines into relevant work plans, TCPs, Management plans, etc.</li> </ul> |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract to environmental NGOs / academic institutions for developing and implementing training programme for State and District Agencies | Years 1-4  | Output 1.5: <ul style="list-style-type: none"> <li>• Validate and update baseline capacity scorecard assessments and training needs analyses conducted during project preparation (see Annexes 18 &amp; 19) at project inception stage;</li> <li>• Prepare capacity development, training and sensitization plans with related State and District agencies on issues such as sustainable agriculture, horticulture using reduced chemical inputs, eco-tourism, management of abandoned cattle, management of free-ranging dog populations, ‘wasteland’ management, etc.</li> <li>• Develop training materials appropriate for the targeted trainee groups;</li> <li>• Conduct targeted training workshops, refresher courses and sensitization activities such as seminars and events for line departments and other stakeholders according to the training plans (approx. 30 participants / training workshop; 8 workshops for each landscape)</li> <li>• Conduct evaluations of all training activities using post-training questionnaires for all participants</li> </ul>   |
| <b>International contracting:</b>   |            |  |
| None  |            |  |
| <b>Component 2</b>  |            |  |
| <b>Local / National contracting:</b>  |            |  |
| <b>Landscape M&amp;E and Safeguards/ IP Specialist</b>  | Years 1-6  | All Outputs  |

| Consultant/Individual/Contractor  | Time Input  | Tasks, Inputs and Outputs  |
|---|---|--|
| 20 months at \$2400/month   |   | <p>Under the overall supervision and guidance of the Project Manager and in coordination with the M&amp;E and Safeguards Analyst (for Components 1 and 4), the Landscape M&amp;E and Safeguards/IP Specialist will have the responsibility for delivery of M&amp;E and social and environmental safeguards for activities in Components 2 and 3, including the following:</p> <ul style="list-style-type: none"> <li>• Coordinate with M&amp;E and Safeguards Analyst and the Landscape Conservation and Stakeholder Engagement Officers to facilitate effective implementation of Free Prior Informed Consent in targeted landscapes of Dudhwa and Pakke-Eaglenest where necessary;</li> <li>• Monitor project progress and participate in the production of progress reports including 6-month PPR and yearly PIR ensuring that they meet the necessary reporting requirements and standards;</li> <li>• Ensure project’s M&amp;E meets the requirements of the Government, the UNDP Country Office, UNDP-GEF and WWF-GEF;</li> <li>• Coordinate implementation of the project’s M&amp;E plan for Components 2 and 3;</li> <li>• Oversee and guide the design of surveys/ assessments commissioned for monitoring and evaluating project results;</li> <li>• Track progress against targets in the annual work plan for Components 2 and 3, and contribute to identification of the project implementation rating and development objective rating;</li> <li>• Review and revise annual work plan activities for Components 2 and 3 based on lessons learned</li> <li>• Support project site M&amp;E and learning missions;</li> <li>• Visit project sites as and when required to appraise project progress on the ground and validate written progress reports.</li> </ul> <p>Safeguards:</p> <ul style="list-style-type: none"> <li>• Coordinate the development, implementation and monitoring of required WWF safeguards plans (IPPF and PF, <b>Annex 25</b>) to ensure that WWF policy is fully met and the reporting requirements are fulfilled</li> <li>• Ensure social and environmental grievances are managed effectively and transparently;</li> <li>• Review safeguards risks annually, and update safeguards mitigation/management plans as necessary;</li> <li>• Ensure full disclosure with concerned stakeholders;</li> <li>• Ensure environmental and social risks are identified, avoided, mitigated and managed throughout project implementation</li> </ul> |
| <p><b>National Landscape Safeguards Expert (based at National PMU)</b><br/>USD 3000/month</p> | <p>For Component 2:<br/>5 months input<br/>Years 1-5 (one month/year)</p> | <p>All Outputs</p> <p>Under the overall supervision and guidance of the Project Manager, the National Landscape Safeguards Expert based at the National PMU will have the responsibility to review and provide guidance for planning, implementation and monitoring of safeguards plans prepared as per IPPF and PF for activities in Components 2 and 3, including the following:</p> <ul style="list-style-type: none"> <li>• Review and approve Indigenous peoples plan (IPPs) and LRP, ensuring that the IPPs and LRPs are consistent with IPPF and PF respectively;</li> <li>• Review safeguards risks annually, and update safeguards mitigation/management plans as necessary</li> </ul>  |

| Consultant/Individual/Contractor   | Time Input                           | Tasks, Inputs and Outputs   |
|--|--------------------------------------|---|
|  |                                      | <ul style="list-style-type: none"> <li>• Ensure environmental and social risks are identified, avoided, mitigated and managed throughout project implementation</li> <li>• Coordinate the preparation of IPPs/LRPs and forward them to WWF-GEF Agency for review and no objection;</li> <li>• Orient and support, as needed, the Landscape M&amp;E and Safeguard/IP specialist at LPMUs on their tasks relative to screening, social assessment, FPIC and preparing, updating, and implementing IPPs and LRPs;</li> <li>• Ensure budget for preparing and implementing IPPs and LRPs, ensuring that funds are available in a timely manner;</li> <li>• Monitor the implementation of IPPs and LRPs; ensuring that this is carried out in compliance with the project IPPF and PF respectively following WWF Environment and Social Safeguards Integrated Policies and Procedures and GoI rules and regulations;</li> <li>• Ensure Free Prior and Informed Consent (FPIC) is obtained in project areas where IPs/tribes are affected;</li> <li>• Ensure all grievances related to IPPF and PF are dealt with promptly. Upon receipt of a grievance, the Landscape M&amp;E and Safeguards/IP Specialists at LPMUs will hold meetings with local communities or individuals, to discuss the issues and develop amicable solutions which will be implemented strictly; and</li> <li>• Ensure all local communities are aware the project activities and the implications of conservation management of critical corridors and ESZ forests including provisions of grievance redress mechanism (GRM) of the project.</li> </ul>  |
| <p><b>Landscape Conservation and Stakeholder Engagement Officers</b><br/>(one each for Uttar Pradesh and Arunachal Pradesh)<br/>USD 2000/month</p> | <p>20 months each over years 1-6</p> | <p>Will closely coordinate with the Landscape M&amp;E and Safeguards/IP Specialist to facilitate effective implementation of Free Prior Informed Consent in targeted landscapes of Dudhwa and Pakke-Eaglenest where necessary, including executing activities as per the IPPF and PF (<b>Annex 25</b>) at site level.</p> <p>Output 2.1</p> <p>Under the guidance and supervision of the Project Manager, the Landscape Conservation and Stakeholder Engagement Officers will coordinate the overall implementation of both Outputs in Component 2, liaising with the respective State Project Directors and Landscape Coordinators in order to guide and facilitate work in the targeted PAs, buffer zones and other sites within the demonstration landscapes as well as cross-landscape activities that engage multiple sites and wider areas. They will work in close coordination with related counterpart staff, consultants and service contract providers to ensure the delivery of all activities in this Component, and will work with the Landscape M&amp;E and Safeguards Specialist in coordinating site-based data collection and executing specified activities as per the IPPF and PF (<b>Annex 25</b>) at site level. Specific tasks include the following:</p> <p>Output 2.1:</p> <ul style="list-style-type: none"> <li>• Coordinate contracted inputs to develop, implement and monitor an annual programme of actions to manage and restore key habitats in targeted areas</li> <li>• Facilitate inputs from government agencies for the collection of available baseline data and plans for current management practices for forest, grassland and wetland habitats</li> <li>• Provide technical guidance towards capacity building of forest dept staff on forest, grassland and wetland management (annual workshops)</li> <li>• Review and comment on draft habitat intervention / management / rehabilitation plans for the targeted areas</li> </ul> |

| Consultant/Individual/Contractor  | Time Input                           | Tasks, Inputs and Outputs  |
|---|--------------------------------------|--|
|   |                                      | <ul style="list-style-type: none"> <li>• Provide technical advice and guidance for the implementation of habitat management and rehabilitation</li> <li>• Provide technical advice and guidance for forest corridor improvements for critical bottlenecks according to the agreed plans through a participatory approach that engages communities in habitat management and rehabilitation work</li> <li>• Provide technical advice and guidance for participatory monitoring (see Output 3.3) and evaluation of the use of targeted areas by wildlife especially wild cats</li> <li>• Facilitate the review and improvement of forest policy, planning and procedural issues that currently allow unsustainable forest use to occur and promote the uptake of SFM by Arunachal Pradesh Forest Department including regulated community-based forest management</li> </ul> <p>Output 2.2:</p> <ul style="list-style-type: none"> <li>• Provide technical advice and guidance for the implementation plan for training provision</li> <li>• Review and comment on training curricula and modules on key subjects including community engagement and gender, field techniques for monitoring small cats, taking account of project-supported SOPs (<b>Output 1.3</b>) and guidelines (<b>Output 1.4</b>)</li> <li>• Provide technical advice and guidance for the capacity building program for forest staff as per curricula, including short training courses, on-the-job training, and exposure visits of selected staff to other project sites to learn best practices.</li> <li>• Provide technical advice and guidance for awareness raising and specialized training of frontline staff through full integration of small cat monitoring in NTCA PHASE IV programs</li> <li>• Provide technical advice and guidance for monitoring and evaluation of training activities</li> </ul> |
| <p><b>Community Mobilizers (3)</b><br/>USD 505 per month</p>  | <p>18 months each over Years 2-6</p> | <p>Output 2.1</p> <ul style="list-style-type: none"> <li>• Facilitate the development of community agreements / contracts for their engagement in habitat management, rehabilitation and monitoring activities</li> <li>• Facilitate the coordination of community inputs to habitat rehabilitation and monitoring activities</li> <li>• Facilitate regular community meetings to review progress with the planned activities and to balance community concerns for sustainable livelihoods with conservation goals</li> <li>• Facilitate community inputs to project plans for development of sustainable land uses in the targeted areas and to ensure that communities benefit from the collaborative process</li> <li>• Actively promote gender mainstreaming and social inclusion during all community engagement processes so that these meet project requirements</li> <li>• Maintain a gender and ethnicity disaggregated record of participation in meetings and activities</li> </ul>  |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to analyze Dudhwa grassland/wetland baseline, lead</p> | <p>Years 2-5</p>                     | <p>Output 2.1A - Improved management of natural grassland and wetland habitats in Sujauli Range of Katerniaghat WS &amp; South Sonaripur Range of Dudhwa TR</p>  |

| Consultant/Individual/Contractor  | Time Input | Tasks, Inputs and Outputs   |
|---|------------|---|
| consultations for habitat management plans, facilitate rehabilitation and monitoring  |            | <ul style="list-style-type: none"> <li>• Collect available baseline data and plans with Forest Dept and other agencies and establish baseline for current management practices for grassland and wetland habitats, describe the hydrological conditions, ecological communities, weed infestation, livestock and wildlife use, and presence of small cats in Year 1.</li> <li>• Coordinate expert consultation &amp; capacity building of forest dept staff on grassland and wetland management (annual workshops)</li> <li>• Facilitate the preparation of grassland and wetland management plans for the targeted areas</li> <li>• Facilitate and provide expert supervision for the implementation of habitat management including: <ul style="list-style-type: none"> <li>o Vegetation management</li> <li>o Management of human and grazing access, fencing of grazing enclosures</li> <li>o Soil and water testing and analysis</li> <li>o Management of water levels</li> <li>o Nursery development for native species</li> <li>o Planting of desired vegetation where necessary</li> </ul> </li> <li>• Conduct monitoring of habitat management interventions and annual reviews of progress in rehabilitation</li> <li>• Provide end of project documentation and evaluation of the habitat improvement,</li> <li>• Document lessons learned and share with related stakeholders</li> </ul>  |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract to analyse Pakke-Eaglenest forest corridor bottlenecks, conduct ground surveys, and prepare forest corridor rehabilitation proposals, facilitate participatory rehabilitation and monitoring | Years 2-5  | Output 2.1B - Enhanced forest connectivity through identification of critical corridor areas and participatory management and rehabilitation of degraded forest habitats in the ESZ <ul style="list-style-type: none"> <li>• Conduct satellite image analysis to map key forest corridor bottlenecks at landscape level (e.g. Tenga RF and Sessa Orchid Sanctuary) and conduct ground surveys and baseline analysis to determine causes of forest loss and degradation and potential for rehabilitation</li> <li>• Develop site-specific plans for forest management and rehabilitation to strengthen forest corridors by engaging local communities and other stakeholders, linked to incentives (see Output 3.4) including cultivation and sustainable harvesting of NTFP such as medicinal plants where appropriate, and to determine scope of intervention, coordination and technical support responsibilities</li> <li>• Implement and provide expert supervision for forest corridor improvements for critical bottlenecks according to the agreed plans through a participatory approach that engages communities in habitat management and rehabilitation work</li> <li>• Coordinate participatory monitoring (see Output 3.3) and evaluation of the use of targeted areas by wildlife especially wild cats</li> <li>• Systematically address forest policy, planning and procedural weaknesses that allow unsustainable forest use to occur and promote the uptake of SFM by Arunachal Pradesh Forest Department including regulated community-based forest management</li> </ul> |
| <b>Contractual Services – Companies/Institutions:</b>   | Years 3-5  | Output 2.1A & B – <ul style="list-style-type: none"> <li>• Engage with local communities and other local stakeholders in the planning of habitat management and rehabilitation in the targeted locations</li> </ul>   |

| Consultant/Individual/Contractor   | Time Input | Tasks, Inputs and Outputs   |
|--|------------|---|
| Contractual Services through communities, NGOs, local institutions, wildlife depts and/or micro- grant facility for implementation of habitat management and rehabilitation measures for both landscapes |            | <ul style="list-style-type: none"> <li>• Develop community agreements / other contractual arrangements to secure local engagement in the required activities</li> <li>• Provide guidance/orientation/training to community volunteers at each location regarding the tasks to be undertaken for habitat management, rehabilitation and monitoring</li> <li>• Conduct habitat management, rehabilitation and monitoring of habitat condition, wildlife presence and threats at the targeted locations</li> <li>• Develop sustainable land use options to reduce pressures on habitats (e.g. grazing, forest degradation, deforestation, fires) such as sustainable livestock production methods, agro-forestry, crops that reduce HWC problems, sustainable production of NTFPs, etc. (linked to Outputs 3.4 and 3.5)</li> </ul>   |
| <p><b>Contractual Services – Companies/Institutions:</b></p> <p>Subcontract(s) to plan and deliver training for frontline staff in Dudhwa and Pakke-Eaglenest Landscapes</p>                             | Years 2-4  | <p>Output 2.2</p> <ul style="list-style-type: none"> <li>• Develop an implementation plan for training provision based on local needs in each landscape</li> <li>• Develop training curricula and modules on key subjects including community engagement and gender, field techniques for monitoring small cats, taking account of project-supported SOPs (<b>Output 1.3</b>) and guidelines (<b>Output 1.4</b>)</li> <li>• Conduct capacity building program for forest staff as per curricula, including short training courses, on-the-job training, and exposure visits of selected staff to other project sites to learn best practices.</li> <li>• <b>In Dudhwa Landscape</b> this will include facilitating effective implementation of MSTRIPES program in Dudhwa TR through refresher training, feedback session, and assistance in analysis and report generation.</li> <li>• <b>In Pakke-Eaglenest Landscape</b>, this will include capacity building of Forest frontline staff including on project-related SOPs – Pakke and Eaglenest: six trainings, 30 persons per training;</li> <li>• Conduct awareness raising and specialized training of frontline staff through full integration of small cat monitoring in NTCA PHASE IV programs. These trainings will be conducted nationwide in blocks of NTCA-Landscapes (cofinanced by NTCA).</li> <li>• Convene workshops on awareness of legal issues related to small cat conservation and protection for frontline staff, line departments, EDCs and other local stakeholders</li> <li>• <b>In Dudhwa Landscape</b> this will include a sensitization cum coordination programme for other line agencies and related transboundary Nepalese authorities on threats &amp; IWT - for SSB, Police, Agriculture, Revenue Dept, etc - 1 program/year at each site</li> <li>• <b>In Pakke – Eaglenest Landscape</b> this will include the sensitization of Defence, Police and Para-military: three trainings at each site (Pakke and Eaglenest); and conduct training / sensitization on community engagement and gender for forest department and army staff.</li> <li>• Provide limited priority field gear and equipment for field staff to enable effective patrolling, monitoring and law enforcement</li> </ul> |
| <p><b>Contractual Services – Companies/Institutions:</b></p>   | Years 2-6  | <p>Both Outputs</p> <p>See IPPF/PF for details (Annex 25)</p>   |

| Consultant/Individual/Contractor  | Time Input   | Tasks, Inputs and Outputs   |
|---|--|---|
| Subcontract(s) to local NGOs for implementation of LRPs and IPPs based on the IPPF/PF guidance including obtaining FPIC, where required         |  |   |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract(s) for third-party monitoring of safeguards at mid-term and end of project | Year 3, Year 6   | Both Outputs<br>See IPPF/PF for details (Annex 25)  |
| <b>Component 3</b>  |  |   |
| <b>Local / National contracting:</b>  |  |   |
| <b>National Landscape Safeguards Expert (based at National PMU)</b><br>USD 3000/month   | For Component 3:<br>9 months input in Years 1-5<br><br><u>Tentative Input distribution:</u><br>[1-month Year 1<br>3 months Year 2<br>3 months Year 3<br>1-month Year 4<br>1-month Year 5]<br><br><i>This distribution is subject to adjustment within the project period as per the requirements</i> | All Outputs<br><br>Under the overall supervision and guidance of the Project Manager, the National Landscape Safeguards Expert based at the National PMU will have the responsibility to review and provide guidance for planning, implementation and monitoring of safeguards plans prepared as per IPPF and PF for activities in Components 2 and 3, including the following: <ul style="list-style-type: none"> <li>• Review and approve Indigenous peoples plan (IPPs) and LRP, ensuring that the IPPs and LRPs are consistent with IPPF and PF respectively;</li> <li>• Review safeguards risks annually, and update safeguards mitigation/management plans as necessary</li> <li>• Ensure environmental and social risks are identified, avoided, mitigated and managed throughout project implementation</li> <li>• Coordinate the preparation of IPPs/LRPs and forward them to WWF-GEF Agency for review and no objection;</li> <li>• Orient and support, as needed, the M&amp;E and Safeguard/IP Specialist at LPMUs on their tasks relative to screening, social assessment, FPIC and preparing, updating, and implementing IPPs and LRPs;</li> <li>• Ensure budget for preparing and implementing IPPs and LRPs, ensuring that funds are available in a timely manner;</li> <li>• Monitor the implementation of IPPs and LRPs; ensuring that this is carried out in compliance with the project IPPF and PF respectively following WWF Environment and Social Safeguards Integrated Policies and Procedures and GoI rules and regulations;</li> <li>• Ensure Free Prior and Informed Consent (FPIC) is obtained in project areas where IPs/tribes are affected;</li> <li>• Ensure all grievances related to IPPF and PF are dealt with promptly. Upon receipt of a grievance, the M&amp;E and Safeguards/IP Specialist at LPMUs will hold meetings with local communities or individuals, to discuss the issues and develop amicable solutions which will be implemented strictly; and</li> <li>• Ensure all local communities are aware the project activities and the implications of conservation management of critical corridors and ESZ forests including provisions of grievance redress mechanism (GRM) of the project.</li> </ul> |



| Consultant/Individual/Contractor   | Time Input                          | Tasks, Inputs and Outputs   |
|--|-------------------------------------|---|
| <p><b>Landscape M&amp;E and Safeguards/ IP Specialist</b><br/>USD 2400/month</p> | <p>40 months input in Years 1-6</p> | <p>All Outputs</p> <p>Under the overall supervision and guidance of the Project Manager and in coordination with the M&amp;E and Safeguards Analyst (for Components 1 and 4), the Landscape M&amp;E and Safeguards/IP Specialist (a mid-level expert) will have the responsibility for delivery of M&amp;E and social and environmental safeguards for activities in Components 2 and 3, including the following:</p> <ul style="list-style-type: none"> <li>• Monitor project progress and participate in the production of progress reports including 6-month PPR and yearly PIR ensuring that they meet the necessary reporting requirements and standards;</li> <li>• Ensure project’s M&amp;E meets the requirements of the Government, the UNDP Country Office, UNDP-GEF and WWF-GEF;</li> <li>• Coordinate implementation of the project’s M&amp;E plan for Components 2 and 3;</li> <li>• Oversee and guide the design of surveys/ assessments commissioned for monitoring and evaluating project results;</li> <li>• Track progress against targets in the annual work plan for Components 2 and 3, and contribute to identification of the project implementation rating and development objective rating;</li> <li>• Review and revise annual work plan activities for Components 2 and 3 based on lessons learned</li> <li>• Support project site M&amp;E and learning missions;</li> <li>• Visit project sites as and when required to appraise project progress on the ground and validate written progress reports.</li> </ul> <p>Safeguards:</p> <ul style="list-style-type: none"> <li>• Coordinate the development, implementation and monitoring of required WWF safeguards plans (IPPF and PF, <b>Annex 25</b>) to ensure that WWF policy is fully met and the reporting requirements are fulfilled</li> <li>• Ensure social and environmental grievances are managed effectively and transparently;</li> <li>• Review safeguards risks annually, and update safeguards mitigation/management plans as necessary;</li> <li>• Ensure full disclosure with concerned stakeholders;</li> <li>• Ensure environmental and social risks are identified, avoided, mitigated and managed throughout project implementation</li> </ul> |
| <p><b>Gender and Social Inclusion Specialist</b><br/>USD 4000/month</p>          | <p>6 months input in Years 1-6</p>  | <p>All Outputs</p> <ul style="list-style-type: none"> <li>• Train State and landscape level project staff, counterpart staff and focal points in gender mainstreaming and social inclusion</li> <li>• Monitor progress in implementation of the project Gender Action Plan and Stakeholder Engagement Plan ensuring that targets are fully met and the reporting requirements are fulfilled;</li> <li>• Oversee/develop/coordinate implementation of all gender-and social inclusion related work including stakeholder consultations;</li> </ul>   |

| Consultant/Individual/Contractor   | Time Input             | Tasks, Inputs and Outputs   |
|--|------------------------|---|
|  |                        | <ul style="list-style-type: none"> <li>Review the Gender Action Plan and Stakeholder Engagement Plan annually, and update and revise corresponding plans as necessary;</li> <li>Work with the M&amp;E and Safeguards Analyst to ensure reporting, monitoring and evaluation fully address the gender and social inclusion issues of the project</li> </ul>  |
| <b>Capacity Development Specialist for EDCs / CBOs</b><br>USD 4000/month | 15 months in Years 2-5 | Output 3.1 <ul style="list-style-type: none"> <li>Conduct a Rapid Needs Assessment of Eco-Development Councils in project villages - rapid needs assessment of targeted villages in each landscape</li> <li>Develop community engagement processes for the high conservation value habitats for Dudhwa as well as Pakke &amp; Eaglenest buffer zones (Rupa Sinchung and Shergaon), taking into account local experiences such as Bugun Sinchung. This will include stakeholder review and agreement to proposed approaches, including Free Prior informed Consent consultations at an early stage of the process in line with the project safeguards plans (see Risks section, Annex 4 (SESP) and Annex 25 (WWF safeguards report).</li> <li>Develop a capacity development / training programme plan for each landscape based on the training needs analysis during project preparation, taking account of various approaches to community-based monitoring of wildlife and habitats (for example, see the Event Book System approach that has been successful in parts of Africa );</li> <li>Develop training modules for the main subject areas at an appropriate level for local communities (tailored to specific needs), including: habitat management and restoration, identification and monitoring of small cat species, and administration and management of village level institutions;</li> <li>Provide training to the targeted community groups , as follows:               <ul style="list-style-type: none"> <li>Training on institutional strengthening, leadership development and financial management for EDCs and other relevant CBOs</li> <li>Training of naturalists from conservation youth groups in targeted villages</li> <li>Training on wild cat habitat management- patrolling, monitoring of cats and prey, habitat</li> <li>Training of paravets for care of rescued animals and associated sensitization of community on wild cat conservation</li> </ul> </li> <li>Facilitate the formation and strengthening of community institutions to support community-based conservation approaches - community mobilisation, establishment of relevant local committees, preparation of community conservation plans</li> <li>Coordinate the strengthening of Pakke Tiger Foundation Office through needs assessment, financial planning, preparing funding request forms, advocacy at the State and Central level; office equipment (computers), office running costs over 4 years (cofinanced by NTCA)</li> <li>Evaluate training courses after delivery (questionnaires for participants) and monitor uptake of 3.1.5 participatory monitoring activities by trainees post-training;</li> <li>Support implementation of financial incentives and recognition at state and national levels (e.g. Ganga Prahri of NMCG) to stimulate participation.</li> </ul> |

| Consultant/Individual/Contractor  | Time Input                            | Tasks, Inputs and Outputs   |
|---|---------------------------------------|---|
| <p><b>Community-based Wildlife Monitoring Specialist</b><br/>USD 4000/month</p>                                   | <p>16 months in Years 2-5</p>         | <p>Output 3.3</p> <ul style="list-style-type: none"> <li>• Conduct initial round of consultations with local stakeholders to update baseline and confirm approach to establish a Participatory Community-based Monitoring System – including training on biodiversity monitoring, and patrolling for monitoring threats; community-based event reporting;</li> <li>• Establish regular patrolling and monitoring teams in targeted communities, involving local youth where possible (as in Pakke TR and Eaglenest WLS) to conduct anti-poaching, patrolling and monitoring activities. This will be introduced to new areas and expanded in areas where already initiated.</li> <li>• Provide training to the teams (coordinated with Output 3.1 above) for each landscape, including: <ul style="list-style-type: none"> <li>- Dudhwa: Provide training on Wild Cat Habitat Management and Participatory Monitoring for EDC members and local youths 4 trainings/year over 5 years - Work with existing Bagh Mitra and built their capacity on small cat conservation</li> <li>- Pakke-Eaglenest: Train women and youth on wild cat habitat monitoring (10 meetings)</li> </ul> </li> <li>• Work with the Landscape Conservation and Stakeholder Engagement Officers to facilitate the provision of site-specific Community-Based Monitoring Equipment (divided between three sites – Pakke, Eaglenest and Dudhwa): 150 Camera Traps, 30 GPS units, 6 Digital Cameras (advanced point and shoot camera with high zoom, inbuilt geotagging), 30 small cameras, 90 Sherman Traps for live capture of small mammal (prey estimation), 75 binoculars, other equipment as needed such as GPS, field gear, identification guides and site-specific Mobile Apps;</li> <li>• Coordinate the development of a financial incentive program for community volunteers (e.g. Bugun tribes incentive programs for patrolling) and a recognition model for local participation (prestige of recognition at State or national level for conservation work as positive motivation), and</li> <li>• Establish a community based open data source through supporting development of bio-cultural heritage interpretation centres (culture, biodiversity, heritage) for Pakke and Eaglenest areas</li> <li>• Develop and review the effectiveness of data and photo sharing from the community teams (including use of mobile apps linked to the database in Output 1.2).</li> <li>• Support community volunteers to conduct wild cat, prey and other wildlife monitoring, anti-poaching patrolling, fire-watching (for the PA as well as the community reserve) via Pakke TR and Shergaon Forest Division</li> </ul> |
| <p><b>HWC Response Specialists (x2)</b> (one each for Uttar Pradesh and Arunachal Pradesh)<br/>USD 4000/month</p> | <p>13 months x 2 pax in Years 2-6</p> | <p>Output 3.5</p> <ul style="list-style-type: none"> <li>• Confirm the targeted areas and communities impacted by HWC that were identified during the baseline assessment and update the situation assessment for each site;</li> <li>• Convene SAFE workshops for two targeted areas in each landscape (see below – four workshops in total) to review the key species involved, the impacts of HWC, and local context, and to identify strategic, community-based approaches to HWC mitigation, including both preventative and response measures. The workshops will develop local HWC prevention and response plans;</li> <li>• Coordinate the provision of project sub-grants to support the implementation of the local HWC plans (including financing of responses such as solar fencing, alternative crop trials, crop proofing, alarm systems, toilets, etc.); these should coordinate with ongoing efforts by NGOs, align and bring convergence with govt schemes;</li> </ul>   |

| Consultant/Individual/Contractor  | Time Input                     | Tasks, Inputs and Outputs   |
|---|--------------------------------|---|
|   |                                | <ul style="list-style-type: none"> <li>• Coordinate the development and piloting of community-based reporting of HWC led by village volunteers and facilitate continuous follow up with adaptive response mechanisms;</li> <li>• Facilitate monitoring and reporting of strayed small cats and assist forest dept in rescue operations (as required)</li> <li>• Coordinate systemic support towards improving access to and simplifying government compensation procedures through advocacy, para-legal assistance to affected communities, awareness raising on the compensation procedures and government schemes available to provide support HWC mitigation (e.g. rural electrification, animal husbandry, etc), and capacity development where necessary;</li> <li>• Evaluate the response for each target area and share the lessons learned through project supported knowledge management mechanisms (Output 4.4)</li> </ul>  |
| <b>Paralegal HWC Specialist</b><br>USD 4000/month   | 3 months in Years<br>3-5       | Output 3.5 <ul style="list-style-type: none"> <li>• Provide legal advice to the project concerning improving access to and simplifying government compensation procedures for HWC affected communities</li> <li>• Provide para-legal assistance to HWC affected communities in making compensation claims and requesting government assistance</li> <li>• Provide guidance and inputs towards awareness raising materials on government compensation procedures and government schemes available to provide support for HWC mitigation</li> </ul>   |
| <b>Landscape Conservation and Stakeholder Engagement Officers (x2)</b> (one each for Uttar Pradesh and Arunachal Pradesh)<br>USD 2000/month | 40 months each in<br>Years 1-6 | <p>Will closely coordinate with Landscape M&amp;E and Safeguards/IP Specialist to facilitate effective implementation of Free Prior Informed Consent in targeted landscapes of Dudhwa and Pakke-Eaglenest where necessary, including executing activities as per the IPPF and PF (<b>Annex 25</b>) at site level.</p> <p>All Outputs</p> <p>Under the guidance and supervision of the Project Manager, the Landscape Conservation and Stakeholder Engagement Officers will coordinate the overall implementation of both Outputs in Component 3, liaising with the respective State Project Directors and Landscape Coordinators in order to guide and facilitate work in the targeted PAs, buffer zones and other sites within the demonstration landscapes as well as cross-landscape activities that engage multiple sites and wider areas. They will work in close coordination with related counterpart staff, consultants and service contract providers to ensure the delivery of all activities in this Component, and will work with the Landscape M&amp;E and Safeguards/IP Specialist in coordinating site-based data collection and executing activities as per the IPPF and PF (<b>Annex 25</b>) at site level. Specific tasks include the following:</p> <p>Output 3.1:</p> <ul style="list-style-type: none"> <li>• Provide technical advice and guidance for the development of community engagement processes for the high conservation value habitats for Dudhwa as well as Pakke &amp; Eaglenest buffer zones</li> <li>• Provide technical advice and guidance for the development of a capacity development / training programme plan for each landscape</li> </ul> |

| Consultant/Individual/Contractor | Time Input | Tasks, Inputs and Outputs  |
|----------------------------------|------------|--|
|                                  |            | <ul style="list-style-type: none"> <li>• Guide the evaluation of training courses after delivery (questionnaires for participants) and monitor uptake of 3.1.5 participatory monitoring activities by trainees post-training;</li> <li>• Coordinate implementation of financial incentives and recognition at state and national levels (e.g. Ganga Prahri of NMCG) to stimulate participation.</li> </ul> <p>Output 3.2:</p> <ul style="list-style-type: none"> <li>• Provide technical advice and guidance for</li> <li>• Review and comment on</li> <li>• Provide technical advice and guidance for the development of an awareness raising plan for each landscape together with partners, addressing key conservation issues in specific areas and communities</li> <li>• Support the coordination of workshops with the targeted communities to confirm needs and locally appropriate delivery mechanisms for awareness raising activities (e.g. posters, signboards, meetings, talks);</li> <li>• Review and comment on education and awareness materials developed by contractors</li> <li>• Provide technical advice and guidance for baseline and repeat KAP assessments at the start and completion of each awareness raising programme to measure changes and evaluate its effectiveness;</li> <li>• Provide technical advice and guidance for workshops in targeted areas/communities in Dudhwa and Pakke-Eaglenest to document traditional knowledge and practices with specific reference to small cats and their habitats</li> </ul> <p>Output 3.3</p> <ul style="list-style-type: none"> <li>• Support and provide guidance for consultations with local stakeholders to update baseline and confirm approach to establish a Participatory Community-based Monitoring System – including training on biodiversity monitoring, and patrolling for monitoring threats; community-based event reporting;</li> <li>• Provide guidance for the establishment of regular patrolling and monitoring teams in targeted communities,</li> <li>• Provide technical advice and guidance for training activities</li> <li>• Coordinate the provision of site-specific Community-Based Monitoring Equipment (divided between three sites – Pakke, Eaglenest and Dudhwa)</li> <li>• Provide technical advice and guidance for the development of a financial incentive program for community volunteers (e.g. Bugun tribes incentive programs for patrolling) and a recognition model for local participation</li> <li>• Provide technical advice and guidance for establishing a community based open data source through supporting development of bio-cultural heritage interpretation centres (culture, biodiversity, heritage) for Pakke and Eaglenest areas</li> </ul> <p>Output 3.4</p> <ul style="list-style-type: none"> <li>• Coordinate and support advocacy to facilitate convergence of project goals with government schemes and to resolve issues with local uptake and effectiveness of such schemes (e.g. in support of handicraft development, ecotourism, MAP cultivation, subsidies for LPG and fuel efficiency, solar power);</li> <li>• Coordinate the provision of sub-grants to support livelihood diversification</li> <li>• Provide technical advice and guidance for project training and capacity development activities</li> </ul> |

| Consultant/Individual/Contractor  | Time Input                          | Tasks, Inputs and Outputs  |
|---|-------------------------------------|--|
|   |                                     | <ul style="list-style-type: none"> <li>• Facilitate the coordination and engagement with district development authorities for leveraging govt schemes in line with project goals</li> <li>• Guide monitoring of activities and follow up with adaptive response mechanisms through village level volunteers/point persons</li> </ul> <p>Output 3.5</p> <ul style="list-style-type: none"> <li>• Guide the confirmation of targeted areas and communities impacted by HWC the situation assessment for each site;</li> <li>• Provide technical guidance and assistance for convening SAFE workshops for two targeted areas in each landscape</li> <li>• Review and comment on draft HWC prevention and response plans;</li> <li>• Guide and oversee the coordination of provision of project sub-grants to support the implementation of the local HWC plans</li> <li>• Provide technical guidance for developing and piloting community-based reporting of HWC led by village volunteers and facilitate continuous follow up with adaptive response mechanisms;</li> <li>• Facilitate the provision of systemic support towards improving access to and simplifying government compensation procedures through advocacy, para-legal assistance to affected communities, awareness raising on the compensation procedures and government schemes available to provide support for HWC mitigation (e.g. rural electrification, animal husbandry, etc), and capacity development where necessary;</li> <li>• Review the responses for each target area and lessons learned and facilitate their sharing through project supported knowledge management mechanisms (Output 4.4)</li> </ul> |
| <p><b>Landscape Communications and Awareness Officer</b><br/>USD \$2000/month</p> | <p>44 months over<br/>Years 2-6</p> | <p>Output 3.2</p> <ul style="list-style-type: none"> <li>• Develop an awareness raising and education plan for each landscape together with partners, addressing key conservation issues in specific areas / communities, such as reduction of pesticide use in agricultural areas for wild cats;</li> <li>• Convene workshops with the targeted communities to confirm needs and locally appropriate delivery mechanisms for awareness raising activities (e.g. posters, signboards, meetings, talks);</li> <li>• Prepare education and awareness materials on small cats like photographs with keys for identification of various cats, distribution maps, information on their ecology and their role in maintaining their ecosystems, legal issues related to conservation and protection of cats. This can provide a basis for awareness-raising on small cats across the country to support field training efforts;</li> <li>• Conduct awareness raising and education activities with local partners , including:</li> <li>• Raise awareness among public, politicians and media on small cat conservation through awareness programs on relevant days with different stakeholders</li> <li>• Coordinate training of women and youth, and their village level groups on wild cat conservation (50% participation of women)</li> <li>• Coordinate installation of awareness signage in targeted villages</li> </ul>  |

| Consultant/Individual/Contractor  | Time Input                    | Tasks, Inputs and Outputs  |
|---|-------------------------------|--|
|   |                               | <ul style="list-style-type: none"> <li>• Design and coordinate targeted communications to address illegal hunting, habitat encroachment and other local conservation issues</li> <li>• Coordinate baseline and repeat KAP assessments at the start and completion of each awareness raising programme to measure changes and evaluate its effectiveness;</li> <li>• Coordinate workshops in targeted areas/communities in Dudhwa and Pakke-Eaglenest to document traditional knowledge and practices with specific reference to small cats and their habitats (harvest practices, crop cycles, grazing practices etc.).</li> </ul>   |
| <p><b>Community Mobilizers (x3)</b> (one for Uttar Pradesh, two for Arunachal Pradesh)<br/>USD 505/month</p>  | 36 months each over Years 2-6 | <p>All Outputs</p> <ul style="list-style-type: none"> <li>• Facilitate the development of community agreements / contracts for their engagement in project activities</li> <li>• Facilitate the coordination of community inputs to wildlife monitoring, habitat rehabilitation, sustainable livelihood and HWC mitigation activities and their participation in project training and awareness activities</li> <li>• Facilitate regular community meetings to review progress with the planned activities and to balance community concerns for sustainable livelihoods with conservation goals</li> <li>• Facilitate community inputs to project plans for development of sustainable land uses in the targeted areas and to ensure that communities benefit from the collaborative process</li> <li>• Actively promote gender mainstreaming and social inclusion during all community engagement processes so that these meet project requirements</li> <li>• Maintain a gender and ethnicity disaggregated record of participation in meetings and activities</li> </ul> |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to provide capacity development and technical assistance for livelihoods and sustainable development in the field of agriculture, livestock and ecotourism in Dudhwa Landscape</p> | Years 3-5                     | <p>Output 3.4</p> <ul style="list-style-type: none"> <li>• Provide training on improved agricultural practices for farmers for improving their income</li> <li>• Coordinate an exposure visit to Krishi Vigyan Kendra and Agriculture Universities for better production techniques and adoption of scientific agronomic practices</li> <li>• Provide training and technical assistance on improved livestock rearing and management practices</li> <li>• Coordinate promotion of Farmer Producer Organization (FPO) and establishment of market linkages for value added agriculture products of selected farmers</li> <li>• Coordinate and engage with district development authorities for leveraging govt schemes like toilets, homes, solar lights, animal husbandry including cattle vaccination &amp; gaushala management, livelihood support etc</li> <li>• Promote ecotourism uptake with interested beneficiaries - exposure visit, training and support for 10 household-led ecotourism initiatives in Katarniaghat on a pilot basis</li> </ul>                   |
| <p><b>Contractual Services – Companies/Institutions:</b><br/>Subcontract to provide capacity development and technical assistance for livelihoods and sustainable development in the field</p>  | Years 3-5                     | <p>Output 3.4</p> <ul style="list-style-type: none"> <li>• Conduct a review of existing ecotourism development in the Pakke-Eaglenest landscape, including planning and institutional support from government through the newly established Ecotourism Department within the Forest Department and the Tourism Department; identify priority locations and specific development needs;</li> <li>• Build local capacity for ecotourism development, through providing attitudinal and behavioral training on hospitality, publicity and marketing, ecotourism regulation and conservation management (one each for Pakke and Eaglenest)</li> </ul>  |

| Consultant/Individual/Contractor  | Time Input     | Tasks, Inputs and Outputs   |
|---|----------------|---|
| of ecotourism in Pakke-Eaglenest Landscape  |                | <ul style="list-style-type: none"> <li>• Provide training for at least 20 youth in nature guiding</li> <li>• Provide training and seed funding for weaving handicraft designing, marketing and business development for at least 20 women</li> </ul>  |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract to provide capacity development and technical assistance for livelihoods and sustainable development in the field of sustainable agriculture and NTFP in Pakke-Eaglenest Landscape | Years 3-5      | Output 3.4 <ul style="list-style-type: none"> <li>• Conduct stakeholder consultations to review existing traditional practices and constraints, determine locations, participants (with full GESI considerations) and crop selections to demonstrate; compile implementation plans for each target location</li> <li>• Provide training and technical support for participating communities in collaboration with agriculture, horticulture departments and other related government agencies on subjects including business planning, integrated pest management, agronomic techniques, marketing, etc. – targeting 250 families (includes cofinancing support from local government agencies)</li> <li>• Conduct continuous monitoring and follow up with adaptive response mechanisms through village level volunteers/point persons.</li> </ul> |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract(s) to local NGOs for implementation of LRPs and IPPs based on the IPPF/PF guidance including obtaining FPIC, where required  | Years 2-6      | All Outputs<br>See IPPF/PF for details (Annex 25)   |
| <b>Contractual Services – Companies/Institutions:</b><br>Subcontract(s) for third-party monitoring of safeguards at mid-term and end of project   | Year 3, Year 6 | All Outputs<br>See IPPF/PF for details (Annex 25)   |
| <b>Component 4</b>  |                |   |
| <b>Local / National contracting:</b>  |                |   |
| <b>M&amp;E and Safeguards Analyst</b><br>USD 2,000 per month  | Years 1-6      | Under the overall supervision and guidance of the Project Manager, the M&E and Safeguards Analyst will have the responsibility for delivery of M&E and social and environmental safeguards plans. The position will lead on implementation of safeguards requirements for Components 1 and 4, as well as on coordination on safeguards across the two agencies (UNDP and WWF). Specific tasks include the following:<br>M&E: <ul style="list-style-type: none"> <li>• Monitor project progress and participate in the production of progress reports including PIR ensuring that they meet the necessary reporting requirements and standards;</li> <li>• Update results framework indicator data for PIR, MTR and TE</li> </ul>  |



| Consultant/Individual/Contractor   | Time Input   | Tasks, Inputs and Outputs  |
|--|--|--|
|  |  | <ul style="list-style-type: none"> <li>• Ensure project’s M&amp;E meets the requirements of the Government, the UNDP Country Office, and UNDP-GEF; develop project-specific M&amp;E tools as necessary;</li> <li>• Facilitate coordination between GEF Agencies on application of safeguards standards and management requirements</li> <li>• Ensure alignment of project M&amp;E with GWP M&amp;E requirements</li> <li>• Oversee and ensure the implementation of the project’s M&amp;E plan, including periodic appraisal of the Project’s Theory of Change and Results Framework with reference to actual and potential project progress and results;</li> <li>• Oversee/develop/coordinate the implementation of the stakeholder engagement plan;</li> <li>• Oversee and guide the design of surveys/ assessments commissioned for monitoring and evaluating project results;</li> <li>• Coordinate and facilitate logistics for mid-term and terminal evaluations of the project; including management responses;</li> <li>• Facilitate annual reviews of the project and produce analytical reports from these annual reviews, including learning and other knowledge management products;</li> <li>• Review and revise annual work plan activities based on lessons learned</li> <li>• Support project site M&amp;E and learning missions;</li> <li>• Visit project sites as and when required to appraise project progress on the ground and validate written progress reports.</li> </ul> <p>Safeguards:</p> <ul style="list-style-type: none"> <li>• Coordinate the development, implementation and monitoring of any required ESIA’s and safeguards plans to ensure that UNDP’s SES policy is fully met and the reporting requirements are fulfilled</li> <li>• Ensure social and environmental grievances are managed effectively and transparently;</li> <li>• Review the SESP annually, and update and revise corresponding risk log; mitigation/management plans as necessary;</li> <li>• Ensure full disclosure with concerned stakeholders;</li> <li>• Ensure environmental and social risks are identified, avoided, mitigated and managed throughout project implementation</li> </ul> |
| <b>National Consultant for Midterm Review</b><br>USD 1,000 per week      | 6 weeks in Year 3  | Output 4.5; see UNDP standard TOR for this position.   |
| <b>National Consultant for Terminal Evaluation</b><br>USD 1,000 per week | 6 weeks in Year 6  | Output 4.5; see UNDP standard TOR for this position.   |
| <b>Gender and Social Inclusion Specialist</b><br>USD 1000 per week       | 28 weeks (8 weeks year 1 and Year 2 then 4 weeks per year for years 2-5) | Output 4.5: <ul style="list-style-type: none"> <li>• Train PMU and executing partner project staff and focal points in gender mainstreaming and social inclusion</li> <li>• Monitor progress in implementation of the project Gender Action Plan and Stakeholder Engagement Plan ensuring that targets are fully met and the reporting requirements are fulfilled;</li> <li>• Oversee/develop/coordinate implementation of all gender and social inclusion-related work including stakeholder consultations;</li> </ul>  |

| Consultant/Individual/Contractor   | Time Input                             | Tasks, Inputs and Outputs   |
|--|--|---|
|  |  | <ul style="list-style-type: none"> <li>Review the Gender Action Plan and Stakeholder Engagement Plan annually, and update and revise corresponding plans as necessary;</li> <li>Work with the M&amp;E and Safeguards Analyst to ensure reporting, monitoring and evaluation fully address the gender and social inclusion issues of the project</li> </ul>  |
| <b>KAP Survey Consultant</b><br>USD1000 / week   | 10 weeks in Year 2; 10 weeks in Year 6 | Output 4.2 <ul style="list-style-type: none"> <li>The Knowledge, Attitudes and Practices (KAP) Survey Consultant is responsible for coordinating and implementing the baseline and completion assessments required to monitor changes in KAP occurring as a result of project interventions according to the framework and methodology presented in <b>Annex 21</b>. The consultant is responsible for:</li> <li>Designing the detailed KAP survey methodology, questionnaires and qualitative survey methods</li> <li>Selection, training and coordination of field surveyors</li> <li>Developing sampling methods and survey plans</li> <li>Coordinating baseline and completion KAP assessment surveys</li> <li>Compilation, checking, analysis and reporting of results to the PMU</li> </ul> |
| <b>Website design consultant</b><br>USD \$1000/week  | 8 weeks in Years 1-2                   | Output 4.4: <ul style="list-style-type: none"> <li>Develop a project website / regional knowledge platform to share project news, results and information with stakeholders, the wider public in India and international audiences especially across South Asia</li> <li>Develop social media platforms for the project</li> <li>Train project communications staff to update and maintain the website and social media platforms</li> <li>Create a window for the project on the MoEFCC website</li> </ul>   |
| <b>Case study consultants (writers)</b><br>USD \$1000/week   | 24 weeks in Years 3-6                  | Output 4.4:<br>Develop case studies (written text, supported by photos and where appropriate video footage) on key issues relating to landscape conservation for wild cats through participatory methods including stakeholder workshops, and publish them on the regional knowledge platform as technical briefs covering the following subjects as a minimum: <ul style="list-style-type: none"> <li>Integrated management of ESZs to benefit wildlife</li> <li>Management of targeted wasteland habitats</li> <li>Small wild cat – friendly agriculture practices</li> <li>HWC management in targeted communities</li> <li>Community-based monitoring of small wild cats</li> <li>Gender mainstreaming and traditional knowledge relevant to wild cats / habitats.</li> </ul>                  |
| <b>Contractual Services – Companies/Institutions:</b><br>-Subcontract to GTF to develop and operationalize Green Business Platform, business partnership development in landscapes, fund | Years 1-6                              | Output 4.1: <ul style="list-style-type: none"> <li>Work with CII-ITC Centre of Excellence for Sustainable Development and State authorities to approach heads of business and industry, including corporate bodies that have an interest in supporting wildlife conservation through a series of regional sensitization meetings for raising conservation awareness and promoting CSR or direct investments for supporting community livelihoods and income generation.</li> </ul>  |

| Consultant/Individual/Contractor   | Time Input | Tasks, Inputs and Outputs  |
|--|------------|--|
| development, grant scheme for community-based conservation projects  |            | <ul style="list-style-type: none"> <li>• Build support for establishment of the national level green business platform and provide input to its design and operations.</li> <li>• Provide technical assistance for the development of a feasibility strategy for a Corporate Sector Conservation Fund/Financial Instrument to be managed by the green business platform</li> <li>• Facilitate the development of a scheme for the generation and disbursement of small community grants (not from GEF funds) by the green business platform including governance mechanism, prospectus, support for leveraging funds and fund raising activities (through fund-raising events, dialogue with private sector/donor agencies, crowd funding initiatives)</li> <li>• Conduct an advocacy programme to mobilize corporate partnerships and CSR funds for project related initiatives in each landscape based on relevant issues, such as sandstone mining in Karauli (Ranthambhore), sugar production (Dudhwa), tourism in Eaglenest (Pakke-Eaglenest), and horticulture and medicinal and aromatic plant cultivation (in all landscapes).</li> <li>• Facilitate the development of business partnerships and implementation of pilot initiatives on the identified areas of interest, supporting implementation of the landscape master plans and multi-sector engagement (Output 1.1)</li> <li>• Publicize the benefits of the active business partnerships through local, national and international channels (see Output 4.4) in order to attract further investment.</li> </ul>   |
| <p><b>Contractual Services – Companies/Institutions:</b></p> <p>-Subcontract to NGO / technical institution to provide communications, awareness raising and knowledge management inputs</p> | Years 1-6  | <p>Output 4.2</p> <ul style="list-style-type: none"> <li>• Coordinate a project communications Task Force, and development of a communications strategy and action plan to build support for wild cat conservation and reduce key threats nationally and especially in the project landscapes</li> <li>• Incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation)</li> <li>• Update the action plan annually and coordinate and synergize with initiatives and events on wildlife crime, HWC and wildlife conservation conducted nationwide by Govt agencies institutions and NGOs.</li> <li>• Conduct national- and landscape-level campaigns towards the conservation of small cats, aiming to sensitize specific target groups in line with the communications strategy and action plan (with local actions being covered in Output 3.2). These campaigns will connect wild cat conservation with key environment days, tiger conservation events, other public events and traditional festivals in the project landscapes</li> <li>• Develop educational and awareness materials that address the targeted audiences and messaging identified in the strategy, such as: printed materials, online materials via websites such as short films on website / YouTube channel</li> <li>• Monitor changes in awareness of targeted groups through use of KAP assessments, where appropriate.</li> </ul> <p>Output 4.4</p> <ul style="list-style-type: none"> <li>• Develop and implement knowledge management plan to ensure that project news and results are shared with project stakeholders and related initiatives (e.g. GTI), and updated annually;</li> </ul> |

| Consultant/Individual/Contractor  | Time Input        | Tasks, Inputs and Outputs  |
|---|-------------------|--|
|   |                   | <ul style="list-style-type: none"> <li>• Incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation);</li> <li>• Provide content for and maintain the project website / regional knowledge platform to share project news, results and information, with support from the website design consultant;</li> <li>• Coordinate the development and dissemination of case studies on key issues relating to landscape conservation for wild cats including stakeholder workshops, with support from case study consultants (writers)</li> <li>• Convene annual project meetings for updating and consulting with stakeholders (government agencies, NGOs, scientists etc.) on project progress, results and lessons learned, including a wrap up/handover meeting in Year 5.</li> <li>• Coordinate project participation in GWP virtual and face-to-face knowledge management events, and sharing of results nationally through the regional knowledge platform and NTCA and GTF channels</li> </ul>  |
| <b>Contractual Services – Companies/Institutions:</b><br>- Subcontract to GTF to develop and implement transboundary conservation roadmap and local level transboundary actions | Years 2-6         | Output 4.3: <ul style="list-style-type: none"> <li>• Conduct consultations with experts and country representatives to identify areas of common concern and possible joint actions for monitoring, species conservation and combatting illegal trafficking, and develop a transboundary conservation roadmap for wild cat conservation in South Asia</li> <li>• Conduct advocacy to integrate small wild cats into bilateral agreements for tiger conservation via NTCA, their inclusion in the South Asian Wildlife Enforcement Network (SAWEN) for control of wildlife crime (e.g. trade in cat skins and bones), and through international big cat organisations (GTF)</li> <li>• Invite staff from neighbouring PAs in Nepal (Shuklaphanta, Bardia and Banke NPs) and Bhutan (Sakteng Wildlife Sanctuary - a project site under the GWP GEF-7 project in Bhutan, providing potential for coordination and knowledge exchange between GWP projects) as well as SSB staff to join project training courses and activities on related subjects such as HWC management, anti-poaching patrolling, monitoring of small wild cat species</li> <li>• Facilitate local level transboundary coordination meetings on HWC, poaching and IWT between PA management staff, SSB and local administration leaders to facilitate information sharing and targeting of enforcement operations.</li> <li>• Coordinate with Nepalese Govt /WWF to allow Dudhwa landscape staff to participate in annual TAL stakeholder forums, conduct study visits to Nepal TAL to learn about Community-Based Anti-Poaching Unit operations (CBAPUs), Wildlife Crime Control Bureaus and other related activities of the WWF/GEF Project Integrated Landscape Management for the Terai Arc Landscape in Nepal; reciprocal invitation of Nepalese GEF project staff to participate in Dudhwa project meetings and activities.</li> </ul> |
| <b>International contracting:</b>   |                   |  |
| <b>Midterm Reviewer</b><br>USD 3,250 per week   | 6 weeks in Year 3 | Output 4.5; see UNDP standard TOR for this position.   |
| <b>Terminal Evaluator</b><br>USD 3,250 per week   | 6 weeks in Year 6 | Output 4.5; see UNDP standard TOR for this position  |

**Annex 7A: PPG Stakeholder Engagement Plan**

See separate file

**Annex 7B: Stakeholder Engagement Plan for Project Implementation**

See separate file

**Annex 8: Gender Analysis and Gender Action Plan**

See separate file

**Annex 9: Procurement Plan – for first year of implementation especially**

See separate file

**Annex 10: GEF-7 Biodiversity Tracking Tool – METT for pilot sites (Excel Workbook)**

See separate file

**Annex 11: Additional Agreements**

See separate files – cofinancing letters.

**Annex 12: GEF Core indicators**

| <b>Core Indicator 1</b>      |         | <b>Terrestrial protected areas created or under improved management for conservation and sustainable use</b> |             |             |             | <b>(Hectares)</b> |    |
|------------------------------|---------|--|-------------|-------------|-------------|-------------------|----|
|                              |         | Hectares (1.1+1.2)   |             |             |             |                   |    |
|                              |         | Expected   |             | Achieved    |             |                   |    |
|                              |         | PIF stage  | Endorsement | MTR         | TE          |                   |    |
|                              |         |  | 389,572     |             |             |                   |    |
| <b>Indicator 1.1</b>         |         | <b>Terrestrial protected areas newly created</b>   |             |             |             |                   |    |
| Name of Protected Area       | WDPA ID | IUCN category  | Hectares    |             |             |                   |    |
|                              |         |  | Expected    |             | Achieved    |                   |    |
|                              |         |  | PIF stage   | Endorsement | MTR         | TE                |    |
|                              |         |  |             |             |             |                   |    |
|                              |         |  |             |             |             |                   |    |
|                              |         |  | Sum         |             |             |                   |    |
| <b>Indicator 1.2</b>         |         | <b>Terrestrial protected areas under improved management effectiveness</b>                                   |             |             |             |                   |    |
| Name of Protected Area       | WDPA ID | IUCN category  | Hectares    | METT Score  |             |                   |    |
|                              |         |  |             | Baseline    |             | Achieved          |    |
|                              |         |  |             |             | Endorsement | MTR               | TE |
| Dudhwa Tiger Reserve         | 691     | IV   | 220,177     |             | 41          | 57                | 81 |
| Pakke Tiger Reserve          | 4530    | IV   | 137,695     |             | 52          | 63                | 81 |
| Eaglenest Wildlife Sanctuary | 62666   | IV   | 21,700      |             | 54          | 64                | 81 |
| Sessa Orchid Sanctuary       | 62670   | IV   | 10,000      |             | 55          | 67                | 82 |
|                              |         | Sum  | 389,572     |             |             |                   |    |
| <b>Core Indicator 2</b>      |         | <b>Marine protected areas created or under improved management for conservation and sustainable use</b>      |             |             |             | <b>(Hectares)</b> |    |
|                              |         | Hectares (2.1+2.2)   |             |             |             |                   |    |
|                              |         | Expected   |             | Achieved    |             |                   |    |
|                              |         | PIF stage  | Endorsement | MTR         | TE          |                   |    |
|                              |         |  |             |             |             |                   |    |
| <b>Indicator 2.1</b>         |         | <b>Marine protected areas newly created</b>  |             |             |             |                   |    |
| Name of Protected Area       | WDPA ID | IUCN category  | Hectares    |             |             |                   |    |
|                              |         |  | Expected    |             | Achieved    |                   |    |
|                              |         |  | PIF stage   | Endorsement | MTR         | TE                |    |
|                              |         | (select)   |             |             |             |                   |    |
|                              |         | (select)   |             |             |             |                   |    |
|                              |         | Sum  |             |             |             |                   |    |
| <b>Indicator 2.2</b>         |         | <b>Marine protected areas under improved management effectiveness</b>  |             |             |             |                   |    |
| Name of Protected Area       | WDPA ID | IUCN category  | Hectares    | METT Score  |             |                   |    |
|                              |         |  |             | Baseline    |             | Achieved          |    |
|                              |         |  |             | PIF stage   | Endorsement | MTR               | TE |
|                              |         | (select)   |             |             |             |                   |    |
|                              |         | (select)   |             |             |             |                   |    |
|                              |         | Sum  |             |             |             |                   |    |
| <b>Core Indicator 3</b>      |         | <b>Area of land restored</b>   |             |             |             | <b>(Hectares)</b> |    |
|                              |         | Hectares (3.1+3.2+3.3+3.4)   |             |             |             |                   |    |
|                              |         | Expected   |             | Achieved    |             |                   |    |
|                              |         | PIF stage  | Endorsement | MTR         | TE          |                   |    |
|                              |         |  |             |             |             |                   |    |
| <b>Indicator 3.1</b>         |         | <b>Area of degraded agricultural land restored</b>   |             |             |             |                   |    |
|                              |         | Hectares   |             |             |             |                   |    |
|                              |         | Expected   |             | Achieved    |             |                   |    |

|  |  |   | PIF stage | Endorsement | MTR      | TE |
|--|--|---|-----------|-------------|----------|----|
| <b>Indicator 3.2 Area of forest and forest land restored</b>   |  |   |           |             |          |    |
|  |  |   | Hectares  |             |          |    |
|  |  |   | Expected  |             | Achieved |    |
|  |  |   | PIF stage | Endorsement | MTR      | TE |
|  |  |   |           |             |          |    |
|  |  |   |           |             |          |    |
| <b>Indicator 3.3 Area of natural grass and shrublands restored</b>   |  |   |           |             |          |    |
|  |  |   | Hectares  |             |          |    |
|  |  |   | Expected  |             | Achieved |    |
|  |  |   | PIF stage | Endorsement | MTR      | TE |
|  |  |   |           |             |          |    |
|  |  |   |           |             |          |    |
| <b>Indicator 3.4 Area of wetlands (including estuaries, mangroves) restored</b>                                      |  |   |           |             |          |    |
|  |  |   | Hectares  |             |          |    |
|  |  |   | Expected  |             | Achieved |    |
|  |  |   | PIF stage | Endorsement | MTR      | TE |
|  |  |   |           |             |          |    |
|  |  |   |           |             |          |    |
| <b>Core Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas) (Hectares)</b> |  |   |           |             |          |    |
| Hectares (4.1+4.2+4.3+4.4)   |  |   |           |             |          |    |
|  |  |   | Expected  |             | Expected |    |
|  |  |   | PIF stage | Endorsement | MTR      | TE |
|  |  |   | 200,000   | 100,000     |          |    |
| <b>Indicator 4.1 Area of landscapes under improved management to benefit biodiversity</b>                            |  |   |           |             |          |    |
|  |  |   | Expected  |             | Achieved |    |
|  |  |   | PIF stage | Endorsement | MTR      | TE |
|  |  | <i>Dudhwa Landscape – community-based NRM and engagement in habitat management, improved livestock management, improved arable cropping practices (e.g. reduced chemical use); ecotourism development, HWC mitigation such as solar fencing and non-wildlife attracting crops</i> | NA        | 50,000      |          |    |
|  |  | <i>Pakke – Eaglenest Landscape – community-based NRM and engagement in habitat management, improved arable cropping practices (e.g. reduced chemical use); agroforestry, NTFP/medicinal plant production, ecotourism development, HWC mitigation such as solar</i>                | NA        | 50,000      |          |    |

|   |  |  |   |             |          |   |
|---|--|--|---|-------------|----------|---|
|   |  | fencing and non-wildlife attracting crops  |   |             |          |   |
| <b>Indicator 4.2</b>                      |  | <b>Area of landscapes that meet national or international third-party certification that incorporates biodiversity considerations</b>  |   |             |          |   |
| Third party certification(s):             |  | Hectares   |   |             |          |   |
|   |  | Expected   |   |             | Achieved |   |
|   |  | PIF stage  | Endorsement                               | MTR         | TE       |   |
|   |  |  |   |             |          |   |
| <b>Indicator 4.3</b>                      |  | <b>Area of landscapes under sustainable land management in production systems</b>  |   |             |          |   |
|   |  |  | Hectares                                  |             |          |   |
|   |  |  | Expected                                  |             | Achieved |   |
|   |  |  | PIF stage                                 | Endorsement | MTR      | TE                                      |
|   |  |  |   |             |          |   |
| <b>Indicator 4.4</b>                      |  | <b>Area of High Conservation Value Forest (HCVF) loss avoided</b>  |   |             |          |   |
| Include documentation that justifies HCVF |  | Hectares   |   |             |          |   |
|   |  | Expected   |   |             | Achieved |   |
|   |  | PIF stage  | Endorsement                               | MTR         | TE       |   |
|   |  |  |   |             |          |   |
| <b>Core Indicator 5</b>                   |  | <b>Area of marine habitat under improved practices to benefit biodiversity</b>   |   |             |          | <b>(Hectares)</b>                       |
| <b>Indicator 5.1</b>                      |  | <b>Number of fisheries that meet national or international third-party certification that incorporates biodiversity considerations</b> |   |             |          |   |
| Third party certification(s):             |  | Number   |   |             |          |   |
|   |  | Expected   |   |             | Achieved |   |
|   |  | PIF stage  | Endorsement                               | MTR         | TE       |   |
|   |  |  |   |             |          |   |
| <b>Indicator 5.2</b>                      |  | <b>Number of large marine ecosystems (LMEs) with reduced pollution and hypoxial</b>  |   |             |          |   |
|   |  |  | Number                                    |             |          |   |
|   |  |  | Expected                                  |             | Achieved |   |
|   |  |  | PIF stage                                 | Endorsement | MTR      | TE                                      |
|   |  |  |   |             |          |   |
| <b>Indicator 5.3</b>                      |  | <b>Amount of Marine Litter Avoided</b>   |   |             |          |   |
|   |  |  | Metric Tons                               |             |          |   |
|   |  |  | Expected                                  |             | Achieved |   |
|   |  |  | PIF stage                                 | Endorsement | MTR      | TE                                      |
|   |  |  |   |             |          |   |
| <b>Core Indicator 6</b>                   |  | <b>Greenhouse gas emission mitigated</b>   |   |             |          | <b>(Metric tons of CO<sub>2</sub>e)</b> |
|   |  | Expected metric tons of CO <sub>2</sub> e (6.1+6.2)  |   |             |          |   |
|   |  |  | PIF stage                                 | Endorsement | MTR      | TE                                      |
|   |  | Expected CO <sub>2</sub> e (direct)  |   |             |          |   |
|   |  | Expected CO <sub>2</sub> e (indirect)  |   |             |          |   |
| <b>Indicator 6.1</b>                      |  | <b>Carbon sequestered or emissions avoided in the AFOLU sector</b>   |   |             |          |   |
|   |  |  | Expected metric tons of CO <sub>2</sub> e |             |          |   |
|   |  |  | PIF stage                                 | Endorsement | MTR      | TE                                      |
|   |  | Expected CO <sub>2</sub> e (direct)  |   |             |          |   |
|   |  | Expected CO <sub>2</sub> e (indirect)  |   |             |          |   |
|   |  | Anticipated start year of accounting   |   |             |          |   |
|   |  | Duration of accounting   |   |             |          |   |



| Indicator 6.2    |  | Emissions avoided Outside AFOLU  |   |             |          |               |
|------------------|--|--|---|-------------|----------|---------------|
|                  |  |  | Expected metric tons of CO <sub>2</sub> e |             |          |               |
|                  |  |  | Expected                                  |             | Achieved |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  | Expected CO <sub>2</sub> e (direct)  |   |             |          |               |
|                  |  | Expected CO <sub>2</sub> e (indirect)  |   |             |          |               |
|                  |  | Anticipated start year of accounting   |   |             |          |               |
|                  |  | Duration of accounting   |   |             |          |               |
| Indicator 6.3    |  | Energy saved   |   |             |          |               |
|                  |  |  | MJ  |             |          |               |
|                  |  |  | Expected                                  |             | Achieved |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Indicator 6.4    |  | Increase in installed renewable energy capacity per technology   |   |             |          |               |
|                  |  | Technology   | Capacity (MW)                             |             |          |               |
|                  |  |  | Expected                                  |             | Achieved |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  | (select)   |   |             |          |               |
|                  |  | (select)   |   |             |          |               |
| Core Indicator 7 |  | Number of shared water ecosystems (fresh or marine) under new or improved cooperative management   |   |             |          |               |
|                  |  |  |   |             |          | (Number)      |
| Indicator 7.1    |  | Level of Transboundary Diagnostic Analysis and Strategic Action Program (TDA/SAP) formulation and implementation   |   |             |          |               |
|                  |  | Shared water ecosystem   | Rating (scale 1-4)                        |             |          |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Indicator 7.2    |  | Level of Regional Legal Agreements and Regional Management Institutions to support its implementation  |   |             |          |               |
|                  |  | Shared water ecosystem   | Rating (scale 1-4)                        |             |          |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Indicator 7.3    |  | Level of National/Local reforms and active participation of Inter-Ministerial Committees   |   |             |          |               |
|                  |  | Shared water ecosystem   | Rating (scale 1-4)                        |             |          |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Indicator 7.4    |  | Level of engagement in IWLEARN through participation and delivery of key products  |   |             |          |               |
|                  |  | Shared water ecosystem   | Rating (scale 1-4)                        |             |          |               |
|                  |  |  | Rating                                    |             | Rating   |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Core Indicator 8 |  | Globally over-exploited fisheries Moved to more sustainable levels   |   |             |          |               |
|                  |  |  |   |             |          | (Metric Tons) |
| Fishery Details  |  | Metric Tons  |   |             |          |               |
|                  |  |  | PIF stage                                 | Endorsement | MTR      | TE            |
|                  |  |  |   |             |          |               |
|                  |  |  |   |             |          |               |
| Core Indicator 9 |  | Reduction, disposal/destruction, phase out, elimination and avoidance of chemicals of global concern and their waste in the environment and in processes, materials and products |   |             |          |               |
|                  |  |  |   |             |          | (Metric Tons) |
|                  |  |  | Metric Tons (9.1+9.2+9.3)                 |             |          |               |
|                  |  |  | Expected                                  |             | Achieved |               |

|                          |   | PIF stage   | PIF stage           | MTR         | TE                                      |
|--------------------------|---|-------------|---------------------|-------------|---|
| Indicator 9.1            | Solid and liquid Persistent Organic Pollutants (POPs) removed or disposed (POPs type)                             |             |                     |             |   |
|                          | POPs type   | Metric Tons |                     | Expected    | Achieved                                |
|                          |   | PIF stage   | Endorsement         | MTR         | TE                                      |
|                          | (select)  | (select)    | (select)            |             |   |
|                          | (select)  | (select)    | (select)            |             |   |
|                          | (select)  | (select)    | (select)            |             |   |
| Indicator 9.2            | Quantity of mercury reduced   |             |                     |             |   |
|                          |   |             | Metric Tons         |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |
| Indicator 9.3            | Hydrochlorofluorocarbons (HCFC) Reduced/Phased out  |             |                     |             |   |
|                          |   |             | Metric Tons         |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |
| Indicator 9.4            | Number of countries with legislation and policy implemented to control chemicals and waste                        |             |                     |             |   |
|                          |   |             | Number of Countries |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |
| Indicator 9.5            | Number of low-chemical/non-chemical systems implemented particularly in food production, manufacturing and cities |             |                     |             |   |
|                          |   | Technology  | Number              |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |
|                          |   |             |                     |             |   |
| Indicator 9.6            | Quantity of POPs/Mercury containing materials and products directly avoided                                       |             |                     |             |   |
|                          |   |             | Metric Tons         |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | PIF stage Endorsement                   |
|                          |   |             |                     |             |   |
|                          |   |             |                     |             |   |
| <b>Core Indicator 10</b> | <b>Reduction, avoidance of emissions of POPs to air from point and non-point sources</b>                          |             |                     |             | <b>(grams of toxic equivalent gTEQ)</b> |
| Indicator 10.1           | Number of countries with legislation and policy implemented to control emissions of POPs to air                   |             |                     |             |   |
|                          |   |             | Number of Countries |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |
| Indicator 10.2           | Number of emission control technologies/practices implemented   |             |                     |             |   |
|                          |   |             | Number              |             |   |
|                          |   |             | Expected            |             | Achieved                                |
|                          |   |             | PIF stage           | Endorsement | MTR TE                                  |
|                          |   |             |                     |             |   |

| Core Indicator 11 |  | Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment |              |              |          | <i>(Number)</i> |  |
|-------------------|--|--|--------------|--------------|----------|-----------------|--|
|                   |  |  | Number       |              |          |                 |  |
|                   |  |  | Expected     |              | Achieved |                 |  |
|                   |  |  | PIF stage    | Endorsement  | MTR      | TE              |  |
|                   |  | Female   | 3,000        | 3,690        |          |                 |  |
|                   |  | Male   | 3,000        | 2,610        |          |                 |  |
|                   |  | <i>Total</i>   | <i>6,000</i> | <i>6,300</i> |          |                 |  |

**Annex 13: GEF 7 Taxonomy**

| Level 1   | Level 2  | Level 3  | Level 4 |
|---|--|--|---------|
| <input checked="" type="checkbox"/> <b>Influencing models</b>               |  |  |         |
|   | <input checked="" type="checkbox"/> <b>Transform policy and regulatory environments</b>          |  |         |
|   | <input checked="" type="checkbox"/> <b>Strengthen institutional capacity and decision-making</b> |  |         |
|   | <input checked="" type="checkbox"/> <b>Convene multi-stakeholder alliances</b>                   |  |         |
|   | <input checked="" type="checkbox"/> <b>Demonstrate innovative approaches</b>                     |  |         |
|   | <input checked="" type="checkbox"/> <b>Deploy innovative financial instruments</b>               |  |         |
| <input checked="" type="checkbox"/> <b>Stakeholders</b>                     |  |  |         |
|   | <input checked="" type="checkbox"/> <b>Indigenous Peoples</b>                                    |  |         |
|   | <input checked="" type="checkbox"/> <b>Private Sector</b>  |  |         |
|   |  | <input checked="" type="checkbox"/> Large corporations             |         |
|   |  | <input checked="" type="checkbox"/> SMEs                           |         |
|   |  | <input checked="" type="checkbox"/> Individuals/Entrepreneurs      |         |
|   | <input checked="" type="checkbox"/> <b>Beneficiaries</b>   |  |         |
|   | <input checked="" type="checkbox"/> <b>Local Communities</b>                                     |  |         |
|   | <input checked="" type="checkbox"/> <b>Civil Society</b>   |  |         |
|   |  | <input checked="" type="checkbox"/> Community Based Organization   |         |
|   |  | <input checked="" type="checkbox"/> Non-Governmental Organization  |         |
|   |  | <input checked="" type="checkbox"/> Academia                       |         |
|   | <input checked="" type="checkbox"/> <b>Type of Engagement</b>                                    |  |         |
|   |  | <input checked="" type="checkbox"/> Information Dissemination      |         |
|   |  | <input checked="" type="checkbox"/> Partnership                    |         |
|   |  | <input checked="" type="checkbox"/> Consultation                   |         |
|   |  | <input checked="" type="checkbox"/> Participation                  |         |
|   | <input checked="" type="checkbox"/> <b>Communications</b>  |  |         |
|   |  | <input checked="" type="checkbox"/> Awareness Raising              |         |
|   |  | <input checked="" type="checkbox"/> Education                      |         |
|   |  | <input checked="" type="checkbox"/> Public Campaigns               |         |
|   |  | <input type="checkbox"/> Behavior Change                           |         |
| <input checked="" type="checkbox"/> <b>Capacity, Knowledge and Research</b> |  |  |         |
|   | <input checked="" type="checkbox"/> <b>Capacity Development</b>                                  |  |         |
|   | <input checked="" type="checkbox"/> <b>Knowledge Generation and Exchange</b>                     |  |         |
|   | <input checked="" type="checkbox"/> <b>Targeted Research</b>                                     |  |         |
|   | <input checked="" type="checkbox"/> <b>Learning</b>  |  |         |
|   |  | <input checked="" type="checkbox"/> Theory of Change               |         |
|   |  | <input checked="" type="checkbox"/> Adaptive Management            |         |
|   |  | <input checked="" type="checkbox"/> Indicators to Measure Change   |         |
|   | <input checked="" type="checkbox"/> <b>Innovation</b>  |  |         |
|   | <input checked="" type="checkbox"/> <b>Knowledge and Learning</b>                                |  |         |
|   |  | <input checked="" type="checkbox"/> Knowledge Management           |         |
|   |  | <input checked="" type="checkbox"/> Innovation                     |         |
|   |  | <input checked="" type="checkbox"/> Capacity Development           |         |
|   |  | <input checked="" type="checkbox"/> Learning                       |         |
|   | <input checked="" type="checkbox"/> <b>Stakeholder Engagement Plan</b>                           |  |         |
| <input checked="" type="checkbox"/> <b>Gender Equality</b>                  |  |  |         |
|   | <input checked="" type="checkbox"/> <b>Gender Mainstreaming</b>                                  |  |         |
|   |  | <input checked="" type="checkbox"/> Beneficiaries                  |         |
|   |  | <input checked="" type="checkbox"/> Women groups                   |         |
|   |  | <input checked="" type="checkbox"/> Sex-disaggregated indicators   |         |
|   |  | <input checked="" type="checkbox"/> Gender-sensitive indicators    |         |
|   | <input checked="" type="checkbox"/> <b>Gender results areas</b>                                  |  |         |
|   |  | <input type="checkbox"/> Access and control over natural resources |         |

|   |  |  |   |
|---|--|--|---|
|   |  | <input checked="" type="checkbox"/> Participation and leadership                           |   |
|   |  | <input checked="" type="checkbox"/> Access to benefits and services                        |   |
|   |  | <input checked="" type="checkbox"/> Capacity development                                   |   |
|   |  | <input checked="" type="checkbox"/> Awareness raising                                      |   |
|   |  | <input checked="" type="checkbox"/> Knowledge generation                                   |   |
| <input checked="" type="checkbox"/> Focal Areas/Theme |  |  |   |
|   | <input type="checkbox"/> Integrated Programs     |  |   |
|   |  | <input type="checkbox"/> Commodity Supply Chains ( <sup>101</sup> Good Growth Partnership) |   |
|   |  |  | <input type="checkbox"/> Sustainable Commodities Production     |
|   |  |  | <input type="checkbox"/> Deforestation-free Sourcing            |
|   |  |  | <input type="checkbox"/> Financial Screening Tools              |
|   |  |  | <input type="checkbox"/> High Conservation Value Forests        |
|   |  |  | <input type="checkbox"/> High Carbon Stocks Forests             |
|   |  |  | <input type="checkbox"/> Soybean Supply Chain                   |
|   |  |  | <input type="checkbox"/> Oil Palm Supply Chain                  |
|   |  |  | <input type="checkbox"/> Beef Supply Chain                      |
|   |  |  | <input type="checkbox"/> Smallholder Farmers                    |
|   |  |  | <input type="checkbox"/> Adaptive Management                    |
|   |  | <input type="checkbox"/> Food Security in Sub-Saharan Africa                               |   |
|   |  |  | <input type="checkbox"/> Resilience (climate and shocks)        |
|   |  |  | <input type="checkbox"/> Sustainable Production Systems         |
|   |  |  | <input type="checkbox"/> Agroecosystems                         |
|   |  |  | <input type="checkbox"/> Land and Soil Health                   |
|   |  |  | <input type="checkbox"/> Diversified Farming                    |
|   |  |  | <input type="checkbox"/> Integrated Land and Water Management   |
|   |  |  | <input type="checkbox"/> Smallholder Farming                    |
|   |  |  | <input type="checkbox"/> Small and Medium Enterprises           |
|   |  |  | <input type="checkbox"/> Crop Genetic Diversity                 |
|   |  |  | <input type="checkbox"/> Food Value Chains                      |
|   |  |  | <input type="checkbox"/> Gender Dimensions                      |
|   |  |  | <input type="checkbox"/> Multi-stakeholder Platforms            |
|   |  | <input type="checkbox"/> Food Systems, Land Use and Restoration                            |   |
|   |  |  | <input type="checkbox"/> Sustainable Food Systems               |
|   |  |  | <input type="checkbox"/> Landscape Restoration                  |
|   |  |  | <input type="checkbox"/> Sustainable Commodity Production       |
|   |  |  | <input type="checkbox"/> Comprehensive Land Use Planning        |
|   |  |  | <input type="checkbox"/> Integrated Landscapes                  |
|   |  |  | <input type="checkbox"/> Food Value Chains                      |
|   |  |  | <input type="checkbox"/> Deforestation-free Sourcing            |
|   |  |  | <input type="checkbox"/> Smallholder Farmers                    |
|   |  | <input type="checkbox"/> Sustainable Cities  |   |
|   |  |  | <input type="checkbox"/> Integrated urban planning              |
|   |  |  | <input type="checkbox"/> Urban sustainability framework         |
|   |  |  | <input type="checkbox"/> Transport and Mobility                 |
|   |  |  | <input type="checkbox"/> Buildings                              |
|   |  |  | <input type="checkbox"/> Municipal waste management             |
|   |  |  | <input type="checkbox"/> Green space                            |
|   |  |  | <input type="checkbox"/> Urban Biodiversity                     |
|   |  |  | <input type="checkbox"/> Urban Food Systems                     |
|   |  |  | <input type="checkbox"/> Energy efficiency                      |
|   |  |  | <input type="checkbox"/> Municipal Financing                    |
|   |  |  | <input type="checkbox"/> Global Platform for Sustainable Cities |
|   |  |  | <input type="checkbox"/> Urban Resilience                       |
|   | <input checked="" type="checkbox"/> Biodiversity |  |   |

|  |  |   |
|--|--|---|
|  | <input checked="" type="checkbox"/> Protected Areas and Landscapes |   |
|  |  | <input checked="" type="checkbox"/> Terrestrial Protected Areas                 |
|  |  | <input type="checkbox"/> Coastal and Marine Protected Areas                     |
|  |  | <input checked="" type="checkbox"/> Productive Landscapes                       |
|  |  | <input type="checkbox"/> Productive Seascapes                                   |
|  |  | <input checked="" type="checkbox"/> Community Based Natural Resource Management |
|  | <input checked="" type="checkbox"/> Mainstreaming                  |   |
|  |  | <input type="checkbox"/> Extractive Industries (oil, gas, mining)               |
|  |  | <input checked="" type="checkbox"/> Forestry (Including HCVF and REDD+)         |
|  |  | <input checked="" type="checkbox"/> Tourism                                     |
|  |  | <input checked="" type="checkbox"/> Agriculture & agrobiodiversity              |
|  |  | <input type="checkbox"/> Fisheries  |
|  |  | <input type="checkbox"/> Infrastructure   |
|  |  | <input type="checkbox"/> Certification (National Standards)                     |
|  |  | <input type="checkbox"/> Certification (International Standards)                |
|  | <input checked="" type="checkbox"/> Species                        |   |
|  |  | <input checked="" type="checkbox"/> Illegal Wildlife Trade                      |
|  |  | <input checked="" type="checkbox"/> Threatened Species                          |
|  |  | <input checked="" type="checkbox"/> Wildlife for Sustainable Development        |
|  |  | <input type="checkbox"/> Crop Wild Relatives                                    |
|  |  | <input type="checkbox"/> Plant Genetic Resources                                |
|  |  | <input type="checkbox"/> Animal Genetic Resources                               |
|  |  | <input type="checkbox"/> Livestock Wild Relatives                               |
|  |  | <input checked="" type="checkbox"/> Invasive Alien Species (IAS)                |
|  | <input checked="" type="checkbox"/> Biomes                         |   |
|  |  | <input type="checkbox"/> Mangroves  |
|  |  | <input type="checkbox"/> Coral Reefs  |
|  |  | <input type="checkbox"/> Sea Grasses  |
|  |  | <input checked="" type="checkbox"/> Wetlands                                    |
|  |  | <input checked="" type="checkbox"/> Rivers                                      |
|  |  | <input type="checkbox"/> Lakes  |
|  |  | <input checked="" type="checkbox"/> Tropical Rain Forests                       |
|  |  | <input checked="" type="checkbox"/> Tropical Dry Forests                        |
|  |  | <input type="checkbox"/> Temperate Forests                                      |
|  |  | <input checked="" type="checkbox"/> Grasslands                                  |
|  |  | <input type="checkbox"/> Paramo   |
|  |  | <input type="checkbox"/> Desert   |
|  | <input checked="" type="checkbox"/> Financial and Accounting       |   |
|  |  | <input type="checkbox"/> Payment for Ecosystem Services                         |
|  |  | <input type="checkbox"/> Natural Capital Assessment and Accounting              |
|  |  | <input type="checkbox"/> Conservation Trust Funds                               |
|  |  | <input checked="" type="checkbox"/> Conservation Finance                        |
|  | <input type="checkbox"/> Supplementary Protocol to the CBD         |   |
|  |  | <input type="checkbox"/> Biosafety  |
|  |  | <input type="checkbox"/> Access to Genetic Resources Benefit Sharing            |
|  | <input type="checkbox"/> Forests                                   |   |
|  | <input type="checkbox"/> Forest and Landscape Restoration          |   |
|  |  | <input type="checkbox"/> REDD/REDD+   |
|  | <input type="checkbox"/> Forest                                    |   |
|  |  | <input type="checkbox"/> Amazon   |
|  |  | <input type="checkbox"/> Congo  |
|  |  | <input type="checkbox"/> Drylands   |
|  | <input type="checkbox"/> Land Degradation                          |   |
|  | <input type="checkbox"/> Sustainable Land Management               |   |
|  |  | <input type="checkbox"/> Restoration and Rehabilitation of Degraded Lands       |

|  |   |  |
|--|---|--|
|  |   | <input type="checkbox"/> Ecosystem Approach  |
|  |   | <input type="checkbox"/> Integrated and Cross-sectoral approach                                  |
|  |   | <input type="checkbox"/> Community-Based NRM   |
|  |   | <input type="checkbox"/> Sustainable Livelihoods   |
|  |   | <input type="checkbox"/> Income Generating Activities  |
|  |   | <input type="checkbox"/> Sustainable Agriculture   |
|  |   | <input type="checkbox"/> Sustainable Pasture Management  |
|  |   | <input type="checkbox"/> Sustainable Forest/Woodland Management                                  |
|  |   | <input type="checkbox"/> Improved Soil and Water Management Techniques                           |
|  |   | <input type="checkbox"/> Sustainable Fire Management   |
|  |   | <input type="checkbox"/> Drought Mitigation/Early Warning  |
|  |   | <input type="checkbox"/> Land Degradation Neutrality   |
|  |   | <input type="checkbox"/> Land Productivity   |
|  |   | <input type="checkbox"/> Land Cover and Land cover change  |
|  |   | <input type="checkbox"/> Carbon stocks above or below ground                                     |
|  | <input type="checkbox"/> International Waters | <input type="checkbox"/> Food Security   |
|  |   | <input type="checkbox"/> Ship  |
|  |   | <input type="checkbox"/> Coastal   |
|  |   | <input type="checkbox"/> Freshwater  |
|  |   | <input type="checkbox"/> Aquifer   |
|  |   | <input type="checkbox"/> River Basin   |
|  |   | <input type="checkbox"/> Lake Basin  |
|  |   | <input type="checkbox"/> Learning  |
|  |   | <input type="checkbox"/> Fisheries   |
|  |   | <input type="checkbox"/> Persistent toxic substances   |
|  |   | <input type="checkbox"/> SIDS : Small Island Dev States  |
|  |   | <input type="checkbox"/> Targeted Research   |
|  |   | <input type="checkbox"/> Pollution   |
|  |   | <input type="checkbox"/> Persistent toxic substances   |
|  |   | <input type="checkbox"/> Plastics  |
|  |   | <input type="checkbox"/> Nutrient pollution from all sectors except wastewater                   |
|  |   | <input type="checkbox"/> Nutrient pollution from Wastewater                                      |
|  |   | <input type="checkbox"/> Transboundary Diagnostic Analysis and Strategic Action Plan preparation |
|  |   | <input type="checkbox"/> Strategic Action Plan Implementation                                    |
|  |   | <input type="checkbox"/> Areas Beyond National Jurisdiction                                      |
|  |   | <input type="checkbox"/> Large Marine Ecosystems   |
|  |   | <input type="checkbox"/> Private Sector  |
|  |   | <input type="checkbox"/> Aquaculture   |
|  |   | <input type="checkbox"/> Marine Protected Area   |
|  |   | <input type="checkbox"/> Biomes  |
|  |   | <input type="checkbox"/> Mangrove  |
|  |   | <input type="checkbox"/> Coral Reefs   |
|  |   | <input type="checkbox"/> Seagrasses  |
|  |   | <input type="checkbox"/> Polar Ecosystems  |
|  |   | <input type="checkbox"/> Constructed Wetlands  |
|  | <input type="checkbox"/> Chemicals and Waste  |  |
|  |   | <input type="checkbox"/> Mercury   |
|  |   | <input type="checkbox"/> Artisanal and Scale Gold Mining   |
|  |   | <input type="checkbox"/> Coal Fired Power Plants   |
|  |   | <input type="checkbox"/> Coal Fired Industrial Boilers   |
|  |   | <input type="checkbox"/> Cement  |
|  |   | <input type="checkbox"/> Non-Ferrous Metals Production   |
|  |   | <input type="checkbox"/> Ozone   |
|  |   | <input type="checkbox"/> Persistent Organic Pollutants   |
|  |   | <input type="checkbox"/> Unintentional Persistent Organic Pollutants                             |

|   |   |  |
|---|---|--|
|   | <input type="checkbox"/> Sound Management of chemicals and Waste                  |  |
|   | <input type="checkbox"/> Waste Management   |  |
|   |   | <input type="checkbox"/> Hazardous Waste Management                        |
|   |   | <input type="checkbox"/> Industrial Waste                                  |
|   |   | <input type="checkbox"/> e-Waste   |
|   | <input type="checkbox"/> Emissions  |  |
|   | <input type="checkbox"/> Disposal   |  |
|   | <input type="checkbox"/> New Persistent Organic Pollutants                        |  |
|   | <input type="checkbox"/> Polychlorinated Biphenyls                                |  |
|   | <input type="checkbox"/> Plastics   |  |
|   | <input type="checkbox"/> Eco-Efficiency   |  |
|   | <input type="checkbox"/> Pesticides   |  |
|   | <input type="checkbox"/> DDT - Vector Management                                  |  |
|   | <input type="checkbox"/> DDT - Other  |  |
|   | <input type="checkbox"/> Industrial Emissions                                     |  |
|   | <input type="checkbox"/> Open Burning   |  |
|   | <input type="checkbox"/> Best Available Technology / Best Environmental Practices |  |
|   | <input type="checkbox"/> Green Chemistry  |  |
| <input type="checkbox"/> Climate Change |   |  |
|   | <input type="checkbox"/> Climate Change Adaptation                                |  |
|   |   | <input type="checkbox"/> Climate Finance                                   |
|   |   | <input type="checkbox"/> Least Developed Countries                         |
|   |   | <input type="checkbox"/> Small Island Developing States                    |
|   |   | <input type="checkbox"/> Disaster Risk Management                          |
|   |   | <input type="checkbox"/> Sea-level rise                                    |
|   |   | <input type="checkbox"/> Climate Resilience                                |
|   |   | <input type="checkbox"/> Climate information                               |
|   |   | <input type="checkbox"/> Ecosystem-based Adaptation                        |
|   |   | <input type="checkbox"/> Adaptation Tech Transfer                          |
|   |   | <input type="checkbox"/> National Adaptation Programme of Action           |
|   |   | <input type="checkbox"/> National Adaptation Plan                          |
|   |   | <input type="checkbox"/> Mainstreaming Adaptation                          |
|   |   | <input type="checkbox"/> Private Sector                                    |
|   |   | <input type="checkbox"/> Innovation  |
|   |   | <input type="checkbox"/> Complementarity                                   |
|   |   | <input type="checkbox"/> Community-based Adaptation                        |
|   |   | <input type="checkbox"/> Livelihoods                                       |
|   | <input type="checkbox"/> Climate Change Mitigation                                |  |
|   |   | <input type="checkbox"/> Agriculture, Forestry, and other Land Use         |
|   |   | <input type="checkbox"/> Energy Efficiency                                 |
|   |   | <input type="checkbox"/> Sustainable Urban Systems and Transport           |
|   |   | <input type="checkbox"/> Technology Transfer                               |
|   |   | <input type="checkbox"/> Renewable Energy                                  |
|   |   | <input type="checkbox"/> Financing   |
|   |   | <input type="checkbox"/> Enabling Activities                               |
|   | <input type="checkbox"/> Technology Transfer                                      |  |
|   |   | <input type="checkbox"/> Poznan Strategic Programme on Technology Transfer |
|   |   | <input type="checkbox"/> Climate Technology Centre & Network (CTCN)        |
|   |   | <input type="checkbox"/> Endogenous technology                             |
|   |   | <input type="checkbox"/> Technology Needs Assessment                       |
|   |   | <input type="checkbox"/> Adaptation Tech Transfer                          |
|   | <input type="checkbox"/> United Nations Framework on Climate Change               |  |
|   |   | <input type="checkbox"/> Nationally Determined Contribution                |
|   | <input checked="" type="checkbox"/> Climate Finance (Rio Markers)                 |  |
|   |   | <input type="checkbox"/> Paris Agreement                                   |
|   |   | <input type="checkbox"/> Sustainable Development Goals                     |



|  |  |  |  |
|--|--|--|--|
|  |  |  | <input type="checkbox"/> Climate Change Mitigation 0<br><input checked="" type="checkbox"/> Climate Change Mitigation 1<br><input type="checkbox"/> Climate Change Mitigation 2<br><input type="checkbox"/> Climate Change Adaptation 0<br><input checked="" type="checkbox"/> Climate Change Adaptation 1<br><input type="checkbox"/> Climate Change Adaptation 2 |
|--|--|--|--|

Annex 27: GEF Budget template

GEF Budget template: India Wild Cat Landscapes Project -UNDP Budget – Components 1, 4 and PMC

| Expenditure Category              | Detailed Description  | Component (USDeq.) |                 |           |     |       | Total (USDeq.) | Responsible Entity  |
|-----------------------------------|---|--------------------|-----------------|-----------|-----|-------|----------------|---|
|                                   |   | Component 1        | Component 4     | Sub-Total | M&E | PMC   |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|                                   |   | Sub-component 1    | Sub-component 4 |           |     |       |                |   |
| Goods                             | IT Equipment:<br>2 computers (\$3000), 2 multifunction scanner-printers (\$1000), IT accessories and software (\$2000) to support landscape master plan development (one set for each landscape, to support GTF inputs and handed over to the State Govts) = \$6,000 (Output 1.1)<br>2 LCD projectors (\$900 each) to support training activities (one for each landscape, to support the State Govts) = \$2,000 (Output 1.5)<br>Total: \$8,000   | 7,800              |                 | 7,800     |     |       | 7,800          | MoEFCC  |
| Goods                             | IT Equipment:<br>For communications, knowledge management and M&E activities - computers 2 @ \$1500 = \$3000, printer/scanner/fax multifunction 1 @ \$500; digital camera 1@\$800; IT accessories & repairs \$2,000, software \$1,800<br>Total: \$8,100   |                    | 8,100           | 8,100     |     |       | 8,100          | MoEFCC  |
| Goods                             | IT Equipment:<br>National project management - computers 2 @ \$1500 = \$3000, printer/scanner/fax multifunction 1 @ \$500; IT accessories & repairs \$2,000, software \$1,800<br>Total = \$7,300  |                    |                 | -         |     | 7,300 | 7,300          | MoEFCC  |
| Contractual Services – Individual | Contractual Services – Implementing Partner: Landscape Conservation Planner to facilitate District (\$2000/month over 30 months) and State level consultations on landscape planning, and facilitate the coordination and integration of conservation and rural development initiatives to reduce inter-sectoral conflicts, and integration of master plans with existing planning processes (Output 1.1), provide technical advice and workshop facilitation on landscape planning for species recovery plans (Output 1.2), protocol and SOPs (Output 1.3) and guidelines (Output 1.4); provide training inputs on landscape planning (Output 1.5); (All Outputs)<br>Total: \$60,000 | 60,000             |                 | 60,000    |     |       | 60,000         | MoEFCC  |

| Expenditure Category                     | Detailed Description   | Component (USDeq.) |                 |           |        |         | Total (USDeq.) | Responsible Entity  |
|--|--|--------------------|-----------------|-----------|--------|---------|----------------|---|
|  |  | Component 1        | Component 4     | Sub-Total | M&E    | PMC     |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|  |  | Sub-component 1    | Sub-component 4 |           |        |         |                |   |
| <b>Contractual Services – Individual</b> | Contractual Services – Implementing Partner:<br>M&E and Safeguards Analyst (at \$2,000/month) for coordinating updating of annual indicators for PIR, MTR and TE (10 months), overseeing implementation of the M&E plan and ensuring alignment of project M&E with GWP M&E requirements(20 months), reviewing and revising annual work plan activities based on lessons learned (5 months), stakeholder/social inclusion consultations (5 months), coordinate the development, implementation and monitoring of any required ESIA and safeguards plans in line with SESP requirements (20 months) (Output 4.5) |                    | 52,000          | 52,000    |        |         | 52,000         | MoEFCC  |
| <b>Contractual Services – Individual</b> | Contractual Services – Implementing Partner:<br>M&E and Safeguards Analyst (at \$2,000/month) for coordinating updating of annual indicators for PIR, MTR and TE (10 months), overseeing implementation of the M&E plan and ensuring alignment of project M&E with GWP M&E requirements(20 months), reviewing and revising annual work plan activities based on lessons learned (5 months), stakeholder/social inclusion consultations (5 months), coordinate the development, implementation and monitoring of any required ESIA and safeguards plans in line with SESP requirements (20 months) (Output 4.5) |                    |                 | -         | 68,000 |         | 68,000         |   |
| <b>Contractual Services – Individual</b> | Contractual Services – Implementing Partner:<br>Project Manager – 60 months over 6 years at \$1000/month (50% time; co-financed by GTF) = \$60,000<br>Project Admin and Finance Officer – 60 months over 6 years at \$916.67/month = \$55,000<br>Total = \$115,000   |                    |                 | -         |        | 115,000 | 115,000        | MoEFCC  |

| Expenditure Category                  | Detailed Description  | Component (USDeq.) |                 |           |     |     | Total (USDeq.) | Responsible Entity  |
|---------------------------------------|---|--------------------|-----------------|-----------|-----|-----|----------------|---|
|                                       |   | Component 1        | Component 4     | Sub-Total | M&E | PMC |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|                                       |   | Sub-component 1    | Sub-component 4 |           |     |     |                |   |
| <b>Contractual Services – Company</b> | <p>Contractual Services – Companies/Institutions: Subcontract to GTF for development of landscape master plans = \$120,000 (Output 1.1)<br/>Total \$120,000</p> <p>Contractual Services – Companies/Institutions: Subcontract to GTF for development of database, atlas and mobile phone application on wild cat monitoring - \$80,000 (Output 1.2)<br/>Total \$80,000</p> <p>Contractual Services – Companies/Institutions: Subcontract to GTF for developing protocol and SOPs for wild cat conservation = \$40,000 (Output 1.3)<br/>Total \$40,000</p> <p>Contractual Services – Companies/Institutions: Subcontract to environmental NGOs / academic institutions for developing guidelines for wild cat conservation = \$40,000 (Output 1.4)<br/>Total: \$40,000</p> <p>Contractual Services – Companies/Institutions: Subcontract to environmental NGOs / academic institutions for developing and implementing training programme for State and District Agencies \$120,000 (Output 1.5)<br/>Total \$120,000</p> | 400,000            |                 | 400,000   |     |     | 400,000        | MoEFCC  |

| Expenditure Category                  | Detailed Description   | Component (USDeq.) |                 |           |        |     | Total (USDeq.) | Responsible Entity  |
|---------------------------------------|--|--------------------|-----------------|-----------|--------|-----|----------------|---|
|                                       |  | Component 1        | Component 4     | Sub-Total | M&E    | PMC |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|                                       |  | Sub-component 1    | Sub-component 4 |           |        |     |                |   |
| <b>Contractual Services – Company</b> | <p>Contractual Services – Companies/Institutions:<br/>-Subcontract to GTF to develop and operationalize Green Business Platform, business partnership development in landscapes, fund development, grant scheme for community-based conservation projects - \$120,000 (Output 4.1)<br/>Total: \$120,000</p> <p>Contractual Services – Companies/Institutions:<br/>-for coordination of communications task force, development of communications action plan and strategy; incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation); conduct national- and landscape-level awareness campaigns; develop awareness materials and monitoring results; - \$80,000 (Output 4.2)<br/>- for development and implementation of knowledge management plan; incorporate virtual communications tools and building capacity of the PMU/stakeholders/tools for virtual communications as a backstop to avoid delay in project activities in case face-to-face training/workshops are delayed (COVID19 mitigation); develop a project website / regional knowledge platform to share project news, results and information; develop case studies on key issues relating to landscape conservation for wild cats including stakeholder workshops - \$100,000 (Output 4.4)<br/>Total: \$180,000</p> <p>Contractual Services – Companies/Institutions:<br/>- Subcontract to GTF to develop and implement transboundary conservation roadmap and local level transboundary actions - \$60,000 (Output 4.3)<br/>Total: \$60,000</p> |                    | 360,000         | 360,000   |        |     | 360,000        | MoEFCC  |
| <b>International Consultants</b>      | <p>International Consultants:<br/>International Consultant for MTR/TE - 30 days at \$650/day for both MTR (Y3) and TE (Y5) = \$39,000 (Output 4.5)<br/>Total: \$39,000</p>   |                    |                 | -         | 39,000 |     | 39,000         | MoEFCC  |

| Expenditure Category           | Detailed Description  | Component (USDeq.) |                 |           |        |     | Total (USDeq.) | Responsible Entity  |
|--------------------------------|---|--------------------|-----------------|-----------|--------|-----|----------------|---|
|                                |   | Component 1        | Component 4     | Sub-Total | M&E    | PMC |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|                                |   | Sub-component 1    | Sub-component 4 |           |        |     |                |   |
| Local Consultants              | Local Consultants:<br>KAP consultant for baseline and completion assessments - 10 weeks at \$1000 / week Y2, 10 weeks Y6 = \$20,000 (Output 4.2)<br>Website design consultant - 8 weeks at \$1000/week Y1-2 = \$8,000 (Output 4.4)<br>Case study consultants (writers) - 24 weeks at \$1000/week Y3-6 = \$24,000 (Output 4.4)<br>Gender and Social Inclusion Specialist 8 weeks/Y1 and Y2, and 4 weeks/Year for Y3-Y5 for PMU/executing partner training, local stakeholder consultations and support for Gender Action Plan implementation and monitoring, provide technical support to the PMU to integrate gender into project implementation plans, including annual work plans = 28 weeks @\$1000 (Y1-6) = \$28,000 (Output 4.5);<br>Total: \$92,000   |                    | 80,000          | 80,000    |        |     | 80,000         | MoEFCC  |
| Local Consultants              | Local Consultants:<br>National Consultant for MTR / TE - 30 days at \$200 for MTR (Y3) and 30 days at \$200 for TE (Y5) = \$12,000 (Output 4.5);  |                    |                 | -         | 12,000 |     | 12,000         |   |
| Trainings, Workshops, Meetings | Training, meetings and field training:<br>Consultation meetings for development & implementation of landscape master plans = 8 x 2 landscapes x \$5137 = \$82,200 (Output 1.1)<br>National and regional meetings (5 x \$5400) for development of database, atlas and mobile phone app - \$27,000 (Output 1.2)<br>Consultation meetings to develop Species Recovery Plans for 3 cat species - 3x\$5,667 = \$17,000 (Output 1.2)<br>Consultation meetings for developing protocol and SOPs for wild cat conservation - 4 x \$5,500 = \$22,000 (Output 1.3)<br>Consultation meetings for developing guidelines for wild cat conservation 2 landscapes x \$11,000 = \$22,000 (Output 1.4)<br>Support for training events for State and District Agencies - 8 events x 2 landscapes x \$40004125 = \$66,000 (Output 1.5)<br>Total: \$236,200 | 236,200            |                 | 236,200   |        |     | 236,200        | MoEFCC  |
| Trainings, Workshops, Meetings | Training, Workshops and Conferences:<br>- National and regional workshops x 5 at \$10,000 for development of Green Business Platform, business partnership development - \$50,000 (Output 4.1)<br>- for communications strategy planning meetings (Y1, Y2) - \$2,000 (Output 4.2)<br>- for transboundary collaboration and action planning meetings / visits (Y3-Y5) - \$30,000 (Output 4.3)<br>- for annual stakeholder meetings \$5,000 x 5 years = \$25,000 (Output 4.4)   |                    | 107,000         | 107,000   |        |     | 107,000        | MoEFCC  |

| Expenditure Category                  | Detailed Description   | Component (USDeq.) |                 |           |        |       | Total (USDeq.) | Responsible Entity  |
|---------------------------------------|--|--------------------|-----------------|-----------|--------|-------|----------------|---|
|                                       |  | Component 1        | Component 4     | Sub-Total | M&E    | PMC   |                | (Executing Entity receiving funds from the GEF Agency)[1] |
|                                       |  | Sub-component 1    | Sub-component 4 |           |        |       |                |   |
| <b>Trainings, Workshops, Meetings</b> | Training, Workshops and Conferences:<br>- for project Inception stakeholder workshops – national and state-level \$20,000 (Y1) (Output 4.5)<br>- for post-MTR stakeholder consultation workshop to validate findings and help support finalization and implementation of MTR management response \$10,000 (Y3) (Output 4.5)  |                    |                 | -         | 30,000 |       | 30,000         |   |
| <b>Travel</b>                         | Travel:<br>In support of consultations, travel to and within landscapes for Output 1.1 – Years 1-5 (\$30,000); Output 1.2 Years 1-5 (\$20,000); Output 1.3 Years 2-4 (\$7,500); Output 1.4 – Years 2-4 (\$7,500); Output 1.5 Years 1-5 (\$35,000);<br>Total: \$100,000   | 100,000            |                 | 100,000   |        |       | 100,000        | MoEFCC  |
| <b>Travel</b>                         | Travel:<br>- for consultations, partnership development in project landscapes - \$10,000 (Output 4.1)<br>- for awareness campaigns, KAP baseline and completion assessments in project landscapes - \$15,000 (Output 4.2)<br>- for transboundary meetings and visits to project landscapes - \$17,000 (Output 4.3)<br>- for annual stakeholders meetings, case study field visits and consultations, presenting results at international conferences - \$21,000 (Output 4.4)<br>- for Communications, Awareness and KM officer - \$12,000 (Output 4.4)<br>- for Gender consultant training and annual field visits - \$10,000 (Output 4.5) |                    | 85,000          | 85,000    |        |       | 85,000         | MoEFCC  |
| <b>Travel</b>                         | Travel:<br>- for MTR (\$5,000) Y3 and TE (\$5,000) Y5 = \$10,000 (Output 4.5);<br>-for annual monitoring of project RF indicators and safeguards-related consultations by M&E and Safeguards Analyst - \$16,000 (Output 4.5):  |                    |                 | -         | 26,000 |       | 26,000         |   |
| <b>Office Supplies</b>                | Supplies for production of project communications and knowledge materials and M&E reports, etc. (all Outputs)<br>Total: \$17,700   |                    | 17,700          | 17,700    |        |       | 17,700         | MoEFCC  |
| <b>Office Supplies</b>                | Supplies: paper, stationery, printer cartridges, personal protective equipment (PPE), etc:<br>National project management at \$1,000 / year over 6 years<br>Total = \$5,900  |                    |                 | -         |        | 5,900 | 5,900          | MoEFCC  |

| Expenditure Category  | Detailed Description   | Component (USDeq.) |                 |                  |                |                | Total (USDeq.)   | Responsible Entity  |
|-----------------------|--|--------------------|-----------------|------------------|----------------|----------------|------------------|---|
|                       |  | Component 1        | Component 4     | Sub-Total        | M&E            | PMC            |                  | (Executing Entity receiving funds from the GEF Agency)[1] |
|                       |  | Sub-component 1    | Sub-component 4 |                  |                |                |                  |   |
| Other Operating Costs | AV & print production costs: audio visual and printed materials in support of:<br>Landscape master plans for two landscapes - \$12,000 (Output 1.1)<br>Atlas on wild cat distribution - \$10,000 (Output 1.2)<br>Species Recovery Plans for 3 cat species - \$15,000 (Output 1.2)<br>Protocol and SOPs on wild cats - \$10,000 (Output 1.3)<br>Guidelines on small cat conservation - \$10,000 (Output 1.4)<br>Training materials - \$20,000 (Output 1.5)<br>Total: \$77,000 | 77,000             |                 | 77,000           |                |                | 77,000           | MoEFCC  |
| Other Operating Costs | AV and Printing Production Costs:<br>- for brochures and reports for Green Business Platform and grant scheme - \$10,000 (Output 4.1)<br>- for awareness material printing production - \$15,000 (Output 4.2)<br>- for supporting materials for transboundary site work - \$3000 (Output 4.3)<br>- for KM reports, case studies, stakeholder meeting materials, terminal report - \$29,000 (Output 4.4)  |                    | 57,000          | 57,000           |                |                | 57,000           | MoEFCC  |
| Other Operating Costs | AV and Printing Production Costs:<br>- for Mid-term and terminal evaluation reports, M&E reports, gender, safeguards reports - \$6,000 (Output 4.5)  |                    |                 | -                | 6,000          |                | 6,000            |   |
| Other Operating Costs | Professional services: Annual audit for Components 1 and 4 (\$3,000/ year for 6 years).<br>Total \$18,000  |                    |                 | -                |                | 18,000         | 18,000           | MoEFCC  |
| <b>Grand Total</b>    |  | <b>881,000</b>     | <b>766,800</b>  | <b>1,647,800</b> | <b>181,000</b> | <b>146,200</b> | <b>1,975,000</b> |   |



**GEF Budget template: India Wild Cat Landscapes Project - WWF Budget - Components 2,3 and PMC**

| Expenditure Category                             | Detailed Description  |             |             |           |        |        | Total (US\$eq.) | Responsible Entity  |
|--|---|-------------|-------------|-----------|--------|--------|-----------------|---|
|  |   | Component 2 | Component 3 | Sub-Total | M&E    | PMC    |                 | <a href="#">(Executing Entity receiving funds from the GEF Agency)[1]</a> |
|  |   | Outcome 2.1 | Outcome 3.1 |           |        |        |                 |   |
| <b>Goods</b>                                     | Field Equipent for ecological monitoring of pilots  | 10,000      |             | 10,000    |        |        | 10,000          | Ministry of Environment, Forest and Climate                               |
|  | Field gear and equipment for frontline field staff  | 36,000      |             | 36,000    |        |        | 36,000          | Ministry of Environment, Forest and Climate                               |
|  | 2 LCD projectors  | 1,800       |             | 1,800     |        |        | 1,800           | Ministry of Environment, Forest and Climate                               |
|  | Camera traps, Binoculars, GPS, Digiital Bridge Camera, digital cameras, Sherman traps   |             | 45,820      | 45,820    |        |        | 45,820          | Ministry of Environment, Forest and Climate                               |
|  | IT equipment for Capacity and Development raising training  |             | 6,000       | 6,000     |        | 7,800  | 13,800          | Ministry of Environment, Forest and Climate                               |
| <b>Grants/ Sub-grants</b>                        | HWC Response in targeted Communities  |             | 70,000      | 70,000    |        |        | 70,000          | Ministry of Environment, Forest and Climate                               |
|  | Livelihood Development  |             | 100,000     | 100,000   |        |        | 100,000         | Ministry of Environment, Forest and Climate                               |
| <b>Sub-contract to executing partner/ entity</b> | GTF for capacity development and techniial assistance for alternative livelihoods and sustainable development, habitat management plans, faciliation of rehabilitation and monitoring | 135,000     | 180,000     | 315,000   |        | 36,000 | 351,000         | Ministry of Environment, Forest and Climate                               |
|  | Local NGOs for LRPs and IPPs  | 21,000      | 44,000      | 65,000    |        |        | 65,000          |   |
| <b>Contractual Services - Local consultants</b>  |   |             |             | -         |        |        | -               |   |
|  | Landscape M&E and Safeguards/IP Specialist  | 48,000      | 56,000      | 104,000   | 40,000 |        | 144,000         | Ministry of Environment, Forest and Climate                               |
|  | National Landscape Safeguards Expert  | 15,000      | 27,000      | 42,000    |        |        | 42,000          |   |
|  | Gender and Social Inclusion Specialist  | -           | 24,000      | 24,000    |        |        | 24,000          | Ministry of Environment, Forest and Climate                               |
|  | Capacity Development Specialist   |             | 60,000      | 60,000    |        |        | 60,000          | Ministry of Environment, Forest and Climate                               |
|  | Community-based Wildlife Monitoring Specialist  |             | 64,000      | 64,000    |        |        | 64,000          | Ministry of Environment, Forest and Climate                               |
|  | HWC Response Specialists  |             | 104,000     | 104,000   |        |        | 104,000         | Ministry of Environment, Forest and Climate                               |
|  | Paralegal HWC specialists   |             | 12,000      | 12,000    |        |        | 12,000          | Ministry of Environment, Forest and Climate                               |
|  | Landscape Conservation and Stakeholder Engagement Officers  | 107,270     | 160,000     | 267,270   |        |        | 267,270         | Ministry of Environment, Forest and Climate                               |

|                                       |   |                |                  |                  |               |               |                  |   |
|---------------------------------------|---|----------------|------------------|------------------|---------------|---------------|------------------|---|
|                                       | Ground Surveys, Forest Corridor Rehabilitation Proposals, Facilitation of Rehabilitation and Monitoring | 65,000         |                  | 65,000           |               |               | 65,000           | Ministry of Environment, Forest and Climate |
|                                       | Implementation of Habitat Management and Rehabilitation   | 160,000        |                  | 160,000          |               |               | 160,000          | Ministry of Environment, Forest and Climate |
|                                       | AV&Print Production   | 27,000         | 79,000           | 106,000          |               |               | 106,000          | Ministry of Environment, Forest and Climate |
|                                       | Training Materials  |                |                  | -                |               |               | -                | Ministry of Environment, Forest and Climate |
|                                       | Landscape and Communications and Awareness Officer  |                | 77,000           | 77,000           |               |               | 77,000           | Ministry of Environment, Forest and Climate |
|                                       | Community Mobilizers  |                | 54,540           | 54,540           |               |               | 54,540           | Ministry of Environment, Forest and Climate |
|                                       | Monitoring of Safeguards  | 15,000         | 25,000           | 40,000           |               |               | 40,000           |   |
| <b>Trainings, Workshops, Meetings</b> |   |                |                  | -                |               |               |                  |   |
|                                       | Meetings, reviews and consultation in support of intervention   | 27,000         |                  | 27,000           |               |               | 27,000           | Ministry of Environment, Forest and Climate |
|                                       | Legal Awareness workshops   | 10,500         |                  | 10,500           |               |               | 10,500           | Ministry of Environment, Forest and Climate |
|                                       | Training events for frontline staff   | 40,000         |                  | 40,000           |               |               | 40,000           | Ministry of Environment, Forest and Climate |
|                                       | Sensitization/coordination program on threats   | 16,000         |                  | 16,000           |               |               | 16,000           | Ministry of Environment, Forest and Climate |
|                                       | Community Training and Awareness  |                | 120,000          | 120,000          |               |               | 120,000          | Ministry of Environment, Forest and Climate |
|                                       | Community Monitoring facilitation   |                | 10,000           | 10,000           |               |               | 10,000           | Ministry of Environment, Forest and Climate |
|                                       | Livelihood Capacity Development   |                | 40,000           | 40,000           |               |               | 40,000           | Ministry of Environment, Forest and Climate |
|                                       | SAFE Workshops  |                | 35,000           | 35,000           |               |               | 35,000           | Ministry of Environment, Forest and Climate |
| <b>Travel</b>                         | Consultations - to and within Landscapes  | 67,630         | 171,640          | 239,270          |               |               | 239,270          | Ministry of Environment, Forest and Climate |
|                                       |   |                |                  |                  |               | 6,000         | 6,000            | Ministry of Environment, Forest and Climate |
| <b>Other Operating Costs</b>          | <b>Vehicle Rental for each landscape for livelihood development activities</b>                          | -              | 50,000           | 50,000           |               |               | 50,000           | Ministry of Environment, Forest and Climate |
|                                       | <b>Audit</b>  |                |                  | -                |               | 18,000        | 18,000           | Ministry of Environment, Forest and Climate |
| <b>Grand Total</b>                    |   | <b>802,200</b> | <b>1,615,000</b> | <b>2,417,200</b> | <b>40,000</b> | <b>67,800</b> | <b>2,525,000</b> |   |